

# New realization of cyclotomic $q$ -Schur algebras I

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**ABSTRACT.** We introduce a Lie algebra  $\mathfrak{g}_{\mathbf{Q}}(\mathbf{m})$  and an associative algebra  $\mathcal{U}_{q,\mathbf{Q}}(\mathbf{m})$  associated with the Cartan data of  $\mathfrak{gl}_m$  which is separated into  $r$  parts with respect to  $\mathbf{m} = (m_1, \dots, m_r)$  such that  $m_1 + \dots + m_r = m$ . We show that the Lie algebra  $\mathfrak{g}_{\mathbf{Q}}(\mathbf{m})$  is a filtered deformation of the current Lie algebra of  $\mathfrak{gl}_m$ , and we can regard the algebra  $\mathcal{U}_{q,\mathbf{Q}}(\mathbf{m})$  as a “ $q$ -analogue” of  $U(\mathfrak{g}_{\mathbf{Q}}(\mathbf{m}))$ . Then, we realize a cyclotomic  $q$ -Schur algebra as a quotient algebra of  $\mathcal{U}_{q,\mathbf{Q}}(\mathbf{m})$  under a certain mild condition. We also study the representation theory for  $\mathfrak{g}_{\mathbf{Q}}(\mathbf{m})$  and  $\mathcal{U}_{q,\mathbf{Q}}(\mathbf{m})$ , and we apply them to the representations of the cyclotomic  $q$ -Schur algebras.

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## § 0. INTRODUCTION

**0.1.** Let  $\mathcal{H}_{n,r}$  be the Ariki-Koike algebra associated with the complex reflection group of type  $G(r, 1, n)$  over a commutative ring  $R$  with parameters  $q, Q_0, \dots, Q_{r-1} \in R$ , where  $q$  is invertible in  $R$ . Let  $\mathcal{S}_{n,r}(\mathbf{m})$  be the cyclotomic  $q$ -Schur algebra associated with  $\mathcal{H}_{n,r}$  introduced in [DJM], where  $\mathbf{m} = (m_1, \dots, m_r)$  is an  $r$ -tuple of positive integers. By the result in [DJM], it is known that  $\mathcal{S}_{n,r}(\mathbf{m})$ -mod is a highest weight cover of  $\mathcal{H}_{n,r}$ -mod in the sense of [R] if  $R$  is a field and  $\mathbf{m}$  is enough large.

In [RSVV] and [L] independently, it is proven that  $\mathcal{S}_{n,r}(\mathbf{m})$ -mod is equivalent to a certain highest weight subcategory of an affine parabolic category  $\mathbf{O}$  in a dominant case of an affine general linear Lie algebra as a highest weight cover of  $\mathcal{H}_{n,r}$ -mod. It is also equivalent to the category  $\mathcal{O}$  of rational Cherednik algebra with

the corresponding parameters. In the argument of [RSVV], the monoidal structure on the affine parabolic category  $\mathbf{O}$  (more precisely, the structure of  $\mathbf{O}$  as a bimodule category over the Kazhdan-Lusztig category) has an important role.

In the case where  $r = 1$ , it is known that the  $q$ -Schur algebra  $\mathcal{S}_{n,1}(m)$  is a quotient algebra of the quantum group  $U_q(\mathfrak{gl}_m)$  associated with the general linear Lie algebra  $\mathfrak{gl}_m$ , and  $\bigoplus_{n \geq 0} \mathcal{S}_{n,1}(m)$ -mod is equivalent to the category  $\mathcal{C}_{U_q(\mathfrak{gl}_m)}^{\geq 0}$  consisting of finite dimensional polynomial representations of  $U_q(\mathfrak{gl}_m)$  ([BLM], [D] and [J]). The category  $\mathcal{C}_{U_q(\mathfrak{gl}_m)}^{\geq 0}$  has a (braided) monoidal structure which comes from the structure of  $U_q(\mathfrak{gl}_m)$  as a Hopf algebra. Then the monoidal structure on  $\mathcal{C}_{U_q(\mathfrak{gl}_m)}^{\geq 0}$  is compatible with the monoidal structure on the Kazhdan-Lusztig category by [KL]. However, it is not known such structures for cyclotomic  $q$ -Schur algebras in the case where  $r > 1$  although we may expect such structures through the equivalence in [RSVV]. This is a motivation of this paper.

In [W1], we obtained a presentation of cyclotomic  $q$ -Schur algebras by generators and defining relations. The argument in [W1] are based on the existence of the upper (resp. lower) Borel subalgebra of the cyclotomic  $q$ -Schur algebra  $\mathcal{S}_{n,r}(\mathbf{m})$  which is introduced in [DR]. In [DR], it is proven that the upper (resp. lower) Borel subalgebra of  $\mathcal{S}_{n,r}(\mathbf{m})$  is isomorphic to the upper (resp. lower) Borel subalgebra of  $\mathcal{S}_{n,1}(m)$  (i.e. the case where  $r = 1$ ) which is a quotient of the upper (resp. lower) Borel subalgebra of the quantum group  $U_q(\mathfrak{gl}_m)$  ( $m := \sum_{k=1}^r m_k$ ) if  $\mathbf{m}$  is enough large. The presentation of  $\mathcal{S}_{n,r}(\mathbf{m})$  in [W1] is applied to the representation theory of cyclotomic  $q$ -Schur algebras in [W2] and [W3]. However, this presentation is not so useful in general since, in the presentation, we need some non-commutative polynomials which are computable, but we can not describe them explicitly (see [W1, Lemma 7.2]). Hence, we hope more useful realization of cyclotomic  $q$ -Schur algebras like as the fact that the  $q$ -Schur algebra  $\mathcal{S}_{n,1}(m)$  is a quotient of the quantum group  $U_q(\mathfrak{gl}_m)$  in the case where  $r = 1$ . In this paper, by extending the argument in [W1], we give a possibility of such realization of cyclotomic  $q$ -Schur algebras.

**0.2.** Let  $\mathbf{Q} = (Q_1, \dots, Q_{r-1})$  be an  $r - 1$  tuple of indeterminate elements over  $\mathbb{Z}$ , and  $\mathbb{Q}(\mathbf{Q})$  be a field of rational functions with variables  $\mathbf{Q}$ . In §2, we introduce a Lie algebra  $\mathfrak{g}_{\mathbf{Q}}(\mathbf{m})$  with parameters  $\mathbf{Q}$  associated with the Cartan data of  $\mathfrak{gl}_m$  ( $m = \sum_{k=1}^r m_k$ ) which is separated into  $r$  parts with respect to  $\mathbf{m}$  (see the paragraph 1.3). Then, in Proposition 2.13, we prove that  $\mathfrak{g}_{\mathbf{Q}}(\mathbf{m})$  is a filtered deformation of the current Lie algebra  $\mathfrak{gl}_m[x] = \mathbb{Q}(\mathbf{Q})[x] \otimes \mathfrak{gl}_m$  of the general linear Lie algebra  $\mathfrak{gl}_m$ .

In Corollary 2.8, we see that  $\mathfrak{g}_{\mathbf{Q}}(\mathbf{m})$  has a triangular decomposition

$$\mathfrak{g}_{\mathbf{Q}}(\mathbf{m}) = \mathfrak{n}^- \oplus \mathfrak{n}^0 \oplus \mathfrak{n}^+.$$

Then we can develop the weight theory to study representations of  $\mathfrak{g}_{\mathbf{Q}}(\mathbf{m})$  in the usual manner (see §3). Let  $\mathcal{C}_{\mathbf{Q}}(\mathbf{m})$  be the category of finite dimensional  $\mathfrak{g}_{\mathbf{Q}}(\mathbf{m})$ -modules which have the weight space decompositions, and all eigenvalues of the action of  $\mathfrak{n}^0$  belong to  $\mathbb{Q}(\mathbf{Q})$ . Then we see that a simple  $\mathfrak{g}_{\mathbf{Q}}(\mathbf{m})$ -module in  $\mathcal{C}_{\mathbf{Q}}(\mathbf{m})$  is a highest weight module.

There exists a surjective homomorphism of Lie algebras  $\mathfrak{g}_{\mathbf{Q}}(\mathbf{m}) \rightarrow \mathfrak{gl}_m$  (see (2.16.1)) which can be regarded as a special case of evaluation homomorphisms (see

Remark 2.17). Let  $\mathcal{C}_{\mathfrak{gl}_m}$  be the category of finite dimensional  $\mathfrak{gl}_m$ -modules which have the weight space decompositions. Then  $\mathcal{C}_{\mathfrak{gl}_m}$  is a full subcategory of  $\mathcal{C}_{\mathbf{Q}}(\mathbf{m})$  through the above surjection (see Proposition 3.7).

Let  $\tilde{\mathbf{Q}} = (Q_0, Q_1, \dots, Q_{r-1})$  be an  $r$  tuple of indeterminate elements over  $\mathbb{Z}$ , and  $\mathbb{Q}(\tilde{\mathbf{Q}})$  be a field of rational functions with variables  $\tilde{\mathbf{Q}}$ . Put  $\mathfrak{g}_{\tilde{\mathbf{Q}}}(\mathbf{m}) = \mathbb{Q}(\tilde{\mathbf{Q}}) \otimes_{\mathbb{Q}(\mathbf{Q})} \mathfrak{g}_{\mathbf{Q}}(\mathbf{m})$ , and define the category  $\mathcal{C}_{\tilde{\mathbf{Q}}}(\mathbf{m})$  in a similar way. Let  $\mathcal{S}_{n,r}^1(\mathbf{m})$  be the cyclotomic  $q$ -Schur algebra over  $\mathbb{Q}(\tilde{\mathbf{Q}})$  with parameters  $q = 1$  and  $\tilde{\mathbf{Q}}$ . In Theorem 8.4, we prove that there exists a homomorphism of algebras

$$\Psi_1 : U(\mathfrak{g}_{\tilde{\mathbf{Q}}}(\mathbf{m})) \rightarrow \mathcal{S}_{n,r}^1(\mathbf{m}),$$

where  $U(\mathfrak{g}_{\tilde{\mathbf{Q}}}(\mathbf{m}))$  is the universal enveloping algebra of  $\mathfrak{g}_{\tilde{\mathbf{Q}}}(\mathbf{m})$ . Assume that  $m_k \geq n$  for all  $k = 1, 2, \dots, r-1$ , then  $\Psi_1$  is surjective. Then  $\mathcal{S}_{n,r}^1(\mathbf{m})$ -mod is a full subcategory of  $\mathcal{C}_{\tilde{\mathbf{Q}}}(\mathbf{m})$  through the surjection  $\Psi_1$  (see Theorem 8.4 (ii)). We expect that the surjectivity of  $\Psi_1$  also holds without the condition for  $\mathbf{m}$ . (We need the condition for  $\mathbf{m}$  by a technical reason (see Remark 8.2).)

It is known that  $\mathcal{S}_{n,r}^1(\mathbf{m})$  is semi-simple, and the set of Weyl (cell) modules  $\{\Delta(\lambda) \mid \lambda \in \tilde{\Lambda}_{n,r}^+(\mathbf{m})\}$  gives a complete set of isomorphism classes of simple  $\mathcal{S}_{n,r}^1(\mathbf{m})$ -modules (see §6 and [DJM] for definitions). The characters of the Weyl modules, denoted by  $\text{ch } \Delta(\lambda)$  ( $\lambda \in \tilde{\Lambda}_{n,r}^+(\mathbf{m})$ ), are studied in [W2]. We see that  $\text{ch } \Delta(\lambda)$  ( $\lambda \in \tilde{\Lambda}_{n,r}^+(\mathbf{m})$ ) is a symmetric polynomial with variables  $\mathbf{x}_{\mathbf{m}}$  with respect to  $\mathbf{m}$ . Put  $\tilde{\Lambda}_{\geq 0}^+(\mathbf{m}) = \cup_{n \geq 0} \tilde{\Lambda}_{n,r}^+(\mathbf{m})$ . Then, for  $\lambda, \mu \in \tilde{\Lambda}_{\geq 0}^+(\mathbf{m})$ , it was conjectured that

$$(0.2.1) \quad \text{ch } \Delta(\lambda) \text{ch } \Delta(\mu) = \sum_{\nu \in \tilde{\Lambda}_{\geq 0, r}^+(\mathbf{m})} \text{LR}_{\lambda\mu}^{\nu} \text{ch } \Delta(\nu)$$

in [W2], where  $\text{LR}_{\lambda\mu}^{\nu}$  is the product of Littlewood-Richardson coefficients with respect to  $\lambda, \mu$  and  $\nu$  (see §9 for details). We prove this conjecture in Proposition 9.4. We remark that the characters of Weyl modules of a cyclotomic  $q$ -Schur algebra do not depend on the choice of a base field and parameters.

By using the usual coproduct of the universal enveloping algebra  $U(\mathfrak{g}_{\tilde{\mathbf{Q}}}(\mathbf{m}))$  of  $\mathfrak{g}_{\tilde{\mathbf{Q}}}(\mathbf{m})$ , we can consider the tensor product  $M \otimes N$  in  $U(\mathfrak{g}_{\tilde{\mathbf{Q}}}(\mathbf{m}))$ -mod for  $M, N \in U(\mathfrak{g}_{\tilde{\mathbf{Q}}}(\mathbf{m}))$ -mod. We regard  $\mathcal{S}_{n,r}^1(\mathbf{m})$ -modules ( $n \geq 0$ ) as a  $U(\mathfrak{g}_{\tilde{\mathbf{Q}}}(\mathbf{m}))$ -modules through the homomorphism  $\Psi_1$ . Take  $n, n_1, n_2 \in \mathbb{Z}_{\geq 0}$  such that  $n = n_1 + n_2$ . Then, in Proposition 10.1, we prove that, for  $\lambda \in \tilde{\Lambda}_{n_1, r}^+(\mathbf{m})$  and  $\mu \in \tilde{\Lambda}_{n_2, r}^+(\mathbf{m})$ ,

$$(0.2.2) \quad \Delta(\lambda) \otimes \Delta(\mu) \cong \bigoplus_{\nu \in \tilde{\Lambda}_{n, r}^+(\mathbf{m})} \text{LR}_{\lambda\mu}^{\nu} \Delta(\nu)$$

as  $U(\mathfrak{g}_{\tilde{\mathbf{Q}}}(\mathbf{m}))$ -modules if  $m_k \geq n$  for all  $k = 1, 2, \dots, r-1$ , where  $\text{LR}_{\lambda\mu}^{\nu} \Delta(\nu)$  means the direct sum of  $\text{LR}_{\lambda\mu}^{\nu}$  copies of  $\Delta(\nu)$ . In particular, we see that  $\Delta(\lambda) \otimes \Delta(\mu) \in \mathcal{S}_{n,r}^1(\mathbf{m})$ -mod. The decomposition (0.2.2) gives an interpretation of the formula

(0.2.1) in the category  $\mathcal{C}_{\tilde{\mathbf{Q}}}(\mathbf{m})$ . We expect that (0.2.2) also holds without the condition for  $\mathbf{m}$ . (Note that we prove the formula (0.2.1) without the condition for  $\mathbf{m}$  in Proposition 9.4.)

**0.3.** Put  $\mathbb{A} = \mathbb{Z}[q, q^{-1}, Q_1, \dots, Q_{r-1}]$ , where  $q, Q_1, \dots, Q_{r-1}$  are indeterminate elements over  $\mathbb{Z}$ , and let  $\mathbb{K} = \mathbb{Q}(q, Q_1, \dots, Q_{r-1})$  be the quotient field of  $\mathbb{A}$ . In §4, we introduce an associative algebra  $\mathcal{U}_{q, \mathbf{Q}}(\mathbf{m})$  with parameters  $q$  and  $\mathbf{Q}$  associated with the Cartan data of  $\mathfrak{gl}_m$  which is separated into  $r$  parts with respect to  $\mathbf{m}$ .

Let  $\mathcal{U}_{\mathbb{A}, q, \mathbf{Q}}^*(\mathbf{m})$  be the  $\mathbb{A}$ -subalgebra of  $\mathcal{U}_{q, \mathbf{Q}}(\mathbf{m})$  generated by defining generators of  $\mathcal{U}_{q, \mathbf{Q}}(\mathbf{m})$  (see the paragraph 4.11). We regard  $\mathbb{Q}(\mathbf{Q})$  as an  $\mathbb{A}$ -module through the ring homomorphism  $\mathbb{A} \rightarrow \mathbb{Q}(\mathbf{Q})$  by sending  $q$  to 1, and we consider the specialization  $\mathbb{Q}(\mathbf{Q}) \otimes_{\mathbb{A}} \mathcal{U}_{\mathbb{A}, q, \mathbf{Q}}^*(\mathbf{m})$  using this ring homomorphism. Then we have a surjective homomorphism of algebras

$$(0.3.1) \quad U(\mathfrak{g}_{\mathbf{Q}}(\mathbf{m})) \rightarrow \mathbb{Q}(\mathbf{Q}) \otimes_{\mathbb{A}} \mathcal{U}_{\mathbb{A}, q, \mathbf{Q}}^*(\mathbf{m}) / \mathfrak{J},$$

where  $\mathfrak{J}$  is a certain ideal of  $\mathbb{Q}(\mathbf{Q}) \otimes_{\mathbb{A}} \mathcal{U}_{\mathbb{A}, q, \mathbf{Q}}^*(\mathbf{m})$  (see (4.11.2)). We conjecture that the surjection (0.3.1) is isomorphic. Then we can regard  $\mathcal{U}_{q, \mathbf{Q}}(\mathbf{m})$  as a “ $q$ -analogue” of  $U(\mathfrak{g}_{\mathbf{Q}}(\mathbf{m}))$ . Dividing by the ideal  $\mathfrak{J}$  in (0.3.1) means that the Cartan subalgebra  $U(\mathfrak{n}^0)$  of  $U(\mathfrak{g}_{\mathbf{Q}}(\mathbf{m}))$  deforms to several directions in  $\mathcal{U}_{q, \mathbf{Q}}(\mathbf{m})$  (see the paragraph 4.11 and Remark 4.12).

We see that  $\mathcal{U}_{q, \mathbf{Q}}(\mathbf{m})$  has a triangular decomposition

$$(0.3.2) \quad \mathcal{U}_{q, \mathbf{Q}}(\mathbf{m}) = \mathcal{U}^- \mathcal{U}^0 \mathcal{U}^+$$

in a weak sense (see (4.6.1)). We conjecture that the multiplication map  $\mathcal{U}^- \otimes_{\mathbb{K}} \mathcal{U}^0 \otimes_{\mathbb{K}} \mathcal{U}^+ \rightarrow \mathcal{U}_{q, \mathbf{Q}}(\mathbf{m})$  gives an isomorphism as vector spaces. More precisely, we expect the existence of a PBW type basis of  $\mathcal{U}_{q, \mathbf{Q}}(\mathbf{m})$  which is compatible with a PBW basis of  $U(\mathfrak{g}_{\mathbf{Q}}(\mathbf{m}))$  through the homomorphism (0.3.1).

Anyway, thanks to the triangular decomposition (0.3.2), we can develop the weight theory to study  $\mathcal{U}_{q, \mathbf{Q}}(\mathbf{m})$ -modules in the usual manner (see §5). Let  $\mathcal{C}_{q, \mathbf{Q}}(\mathbf{m})$  be the category of finite dimensional  $\mathcal{U}_{q, \mathbf{Q}}(\mathbf{m})$ -modules which have the weight space decompositions, and all eigenvalues of the action of  $\mathcal{U}^0$  belong to  $\mathbb{K}$ . Then we see that a simple  $\mathcal{U}_{q, \mathbf{Q}}(\mathbf{m})$ -module in  $\mathcal{C}_{q, \mathbf{Q}}(\mathbf{m})$  is a highest weight module.

There exists a surjective homomorphism of algebras  $\mathcal{U}_{q, \mathbf{Q}}(\mathbf{m}) \rightarrow U_q(\mathfrak{gl}_m)$  (see (4.9.1)) which can be regarded as a special case of evaluation homomorphisms (see Remark 4.10). Let  $\mathcal{C}_{U_q(\mathfrak{gl}_m)}$  be the category of finite dimensional  $U_q(\mathfrak{gl}_m)$ -modules which have the weight space decompositions. Then  $\mathcal{C}_{U_q(\mathfrak{gl}_m)}$  is a full subcategory of  $\mathcal{C}_{q, \mathbf{Q}}(\mathbf{m})$  through the above surjection (see Proposition 5.6).

Put  $\tilde{\mathbb{K}} = \mathbb{K}(Q_0)$  and  $\tilde{\mathbb{A}} = \mathbb{A}[Q_0]$ . We also put  $\mathcal{U}_{q, \tilde{\mathbf{Q}}}(\mathbf{m}) = \tilde{\mathbb{K}} \otimes_{\mathbb{K}} \mathcal{U}_{q, \mathbf{Q}}(\mathbf{m})$ . Let  $\mathcal{U}_{\mathbb{A}, q, \mathbf{Q}}(\mathbf{m})$  be the  $\mathbb{A}$ -form of  $\mathcal{U}_{q, \mathbf{Q}}(\mathbf{m})$  taking divided powers (see the paragraph 4.13), and put  $\mathcal{U}_{\tilde{\mathbb{A}}, q, \tilde{\mathbf{Q}}}(\mathbf{m}) = \tilde{\mathbb{A}} \otimes_{\mathbb{A}} \mathcal{U}_{\mathbb{A}, q, \mathbf{Q}}(\mathbf{m})$ . Let  $\mathcal{S}_{n, r}^{\tilde{\mathbb{K}}}(\mathbf{m})$  (resp.  $\mathcal{S}_{n, r}^{\tilde{\mathbb{A}}}(\mathbf{m})$ ) be the cyclotomic  $q$ -Schur algebra over  $\tilde{\mathbb{K}}$  (resp. over  $\tilde{\mathbb{A}}$ ) with parameters  $q$  and  $\tilde{\mathbf{Q}}$ . In

Theorem 8.1, we prove that there exists a homomorphism of algebras

$$\Psi : \mathcal{U}_{q, \tilde{\mathbf{Q}}}(\mathbf{m}) \rightarrow \mathcal{S}_{n,r}^{\tilde{\mathbb{K}}}(\mathbf{m}).$$

By the restriction of  $\Psi$  to  $\mathcal{U}_{\tilde{\mathbb{A}}, q, \tilde{\mathbf{Q}}}(\mathbf{m})$ , we have the homomorphism  $\Psi_{\tilde{\mathbb{A}}} : \mathcal{U}_{\tilde{\mathbb{A}}, q, \tilde{\mathbf{Q}}}(\mathbf{m}) \rightarrow \mathcal{S}_{n,r}^{\tilde{\mathbb{A}}}(\mathbf{m})$ . Then we can specialize  $\Psi_{\tilde{\mathbb{A}}}$  to any base ring and parameters. If  $m_k \geq n$  for all  $k = 1, 2, \dots, r-1$ , then  $\Psi$  (resp.  $\Psi_{\tilde{\mathbb{A}}}$ ) is surjective (see also Remark 8.2 for surjectivity of  $\Psi$ ). In Theorem 8.3, we prove that  $\mathcal{S}_{n,r}^{\tilde{\mathbb{K}}}(\mathbf{m})$ -mod is a full subcategory of  $\mathcal{C}_{q, \tilde{\mathbf{Q}}}(\mathbf{m})$  through the surjection  $\Psi$  if  $\mathbf{m}$  is enough large.

We conjecture that  $\mathcal{U}_{q, \tilde{\mathbf{Q}}}(\mathbf{m})$  has a structure as a Hopf algebra, and that the decomposition (0.2.2) also holds for Weyl modules of  $\mathcal{S}_{n,r}^{\tilde{\mathbb{K}}}(\mathbf{m})$  ( $n \geq 0$ ) through the homomorphism  $\Psi$  and the Hopf algebra structure of  $\mathcal{U}_{q, \tilde{\mathbf{Q}}}(\mathbf{m})$ . (Note that the formula (0.2.1) holds for  $\mathcal{S}_{n,r}^{\tilde{\mathbb{K}}}(\mathbf{m})$  ( $n \geq 0$ ).)

It is also interesting problem to obtain a monoidal structure for  $\mathcal{U}_{q, \mathbf{Q}}(\mathbf{m})$  (resp.  $\mathcal{U}_{\tilde{\mathbb{A}}, q, \mathbf{Q}}(\mathbf{m})$  and its specialization) which should be related to the monoidal structure on the affine parabolic category  $\mathbf{O}$ .

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## § 1. NOTATION

**1.1.** For a condition  $X$ , put  $\delta_{(X)} = \begin{cases} 1 & \text{if } X \text{ is true,} \\ 0 & \text{if } X \text{ is false.} \end{cases}$  We also put  $\delta_{i,j} = \delta_{(i=j)}$  for simplicity.

**1.2.  $q$ -integers.** Let  $\mathbb{Q}(q)$  be the field of rational functions over  $\mathbb{Q}$  with an indeterminate variable  $q$ . For  $d \in \mathbb{Z}$ , put  $[d] = (q^d - q^{-d})/(q - q^{-1}) \in \mathbb{Q}(q)$ . For  $d \in \mathbb{Z}_{>0}$ , put  $[d]! = [d][d-1] \dots [1]$ , and we put  $[0]! = 1$ . For  $d \in \mathbb{Z}$  and  $c \in \mathbb{Z}_{>0}$ , put

$$\begin{bmatrix} d \\ c \end{bmatrix} = \frac{[d][d-1] \dots [d-c+1]}{[c][c-1] \dots [1]}, \text{ and put } \begin{bmatrix} d \\ 0 \end{bmatrix} = 1.$$

It is well-known that all  $[d]$ ,  $[d]!$  and  $\begin{bmatrix} d \\ c \end{bmatrix}$  belong to  $\mathbb{Z}[q, q^{-1}]$ . Thus we can specialize these elements to any ring  $R$  and  $q \in R$  such that  $q$  is invertible in  $R$ , and we denote them by same symbols.

**1.3. Cartan data.** Let  $\mathbf{m} = (m_1, \dots, m_r)$  be an  $r$ -tuple of positive integers. Put  $m = \sum_{k=1}^r m_k$ . Let  $P = \bigoplus_{i=1}^m \mathbb{Z}\varepsilon_i$  be the weight lattice of  $\mathfrak{gl}_m$ , and let  $P^\vee = \bigoplus_{i=1}^m \mathbb{Z}h_i$  be its dual with the natural pairing  $\langle \cdot, \cdot \rangle : P \times P^\vee \rightarrow \mathbb{Z}$  such that  $\langle \varepsilon_i, h_j \rangle = \delta_{ij}$ . put  $P_{\geq 0} = \bigoplus_{i=1}^m \mathbb{Z}_{\geq 0}\varepsilon_i$ .

Set  $\alpha_i = \varepsilon_i - \varepsilon_{i+1}$  for  $i = 1, \dots, m-1$ , then  $\Pi = \{\alpha_i \mid 1 \leq i \leq m-1\}$  is the set of simple roots, and  $Q = \bigoplus_{i=1}^{m-1} \mathbb{Z}\alpha_i$  is the root lattice of  $\mathfrak{gl}_m$ . Put  $Q^+ = \bigoplus_{i=1}^{m-1} \mathbb{Z}_{\geq 0}\alpha_i$ .

Set  $\alpha_i^\vee = h_i - h_{i+1}$  for  $i = 1, \dots, m-1$ , then  $\Pi^\vee = \{\alpha_i^\vee \mid 1 \leq i \leq m-1\}$  is the set of simple coroots.

We define a partial order  $\geq$  on  $P$ , so called dominance order, by  $\lambda \geq \mu$  if  $\lambda - \mu \in Q^+$ .

Put  $\Gamma(\mathbf{m}) = \{(i, k) \mid 1 \leq i \leq m_k, 1 \leq k \leq r\}$ , and  $\Gamma'(\mathbf{m}) = \Gamma(\mathbf{m}) \setminus \{(m_r, r)\}$ . We identify the set  $\Gamma(\mathbf{m})$  with the set  $\{1, 2, \dots, m\}$  by the bijection

$$(1.3.1) \quad \gamma : \Gamma(\mathbf{m}) \rightarrow \{1, 2, \dots, m\} \text{ such that } (i, k) \mapsto \sum_{j=1}^{k-1} m_j + i.$$

Then, we can identify the set  $\Gamma'(\mathbf{m})$  with the set  $\{1, 2, \dots, m-1\}$ . Under the identification (1.3.1), for  $(i, k), (j, l) \in \Gamma(\mathbf{m})$ , we define

$$(i, k) > (j, l) \text{ if } \gamma((i, k)) > \gamma((j, l)), \text{ and } (i, k) \pm (j, l) = \gamma((i, k)) \pm \gamma((j, l)).$$

We also have  $(m_k + 1, k) = (1, k + 1)$  for  $k = 1, \dots, r - 1$  (resp.  $(1 - 1, k) = (m_{k-1}, k - 1)$  for  $k = 2, \dots, r$ ).

We may write

$$P = \bigoplus_{(i,k) \in \Gamma(\mathbf{m})} \mathbb{Z}\varepsilon_{(i,k)}, \quad P^\vee = \bigoplus_{(i,k) \in \Gamma(\mathbf{m})} \mathbb{Z}h_{(i,k)}, \quad Q = \bigoplus_{(i,k) \in \Gamma'(\mathbf{m})} \mathbb{Z}\alpha_{(i,k)}.$$

For  $(i, k) \in \Gamma'(\mathbf{m})$ ,  $(j, l) \in \Gamma(\mathbf{m})$ , put  $a_{(i,k)(j,l)} = \langle \alpha_{(i,k)}, h_{(j,l)} \rangle$ . Then, we have

$$a_{(i,k)(j,l)} = \begin{cases} 1 & \text{if } (j, l) = (i, k), \\ -1 & \text{if } (j, l) = (i + 1, k), \\ 0 & \text{otherwise.} \end{cases}$$

Put

$$P^+ = \{\lambda \in P \mid \langle \lambda, \alpha_{(i,k)}^\vee \rangle \in \mathbb{Z}_{\geq 0} \text{ for all } (i, k) \in \Gamma'(\mathbf{m})\} \text{ and}$$

$$P_{\mathbf{m}}^+ = \{\lambda \in P \mid \langle \lambda, \alpha_{(i,k)}^\vee \rangle \in \mathbb{Z}_{\geq 0} \text{ for all } (i, k) \in \Gamma(\mathbf{m}) \setminus \{(m_k, k) \mid 1 \leq k \leq r\}\}.$$

Then  $P^+$  is the set of dominant integral weights for  $\mathfrak{gl}_m$ , and  $P_{\mathbf{m}}^+$  is the set of dominant integral weights for Levi subalgebra  $\mathfrak{gl}_{m_1} \oplus \dots \oplus \mathfrak{gl}_{m_r}$  of  $\mathfrak{gl}_m$  with respect to  $\mathbf{m} = (m_1, \dots, m_r)$ .

## § 2. LIE ALGEBRA $\mathfrak{g}_{\mathbf{Q}}(\mathbf{m})$

In this section, we introduce a Lie algebra  $\mathfrak{g}_{\mathbf{Q}}(\mathbf{m})$  with  $r - 1$  parameters  $\mathbf{Q} = (Q_1, \dots, Q_{r-1})$  associated with the Cartan data in the paragraph 1.3. Then we study some basic structures of  $\mathfrak{g}_{\mathbf{Q}}(\mathbf{m})$ . In particular, we prove that  $\mathfrak{g}_{\mathbf{Q}}(\mathbf{m})$  is a filtered deformation of the current Lie algebra  $\mathfrak{gl}_m[x]$  of the general linear Lie algebra  $\mathfrak{gl}_m$ .

**2.1.** Let  $\mathbf{Q} = (Q_1, \dots, Q_{r-1})$  be an  $r - 1$ -tuple of indeterminate elements over  $\mathbb{Z}$ . Let  $\mathbb{Z}[\mathbf{Q}] = \mathbb{Z}[Q_1, \dots, Q_{r-1}]$  be the polynomial ring with variables  $Q_1, \dots, Q_{r-1}$ , and  $\mathbb{Q}(\mathbf{Q}) = \mathbb{Q}(Q_1, \dots, Q_{r-1})$  be the quotient field of  $\mathbb{Z}[\mathbf{Q}]$ .

**Definition 2.2.** We define the Lie algebra  $\mathfrak{g} = \mathfrak{g}_{\mathbf{Q}}(\mathbf{m})$  over  $\mathbb{Q}(\mathbf{Q})$  by the following generators and defining relations:

**Generators:**  $\mathcal{X}_{(i,k),t}^{\pm}, \mathcal{I}_{(j,l),t}$  ( $(i,k) \in \Gamma'(\mathbf{m}), (j,l) \in \Gamma(\mathbf{m}), t \geq 0$ ).

**Relations:**

- (L1)  $[\mathcal{I}_{(i,k),s}, \mathcal{I}_{(j,l),t}] = 0,$
- (L2)  $[\mathcal{I}_{(j,l),s}, \mathcal{X}_{(i,k),t}^{\pm}] = \pm a_{(i,k)(j,l)} \mathcal{X}_{(i,k),s+t}^{\pm},$
- (L3)  $[\mathcal{X}_{(i,k),t}^{+}, \mathcal{X}_{(j,l),s}^{-}] = \delta_{(i,k),(j,l)} \begin{cases} \mathcal{J}_{(i,k),s+t} & \text{if } i \neq m_k, \\ -Q_k \mathcal{J}_{(m_k,k),s+t} + \mathcal{J}_{(m_k,k),s+t+1} & \text{if } i = m_k, \end{cases}$
- (L4)  $[\mathcal{X}_{(i,k),t}^{\pm}, \mathcal{X}_{(j,l),s}^{\pm}] = 0 \quad \text{if } (j,l) \neq (i \pm 1, k),$
- (L5)  $[\mathcal{X}_{(i,k),t+1}^{+}, \mathcal{X}_{(i \pm 1, k),s}^{+}] = [\mathcal{X}_{(i,k),t}^{+}, \mathcal{X}_{(i \pm 1, k),s+1}^{+}],$   
 $[\mathcal{X}_{(i,k),t+1}^{-}, \mathcal{X}_{(i \pm 1, k),s}^{-}] = [\mathcal{X}_{(i,k),t}^{-}, \mathcal{X}_{(i \pm 1, k),s+1}^{-}],$
- (L6)  $[\mathcal{X}_{(i,k),s}^{+}, [\mathcal{X}_{(i,k),t}^{+}, \mathcal{X}_{(i \pm 1, k),u}^{+}]] = [\mathcal{X}_{(i,k),s}^{-}, [\mathcal{X}_{(i,k),t}^{-}, \mathcal{X}_{(i \pm 1, k),u}^{-}]] = 0,$

where we put  $\mathcal{J}_{(i,k),t} = \mathcal{I}_{(i,k),t} - \mathcal{I}_{(i+1,k),t}$ .

**2.3.** For  $\tau \in \mathbb{Q}(\mathbf{Q})$ , let  $V_{\tau} = \bigoplus_{(j,l) \in \Gamma(\mathbf{m})} \mathbb{Q}(\mathbf{Q})v_{(j,l)}$  be the  $\mathbb{Q}(\mathbf{Q})$ -vector space with a basis  $\{v_{(j,l)} \mid (j,l) \in \Gamma(\mathbf{m})\}$ . We can define the action of  $\mathfrak{g}$  on  $V_{\tau}$  by

$$\begin{aligned} \mathcal{X}_{(i,k),t}^{+} \cdot v_{(j,l)} &= \begin{cases} \tau^t v_{(i,k)} & \text{if } (j,l) = (i+1,k) \text{ and } i \neq m_k, \\ (-Q_k + \tau) \tau^t v_{(m_k,k)} & \text{if } (j,l) = (1,k+1) \text{ and } i = m_k, \\ 0 & \text{otherwise,} \end{cases} \\ \mathcal{X}_{(i,k),t}^{-} \cdot v_{(j,l)} &= \begin{cases} \tau^t v_{(i+1,k)} & \text{if } (j,l) = (i,k), \\ 0 & \text{otherwise,} \end{cases} \\ \mathcal{I}_{(i,k),t} \cdot v_{(j,l)} &= \begin{cases} \tau^t v_{(j,l)} & \text{if } (j,l) = (i,k), \\ 0 & \text{otherwise.} \end{cases} \end{aligned}$$

We can check the well-definedness of the above action by direct calculations.

**2.4.** For  $(i,k), (j,l) \in \Gamma(\mathbf{m})$  and  $t \geq 0$ , we define the element  $\mathcal{E}_{(i,k)(j,l)}^t \in \mathfrak{g}$  by

$$\mathcal{E}_{(i,k)(j,l)}^t = \begin{cases} \mathcal{I}_{(i,k),t} & \text{if } (j,l) = (i,k), \\ [\mathcal{X}_{(i,k),0}^{+}, [\mathcal{X}_{(i+1,k),0}^{+}, \dots, [\mathcal{X}_{(j-2,l),0}^{+}, \mathcal{X}_{(j-1,l),t}^{+}] \dots]] & \text{if } (j,l) > (i,k), \\ [\mathcal{X}_{(i-1,k),0}^{-}, [\mathcal{X}_{(i-2,k),0}^{-}, \dots, [\mathcal{X}_{(j+1,l),0}^{-}, \mathcal{X}_{(j,l),t}^{-}] \dots]] & \text{if } (j,l) < (i,k), \end{cases}$$

in particular, we have  $\mathcal{E}_{(i,k)(i+1,k)}^t = \mathcal{X}_{(i,k),t}^{+}$  and  $\mathcal{E}_{(i+1,k)(i,k)}^t = \mathcal{X}_{(i,k),t}^{-}$ .

If  $(j,l) > (i,k)$ , we have

$$\begin{aligned} \mathcal{E}_{(i,k)(j,l)}^t &= [\mathcal{X}_{(i,k),0}^{+}, \mathcal{E}_{(i+1,k)(j,l)}^t] \\ &= [\mathcal{E}_{(i,k)(j-1,l)}^t, \mathcal{X}_{(j-1,l),0}^{+}]. \end{aligned}$$



If  $(j, l) < (i, k)$ , we have

$$\begin{aligned}\mathcal{E}_{(i,k),(j,l)}^t &= [\mathcal{X}_{(i-1,k),0}^-, \mathcal{E}_{(i-1,k),(j,l)}^t] \\ &= [\mathcal{E}_{(i,k),(j+1,l)}^t, \mathcal{X}_{(j,l),0}^-].\end{aligned}$$

**Lemma 2.5.**

(i) For  $(i, k), (j, l) \in \Gamma(\mathbf{m})$  such that  $(j, l) > (i, k)$ , we have

$$(2.5.1) \quad [\mathcal{X}_{(a,c),s}^+, \mathcal{E}_{(i,k),(j,l)}^t] = \begin{cases} \mathcal{E}_{(i-1,k),(j,l)}^{t+s} & \text{if } (a, c) = (i-1, k), \\ -\mathcal{E}_{(i,k),(j+1,l)}^{t+s} & \text{if } (a, c) = (j, l), \\ 0 & \text{otherwise,} \end{cases}$$

$$(2.5.2) \quad [\mathcal{I}_{(a,c),s}, \mathcal{E}_{(i,k),(j,l)}^t] = \begin{cases} \mathcal{E}_{(i,k),(j,l)}^{t+s} & \text{if } (a, c) = (i, k), \\ -\mathcal{E}_{(i,k),(j,l)}^{t+s} & \text{if } (a, c) = (j, l), \\ 0 & \text{otherwise,} \end{cases}$$

(2.5.3)

$$\begin{aligned} & [\mathcal{X}_{(a,c),s}^-, \mathcal{E}_{(i,k),(j,l)}^t] \\ &= \begin{cases} -\mathcal{E}_{(i,k),(i,k)}^{t+s} + \mathcal{E}_{(i+1,k),(i+1,k)}^{t+s} & \text{if } \ell = 1, (a, c) = (i, k) \text{ and } i \neq m_k, \\ Q_k(\mathcal{E}_{(m_k,k),(m_k,k)}^{t+s} - \mathcal{E}_{(1,k+1),(1,k+1)}^{t+s}) - \mathcal{E}_{(m_k,k),(m_k,k)}^{t+s+1} + \mathcal{E}_{(1,k+1),(1,k+1)}^{t+s+1} & \text{if } \ell = 1, (a, c) = (i, k) \text{ and } i = m_k, \\ \mathcal{E}_{(i+1,k),(j,l)}^{t+s} & \text{if } \ell > 1, (a, c) = (i, k) \text{ and } i \neq m_k, \\ -Q_k \mathcal{E}_{(1,k+1),(j,l)}^{t+s} + \mathcal{E}_{(1,k+1),(j,l)}^{t+s+1} & \text{if } \ell > 1, (a, c) = (i, k) \text{ and } i = m_k, \\ -\mathcal{E}_{(i,k),(j-1,l)}^{t+s} & \text{if } \ell > 1, (a, c) = (j-1, l) \text{ and } j-1 \neq m_l, \\ Q_l \mathcal{E}_{(i,k),(m_l,l)}^{t+s} - \mathcal{E}_{(i,k),(m_l,l)}^{t+s+1} & \text{if } \ell > 1, (a, c) = (j-1, l) \text{ and } j-1 = m_l, \\ 0 & \text{otherwise,} \end{cases} \end{aligned}$$

where we put  $\ell = (j, l) - (i, k)$ .

(ii) For  $(i, k), (j, l) \in \Gamma(\mathbf{m})$  such that  $(j, l) < (i, k)$ , we have

$$[\mathcal{X}_{(a,c),s}^-, \mathcal{E}_{(i,k),(j,l)}^t] = \begin{cases} \mathcal{E}_{(i+1,k),(j,l)}^{t+s} & \text{if } (a, c) = (i, k), \\ -\mathcal{E}_{(i,k),(j-1,l)}^{t+s} & \text{if } (a, c) = (j-1, l), \\ 0 & \text{otherwise,} \end{cases}$$

$$[\mathcal{I}_{(a,c),s}, \mathcal{E}_{(i,k),(j,l)}^t] = \begin{cases} \mathcal{E}_{(i,k),(j,l)}^{t+s} & \text{if } (a, c) = (i, k), \\ -\mathcal{E}_{(i,k),(j,l)}^{t+s} & \text{if } (a, c) = (j, l), \\ 0 & \text{otherwise,} \end{cases}$$

$$[\mathcal{X}_{(a,c),s}^+, \mathcal{E}_{(i,k),(j,l)}^t]$$



$$= \begin{cases} \mathcal{E}_{(i-1,k),(i-1,k)}^{t+s} - \mathcal{E}_{(i,k),(i,k)}^{t+s} & \text{if } \ell = 1, (a, c) = (i-1, k) \text{ and } i-1 \neq m_k, \\ -Q_k(\mathcal{E}_{(m_k,k),(m_k,k)}^{t+s} - \mathcal{E}_{(1,k+1),(1,k+1)}^{t+s}) + \mathcal{E}_{(m_k,k),(m_k,k)}^{t+s+1} - \mathcal{E}_{(1,k+1),(1,k+1)}^{t+s+1} & \text{if } \ell = 1, (a, c) = (i-1, k) \text{ and } i-1 = m_k, \\ \mathcal{E}_{(i-1,k),(j,l)}^{t+s} & \text{if } \ell > 1, (a, c) = (i-1, k) \text{ and } i-1 \neq m_k, \\ -Q_k \mathcal{E}_{(m_k,k),(j,l)}^{t+s} + \mathcal{E}_{(m_k,k),(j,l)}^{t+s+1} & \text{if } \ell > 1, (a, c) = (i-1, k) \text{ and } i-1 = m_k, \\ -\mathcal{E}_{(i,k),(j+1,l)}^{t+s} & \text{if } \ell > 1, (a, c) = (j, l) \text{ and } j \neq m_l, \\ Q_l \mathcal{E}_{(i,k),(1,l+1)}^{t+s} - \mathcal{E}_{(i,k),(1,l+1)}^{t+s+1} & \text{if } \ell > 1, (a, c) = (j, l) \text{ and } j = m_l, \\ 0 & \text{otherwise,} \end{cases}$$

where we put  $\ell = (i, k) - (j, l)$ .

(iii) For  $(i, k) \in \Gamma(\mathbf{m})$ , we have

$$\begin{aligned} [\mathcal{I}_{(a,c),s}, \mathcal{E}_{(i,k),(i,k)}^t] &= 0, \\ [\mathcal{X}_{(a,c),s}^+, \mathcal{E}_{(i,k),(i,k)}^t] &= -a_{(a,c)(i,k)} \mathcal{E}_{(a,c),(a+1,c)}^{t+s}, \\ [\mathcal{X}_{(a,c),s}^-, \mathcal{E}_{(i,k),(i,k)}^t] &= a_{(a,c)(i,k)} \mathcal{E}_{(a+1,c),(a,c)}^{t+s}. \end{aligned}$$

*Proof.* We prove (2.5.1) by the induction on  $(j, l) - (i, k)$ .

In the case where  $(j, l) - (i, k) = 1$ , it follows from the relations (L4) and (L5). Assume that  $(j, l) - (i, k) > 1$ . We have

$$\begin{aligned} [\mathcal{X}_{(a,c),s}^+, \mathcal{E}_{(i,k),(j,l)}^t] &= [\mathcal{X}_{(a,c),s}^+, [\mathcal{X}_{(i,k),0}^+, \mathcal{E}_{(i+1,k),(j,l)}^t]] \\ &= [\mathcal{X}_{(i,k),0}^+, [\mathcal{X}_{(a,c),s}^+, \mathcal{E}_{(i+1,k),(j,l)}^t]] + [[\mathcal{X}_{(a,c),s}^+, \mathcal{X}_{(i,k),0}^+], \mathcal{E}_{(i+1,k),(j,l)}^t]. \end{aligned}$$

Applying the assumption of the induction, we have

$$(2.5.4) \quad [\mathcal{X}_{(a,c),s}^+, \mathcal{E}_{(i,k),(j,l)}^t] = \begin{cases} [\mathcal{X}_{(i,k),0}^+, \mathcal{E}_{(i,k),(j,l)}^{t+s}] & \text{if } (a, c) = (i, k), \\ -[\mathcal{X}_{(i,k),0}^+, \mathcal{E}_{(i+1,k),(j+1,l)}^{t+s}] & \text{if } (a, c) = (j, l), \\ [[\mathcal{X}_{(i-1,k),s}^+, \mathcal{X}_{(i,k),0}^+], \mathcal{E}_{(i+1,k),(j,l)}^t] & \text{if } (a, c) = (i-1, k), \\ [[\mathcal{X}_{(i+1,k),s}^+, \mathcal{X}_{(i,k),0}^+], \mathcal{E}_{(i+1,k),(j,l)}^t] & \text{if } (a, c) = (i+1, k), \\ 0 & \text{otherwise.} \end{cases}$$

We also have

$$\begin{aligned} [\mathcal{X}_{(a,c),s}^+, \mathcal{E}_{(i,k),(j,l)}^t] &= [\mathcal{X}_{(a,c),s}^+, [\mathcal{E}_{(i,k),(j-1,l)}^t, \mathcal{X}_{(j-1,l),0}^+]] \\ &= [[\mathcal{X}_{(j-1,l),0}^+, \mathcal{X}_{(a,c),s}^+], \mathcal{E}_{(i,k),(j-1,l)}^t] + [[\mathcal{X}_{(a,c),s}^+, \mathcal{E}_{(i,k),(j-1,l)}^t], \mathcal{X}_{(j-1,l),0}^+]. \end{aligned}$$

Applying the assumption of the induction, we have

(2.5.5)

$$[\mathcal{X}_{(a,c),s}^+, \mathcal{E}_{(i,k),(j,l)}^t] = \begin{cases} [[\mathcal{X}_{(j-1,l),0}^+, \mathcal{X}_{(j,l),s}^+], \mathcal{E}_{(i,k),(j-1,l)}^t] & \text{if } (a,c) = (j,l), \\ [[\mathcal{X}_{(j-1,l),0}^+, \mathcal{X}_{(j-2,l),s}^+], \mathcal{E}_{(i,k),(j-1,l)}^t] & \text{if } (a,c) = (j-2,l), \\ [\mathcal{E}_{(i-1,k),(j-1,l)}^{t+s}, \mathcal{X}_{(j-1,l),0}^+] & \text{if } (a,c) = (i-1,k), \\ -[\mathcal{E}_{(i,k),(j,l)}^{t+s}, \mathcal{X}_{(j-1,l),0}^+] & \text{if } (a,c) = (j-1,l), \\ 0 & \text{otherwise.} \end{cases}$$

By (2.5.4) and (2.5.5), we have

$$[\mathcal{X}_{(a,c),s}^+, \mathcal{E}_{(i,k),(j,l)}^t] = \begin{cases} \mathcal{E}_{(i-1,k),(j,l)}^{t+s} & \text{if } (a,c) = (i-1,k), \\ -\mathcal{E}_{(i,k),(j+1,l)}^{t+s} & \text{if } (a,c) = (j,l), \\ [\mathcal{X}_{(i,k),0}^+, \mathcal{E}_{(i,k),(i+2,k)}^{t+s}] & \text{if } (a,c) = (i,k) = (j-2,l), \\ [[\mathcal{X}_{(i+1,k),s}^+, \mathcal{X}_{(i,k),0}^+], \mathcal{E}_{(i+1,k),(i+3,k)}^t] & \text{if } (a,c) = (i+1,k) = (j-2,l), \\ [\mathcal{X}_{(i+1,k),0}^-, \mathcal{E}_{(i,k),(i+2,k)}^{t+s}] & \text{if } (a,c) = (i+1,k) = (j-1,l), \\ 0 & \text{otherwise.} \end{cases}$$

By the direct calculations using the relations (L4)-(L6), we also have

$$[\mathcal{X}_{(i,k),0}^+, \mathcal{E}_{(i,k),(i+2,k)}^{t+s}] = [[\mathcal{X}_{(i+1,k),s}^+, \mathcal{X}_{(i,k),0}^+], \mathcal{E}_{(i+1,k),(i+3,k)}^t] = [\mathcal{X}_{(i+1,k),0}^-, \mathcal{E}_{(i,k),(i+2,k)}^{t+s}] = 0.$$

Now we proved (2.5.1).

We prove (2.5.2) by the induction on  $(j,l) - (i,k)$ . In the case where  $(j,l) - (i,k) = 1$ , it is just the relation (L2). Assume that  $(j,l) - (i,k) > 1$ . We have

$$\begin{aligned} [\mathcal{I}_{(a,c),s}, \mathcal{E}_{(i,k),(j,l)}^t] &= [\mathcal{I}_{(a,c),s}, [\mathcal{X}_{(i,k),0}^+, \mathcal{E}_{(i+1,k),(j,l)}^t]] \\ &= [\mathcal{X}_{(i,k),0}^+, [\mathcal{I}_{(a,c),s}, \mathcal{E}_{(i+1,k),(j,l)}^t]] + [[\mathcal{I}_{(a,c),s}, \mathcal{X}_{(i,k),0}^+], \mathcal{E}_{(i+1,k),(j,l)}^t]. \end{aligned}$$

Applying the assumption of the induction, we have

$$[\mathcal{I}_{(a,c),s}, \mathcal{E}_{(i,k),(j,l)}^t] = \begin{cases} [\mathcal{X}_{(i,k),0}^+, \mathcal{E}_{(i+1,k),(j,l)}^{t+s}] - [\mathcal{X}_{(i,k),s}^+, \mathcal{E}_{(i+1,k),(j,l)}^t] & \text{if } (a,c) = (i+1,k), \\ -[\mathcal{X}_{(i,k),0}^+, \mathcal{E}_{(i+1,k),(j,l)}^{t+s}] & \text{if } (a,c) = (j,l), \\ [\mathcal{X}_{(i,k),s}^+, \mathcal{E}_{(i+1,k),(j,l)}^t] & \text{if } (a,c) = (i,k), \\ 0 & \text{otherwise.} \end{cases}$$

Thus, we have (2.5.2) by applying (2.5.1).

We prove (2.5.3) by the induction on  $\ell = (j,l) - (i,k)$ . In the case where  $\ell = 1, 2$ , we can show (2.5.3) by direct calculations. Assume that  $\ell > 2$ , we have

$$[\mathcal{X}_{(a,c),s}^-, \mathcal{E}_{(i,k),(j,l)}^t] = [\mathcal{X}_{(a,c),s}^-, [\mathcal{X}_{(i,k),0}^+, \mathcal{E}_{(i+1,k),(j,l)}^t]]$$

$$= [\mathcal{X}_{(i,k),0}^+, [\mathcal{X}_{(a,c),s}^-, \mathcal{E}_{(i+1,k),(j,l)}^t]] + [[\mathcal{X}_{(a,c),s}^-, \mathcal{X}_{(i,k),0}^+], \mathcal{E}_{(i+1,k),(j,l)}^t].$$

Applying the assumption of the induction, we have

$$[\mathcal{X}_{(a,c),s}^-, \mathcal{E}_{(i,k),(j,l)}^t] = \begin{cases} [\mathcal{X}_{(i,k),0}^+, \mathcal{E}_{(i+2,k),(j,l)}^{t+s}] & \text{if } (a,c) = (i+1,k) \text{ and } i+1 \neq m_k, \\ [\mathcal{X}_{(i,k),0}^+, -Q_k \mathcal{E}_{(1,k+1),(j,l)}^{t+s} + \mathcal{E}_{(1,k+1),(j,l)}^{t+s+1}] & \text{if } (a,c) = (i+1,k) \text{ and } i+1 = m_k, \\ [\mathcal{X}_{(i,k),0}^+, -\mathcal{E}_{(i+1,k),(j-1,l)}^{t+s}] & \text{if } (a,c) = (j-1,l) \text{ and } j-1 \neq m_l, \\ [\mathcal{X}_{(i,k),0}^+, Q_l \mathcal{E}_{(i+1,k),(m_l,l)}^{t+s} - \mathcal{E}_{(i+1,k),(m_l,l)}^{t+s+1}] & \text{if } (a,c) = (j-1,l) \text{ and } j-1 = m_l, \\ [-\mathcal{I}_{(i,k),s} + \mathcal{I}_{(i+1,k),s}, \mathcal{E}_{(i+1,k),(j,l)}^t] & \text{if } (a,c) = (i,k) \text{ and } i \neq m_k, \\ [Q_k(\mathcal{I}_{(m_k,k),s} - \mathcal{I}_{(1,k+1),s}) - \mathcal{I}_{(m_k,k),s+1} + \mathcal{I}_{(1,k+1),s+1}, \mathcal{E}_{(1,k+1),(j,l)}^t] & \text{if } (a,c) = (i,k) \text{ and } i = m_k, \\ 0 & \text{otherwise.} \end{cases}$$

Thus, we have (2.5.3) by applying (2.5.1) and (2.5.2).

(ii) is proven in a similar way. (iii) is just the relations (L1) and (L2).  $\square$

By Lemma 2.5, we see that  $\mathfrak{g}$  is spanned by  $\{\mathcal{E}_{(i,k),(j,l)}^t \mid (i,k), (j,l) \in \Gamma(\mathbf{m}), t \geq 0\}$  as a  $\mathbb{Q}(\mathbf{Q})$ -vector space. In fact, we see that it is a basis of  $\mathfrak{g}$  as follows.

**Proposition 2.6.**  $\{\mathcal{E}_{(i,k),(j,l)}^t \mid (i,k), (j,l) \in \Gamma(\mathbf{m}), t \geq 0\}$  gives a basis of  $\mathfrak{g} = \mathfrak{g}_{\mathbf{Q}}(\mathbf{m})$ .

*Proof.* It is enough to show that  $\{\mathcal{E}_{(i,k),(j,l)}^t \mid (i,k), (j,l) \in \Gamma(\mathbf{m}), t \geq 0\}$  are linearly independent.

For  $\tau \in \mathbb{Q}(\mathbf{Q})$ , let  $V_\tau = \bigoplus_{(j,l) \in \Gamma(\mathbf{m})} \mathbb{Q}(\mathbf{Q})v_{(j,l)}$  be the  $\mathfrak{g}$ -module given in 2.3. Then, we see that

$$\mathcal{E}_{(i,k),(j,l)}^t \cdot v_{(a,c)} = \delta_{(a,c)(j,l)} \psi_{(i,k),(j,l)} \tau^t v_{(i,k)},$$

where we put

$$\psi_{(i,k),(j,l)} = \begin{cases} \prod_{p=0}^{l-k-1} (-Q_l + \tau) & \text{if } l - k > 0, \\ 1 & \text{otherwise.} \end{cases}$$

Thus, if  $\sum_{(i,k),(j,l) \in \Gamma(\mathbf{m}), t \geq 0} r_{(i,k),(j,l)}^t \mathcal{E}_{(i,k),(j,l)}^t = 0$  ( $r_{(i,k),(j,l)}^t \in \mathbb{Q}(\mathbf{Q})$ ), we have

$$\begin{aligned} \left( \sum_{(i,k),(j,l) \in \Gamma(\mathbf{m}), t \geq 0} r_{(i,k),(j,l)}^t \mathcal{E}_{(i,k),(j,l)}^t \right) \cdot v_{(a,c)} &= \sum_{(i,k) \in \Gamma(\mathbf{m})} \psi_{(i,k),(j,l)} \left( \sum_{t \geq 0} r_{(i,k),(a,c)}^t \tau^t \right) v_{(i,k)} \\ &= 0. \end{aligned}$$

Thus, for any  $(i, k), (j, l) \in \Gamma(\mathbf{m})$  and any  $\tau \in \mathbb{Q}(\mathbf{Q})$ , we have

$$\psi_{(i,k)(j,l)} \left( \sum_{t \geq 0} r_{(i,k)(j,l)}^t \tau^t \right) = 0.$$

This implies that  $r_{(i,k)(j,l)}^t = 0$  for any  $(i, k), (j, l) \in \Gamma(\mathbf{m})$  and any  $t \geq 0$ .  $\square$

**2.7.** Let  $\mathfrak{n}^+$ ,  $\mathfrak{n}^-$  and  $\mathfrak{n}^0$  be the Lie subalgebras of  $\mathfrak{g}$  generated by

$$\{\mathcal{X}_{(i,k),t}^+ \mid (i, k) \in \Gamma'(\mathbf{m}), t \geq 0\}, \{\mathcal{X}_{(i,k),t}^- \mid (i, k) \in \Gamma'(\mathbf{m}), t \geq 0\} \text{ and} \\ \{\mathcal{I}_{(j,l),t} \mid (j, l) \in \Gamma(\mathbf{m}), t \geq 0\}$$

respectively. Then, we have the following triangular decomposition as a corollary of Proposition 2.6.

**Corollary 2.8.** *We have the triangular decomposition*

$$\mathfrak{g} = \mathfrak{n}^- \oplus \mathfrak{n}^0 \oplus \mathfrak{n}^+ \quad (\text{as vector spaces}).$$

**2.9. A current Lie algebra.** Let  $\mathbb{Q}[x]$  be the polynomial ring over  $\mathbb{Q}$ , and let  $\mathfrak{gl}_m[x] = \mathbb{Q}[x] \otimes \mathfrak{gl}_m$  be the current Lie algebra associated with the general linear Lie algebra  $\mathfrak{gl}_m$  over  $\mathbb{Q}$ . Namely, the Lie bracket on  $\mathfrak{gl}_m[x]$  is defined by

$$[a \otimes g, b \otimes h] = ab \otimes [g, h] \quad (a, b \in \mathbb{Q}[x], g, h \in \mathfrak{gl}_m).$$

Let  $E_{i,j} \in \mathfrak{gl}_m$  ( $1 \leq i, j \leq m$ ) be the elementary matrix having 1 at the  $(i, j)$ -entry and 0 elsewhere. Put  $e_i = E_{i,i+1}$ ,  $f_i = E_{i+1,i}$  and  $K_j = E_{j,j}$ . Then  $\mathbb{Q}[x] \otimes \mathfrak{gl}_m$  is generated by

$$x^t \otimes e_i, x^t \otimes f_i, x^t \otimes K_j \quad (1 \leq i \leq m-1, 1 \leq j \leq m, t \geq 0).$$

**2.10.** In the case where  $r = 1$  ( $\mathbf{m} = m$ ), the Lie algebra  $\mathfrak{g}(m)$  over  $\mathbb{Q}$  is generated by  $\mathcal{X}_{i,t}^\pm$  and  $\mathcal{I}_{j,t}$  ( $1 \leq i \leq m-1, 1 \leq j \leq m, t \geq 0$ ) with the defining relations (L1)-(L6) (for  $(i, 1) \in \Gamma(m)$ , we denote  $(i, 1)$  by  $i$  simply). In this case, the relation (L3) is just

$$[\mathcal{X}_{i,t}^+, \mathcal{X}_{j,s}^-] = \delta_{i,j}(\mathcal{I}_{i,t} - \mathcal{I}_{i+1,t}).$$

Then, we have the following lemma.

**Lemma 2.11.** *There exists the isomorphism of Lie algebras*

$$\Phi : \mathfrak{g}(m) \rightarrow \mathfrak{gl}_m[x] \quad (\mathcal{X}_{i,t}^+ \mapsto x^t \otimes e_i, \mathcal{X}_{i,t}^- \mapsto x^t \otimes f_i, \mathcal{I}_{j,t} \mapsto x^t \otimes K_j).$$

*In particular, the relations (L1)-(L6) (in the case where  $r = 1$ ) give a defining relations of  $\mathfrak{gl}_m[x]$  through the isomorphism  $\Phi$ .*

*Proof.* We can show the well-definedness of the homomorphism  $\Phi$  by checking the defining relations of  $\mathfrak{g}(m)$  directly.

For  $i, j \in \{1, \dots, m\}$  and  $t \geq 0$ , we see that  $\Phi(\mathcal{E}_{i,j}^t) = x^t \otimes E_{i,j}$ . Clearly,  $\{x^t \otimes E_{i,j} \mid 1 \leq i, j \leq m, t \geq 0\}$  gives a basis of  $\mathfrak{gl}_m[x]$ . Thus, Proposition 2.6 implies that  $\Phi$  is isomorphic.  $\square$

**2.12.** In the case where  $r \geq 2$ , we can regard  $\mathfrak{g} = \mathfrak{g}_{\mathbf{Q}}(\mathbf{m})$  as a deformation of the current Lie algebra  $\mathbb{Q}(\mathbf{Q}) \otimes_{\mathbb{Q}} \mathfrak{gl}_m[x]$  as follows.

For  $t \geq 0$ , put

$$\mathcal{Y}_t = \{\mathcal{X}_{(i,k),t}^{\pm}, \mathcal{I}_{(j,l),t} \mid (i,k) \in \Gamma'(\mathbf{m}), (j,l) \in \Gamma(\mathbf{m})\}.$$

Let  $\mathfrak{g}_t$  be the  $\mathbb{Q}(\mathbf{Q})$ -subspace of  $\mathfrak{g}$  spanned by

$$\{[Y_{t_1}, [Y_{t_2}, \dots, [Y_{t_{p-1}}, Y_{t_p}] \dots] \mid Y_{t_b} \in \mathcal{Y}_{t_b}, \sum_{b=1}^p t_b \geq t, p \geq 1\}.$$

Then, we have the sequence

$$\mathfrak{g} = \mathfrak{g}_0 \supset \mathfrak{g}_1 \supset \mathfrak{g}_2 \supset \dots$$

By the defining relations (L1)-(L6), we see that

$$(2.12.1) \quad [\mathfrak{g}_s, \mathfrak{g}_t] \subset \mathfrak{g}_{s+t} \quad (s, t \geq 0).$$

For  $t \geq 0$ , let  $\sigma_t : \mathfrak{g}_t \rightarrow \mathfrak{g}_t / \mathfrak{g}_{t+1}$  be the natural surjection. By (2.12.1), we can define the structure as a Lie algebra on  $\mathbf{gr} \mathfrak{g} = \bigoplus_{t \geq 0} \mathfrak{g}_t / \mathfrak{g}_{t+1}$  by

$$[\sigma_s(g), \sigma_t(h)] = \sigma_{s+t}([g, h]) \quad (g \in \mathfrak{g}_s, h \in \mathfrak{g}_t).$$

Then we see that,  $\mathbf{gr} \mathfrak{g}$  is generated by

$$\sigma_t(\mathcal{X}_{(i,k),t}^{\pm}), \sigma_t(\mathcal{I}_{(j,l),t}) \quad ((i,k) \in \Gamma'(\mathbf{m}), (j,l) \in \Gamma(\mathbf{m}), t \geq 0),$$

and  $\mathbf{gr} \mathfrak{g}$  has a basis  $\{\sigma_t(\mathcal{E}_{(i,k),(j,l)}^t) \mid (i,k), (j,l) \in \Gamma(\mathbf{m}), t \geq 0\}$ .

**Proposition 2.13.** *There exists the isomorphism of Lie algebras*

$$\Psi : \mathbb{Q}(\mathbf{Q}) \otimes_{\mathbb{Q}} \mathfrak{gl}_m[x] \rightarrow \mathbf{gr} \mathfrak{g} = \bigoplus_{t \geq 0} \mathfrak{g}_t / \mathfrak{g}_{t+1}$$

such that

$$\begin{aligned} x^t \otimes e_{(i,k)} &\mapsto \begin{cases} \sigma_t(\mathcal{X}_{(i,k),t}^+) & \text{if } i \neq m_k, \\ -Q_k^{-1} \sigma_t(\mathcal{X}_{(m_k,k),t}^+) & \text{if } i = m_k, \end{cases} \\ x^t \otimes f_{(i,k)} &\mapsto \sigma_t(\mathcal{X}_{(i,k),t}^-), \end{aligned}$$

$$x^t \otimes K_{(j,l)} \mapsto \sigma_t(\mathcal{I}_{(j,l),t}),$$

where we use the identification (1.3.1) for the indices of generators of  $\mathfrak{gl}_m[x]$ .

*Proof.* We can show the well-definedness of the homomorphism  $\Psi$  by checking the defining relations of  $\mathfrak{gl}_m[x]$  directly (see Lemma 2.11). We also see that

$$\Psi(x^t \otimes E_{(i,k),(j,l)}) = \psi_{(i,k)(j,l)} \sigma_t(\mathcal{E}_{(i,k),(j,l)}^t),$$

where we put

$$\psi_{(i,k)(j,l)} = \begin{cases} \prod_{p=0}^{l-k-1} (-Q_{k+p}^{-1}) & \text{if } l - k > 0, \\ 1 & \text{otherwise.} \end{cases}$$

Thus, we see that  $\Psi$  is isomorphic.  $\square$

As a corollary of the above proposition, we have the following isomorphism between  $\mathbb{Q}(\mathbf{Q}) \otimes_{\mathbb{Q}} \mathfrak{g}(m)$  and  $\mathbf{gr} \mathfrak{g}_{\mathbf{Q}}(\mathbf{m})$ .

**Corollary 2.14.** *There exists the isomorphism of Lie algebras*

$$\tilde{\Psi} : \mathbb{Q}(\mathbf{Q}) \otimes_{\mathbb{Q}} \mathfrak{g}(m) \rightarrow \mathbf{gr} \mathfrak{g}_{\mathbf{Q}}(\mathbf{m}) = \bigoplus_{t \geq 0} \mathfrak{g}_t / \mathfrak{g}_{t+1}$$

such that

$$\mathcal{X}_{(i,k),t}^+ \mapsto \begin{cases} \sigma_t(\mathcal{X}_{(i,k),t}^+) & \text{if } i \neq m_k, \\ -Q_k^{-1} \sigma_t(\mathcal{X}_{(m_k,k),t}^+) & \text{if } i = m_k, \end{cases} \quad \mathcal{X}_{(i,k),t}^- \mapsto \sigma_t(\mathcal{X}_{(i,k),t}^-), \quad \mathcal{I}_{(j,l),t} \mapsto \sigma_t(\mathcal{I}_{(j,l),t}),$$

where we use the identification (1.3.1) for the indices of generators of  $\mathfrak{g}(m)$ .

**2.15.** We also have some relations between the Lie algebra  $\mathfrak{g}_{\mathbf{Q}}(\mathbf{m})$  and the general linear Lie algebra  $\mathfrak{gl}_m$  as follows. Let  $\mathfrak{gl}_{m_1} \oplus \cdots \oplus \mathfrak{gl}_{m_r}$  be a Levi subalgebra of  $\mathfrak{gl}_m$  associated with  $\mathbf{m} = (m_1, \dots, m_r)$ . Then generators of  $\mathfrak{gl}_{m_1} \oplus \cdots \oplus \mathfrak{gl}_{m_r}$  are given by  $e_{(i,k)}$ ,  $f_{(i,k)}$  ( $1 \leq i \leq m_k - 1$ ,  $1 \leq k \leq r$ ) and  $K_{(j,l)}$  ( $((j,l) \in \Gamma(\mathbf{m}))$ ), where we use the identification (1.3.1) for indices.

**Proposition 2.16.**

(i) *There exists a surjective homomorphism of Lie algebras*

$$(2.16.1) \quad g : \mathfrak{g}_{\mathbf{Q}}(\mathbf{m}) \rightarrow \mathfrak{gl}_m$$

such that

$$g(\mathcal{X}_{(i,k),0}^+) = \begin{cases} e_{(i,k)} & \text{if } i \neq m_k, \\ -Q_k e_{(m_k,k)} & \text{if } i = m_k, \end{cases} \quad g(\mathcal{X}_{(i,k),0}^-) = f_{(i,k)},$$

$$g(\mathcal{I}_{(j,l),0}) = K_{(j,l)} \text{ and } g(\mathcal{X}_{(i,k),t}^\pm) = g(\mathcal{I}_{(j,l),t}) = 0 \text{ for } t \geq 1.$$

(ii) *There exists an injective homomorphism of Lie algebras*

$$(2.16.2) \quad \iota : \mathfrak{gl}_{m_1} \oplus \cdots \oplus \mathfrak{gl}_{m_r} \rightarrow \mathfrak{g}_{\mathbf{Q}}(\mathbf{m})$$

$$\text{such that } \iota(e_{(i,k)}) = \mathcal{X}_{(i,k),0}^+, \iota(f_{(i,k)}) = \mathcal{X}_{(i,k),0}^- \text{ and } \iota(K_{(j,l)}) = \mathcal{I}_{(j,l),0}.$$

*Proof.* We can check the well-definedness of  $g$  and  $\iota$  by direct calculations. Clearly  $g$  is surjective. Let  $\iota' : \mathfrak{gl}_{m_1} \oplus \cdots \oplus \mathfrak{gl}_{m_r} \rightarrow \mathfrak{gl}_m$  be the natural embedding. Then, by investigating the image of generators, we see that  $\iota' = g \circ \iota$ . This implies that  $\iota$  is injective.  $\square$

**Remark 2.17.** The surjective homomorphism  $g$  in (2.16.1) can be regarded as a special case of evaluation homomorphisms. However, we can not define evaluation homomorphisms for  $\mathfrak{g}_{\mathbf{Q}}(\mathbf{m})$  in general although we can consider  $\mathfrak{g}_{\mathbf{Q}}(\mathbf{m})$ -modules corresponding to some evaluation modules. They will be studied in a subsequent paper.

### § 3. REPRESENTATIONS OF $\mathfrak{g}_{\mathbf{Q}}(\mathbf{m})$

Thanks to the triangular decomposition in Corollary 2.8, we can develop the weight theory to study some representations of  $\mathfrak{g}_{\mathbf{Q}}(\mathbf{m})$  in the usual manner as follows.

**3.1.** Let  $U(\mathfrak{g}) = U(\mathfrak{g}_{\mathbf{Q}}(\mathbf{m}))$  be the universal enveloping algebra of the Lie algebra  $\mathfrak{g}_{\mathbf{Q}}(\mathbf{m})$ . Then, by Corollary 2.8 together with PBW theorem, we have the triangular decomposition

$$(3.1.1) \quad U(\mathfrak{g}) \cong U(\mathfrak{n}^-) \otimes U(\mathfrak{n}^0) \otimes U(\mathfrak{n}^+).$$

Thanks to the triangular decomposition, we can develop the weight theory for  $U(\mathfrak{g})$ -modules as follows.

**3.2. Highest weight modules.** For  $\lambda \in P$  and a multiset  $\varphi = (\varphi_{(j,l),t} \mid (j,l) \in \Gamma(\mathbf{m}), t \geq 1)$  ( $\varphi_{(j,l),t} \in \mathbb{Q}(\mathbf{Q})$ ), we say that a  $U(\mathfrak{g})$ -modules  $M$  is a highest weight modules of highest weight  $(\lambda, \varphi)$  if there exists an element  $v_0 \in M$  satisfying the following three conditions:

- (i)  $M$  is generated by  $v_0$  as a  $U(\mathfrak{g})$ -module,
- (ii)  $\mathcal{X}_{(i,k),t}^+ \cdot v = 0$  for all  $(i,k) \in \Gamma'(\mathbf{m})$  and  $t \geq 0$ ,
- (iii)  $\mathcal{I}_{(j,l),0} \cdot v_0 = \langle \lambda, h_{(j,l)} \rangle v_0$  and  $\mathcal{I}_{(j,l),t} \cdot v_0 = \varphi_{(j,l),t} v_0$  for  $(j,l) \in \Gamma(\mathbf{m})$  and  $t \geq 1$ .

If an element  $v_0 \in M$  satisfies the above conditions (ii) and (iii), we say that  $v_0$  is a maximal vector of weight  $(\lambda, \varphi)$ . In this case, the submodule  $U(\mathfrak{g}) \cdot v_0$  of  $M$  is a highest weight module of highest weight  $(\lambda, \varphi)$ . If a maximal vector  $v_0 \in M$  satisfies the above condition (i), we say that  $v_0$  is a highest weight vector.

For a highest weight  $U(\mathfrak{g})$ -module  $M$  of highest weight  $(\lambda, \varphi)$  with a highest weight vector  $v_0 \in M$ , we have  $M = U(\mathfrak{n}^-) \cdot v_0$  by the triangular decomposition



(3.1.1). Thus, the relation (L2) implies the weight space decomposition

$$(3.2.1) \quad M = \bigoplus_{\substack{\mu \in P \\ \mu \leq \lambda}} M_\mu \text{ such that } \dim_{\mathbb{Q}(\mathbf{Q})} M_\lambda = 1,$$

where  $M_\mu = \{v \in M \mid \mathcal{I}_{(j,l),0} \cdot v = \langle \mu, h_{(j,l)} \rangle v \text{ for } (j,l) \in \Gamma(\mathbf{m})\}$ .

**3.3. Verma modules.** Let  $U(\mathfrak{n}^{\geq 0})$  be the subalgebra of  $U(\mathfrak{g})$  generated by  $U(\mathfrak{n}^0)$  and  $U(\mathfrak{n}^+)$ . Then, by Proposition 2.6 together with the proof of Lemma 2.5, we see that  $U(\mathfrak{n}^+)$  (resp.  $U(\mathfrak{n}^-)$ ) is isomorphic to the algebra generated by  $\{\mathcal{X}_{(i,k),t}^+ \mid (i,k) \in \Gamma'(\mathbf{m}), t \geq 0\}$  (resp.  $\{\mathcal{X}_{(i,k),t}^- \mid (i,k) \in \Gamma'(\mathbf{m}), t \geq 0\}$ ) with the defining relations (L4)-(L6),  $U(\mathfrak{n}^0)$  is isomorphic to the algebra generated by  $\{\mathcal{I}_{(j,l),t} \mid (j,l) \in \Gamma(\mathbf{m}), t \geq 0\}$  with the defining relations (L1), and that  $U(\mathfrak{n}^{\geq 0})$  is isomorphic to the algebra generated by  $\{\mathcal{X}_{(i,k),t}^+, \mathcal{I}_{(j,l),t} \mid (i,k) \in \Gamma'(\mathbf{m}), (j,l) \in \Gamma(\mathbf{m}), t \geq 0\}$  with the defining relations (L1)-(L6) except (L3). Then we have the surjective homomorphism of algebras

$$(3.3.1) \quad U(\mathfrak{n}^{\geq 0}) \rightarrow U(\mathfrak{n}^0) \text{ such that } \mathcal{X}_{(i,k),t}^+ \mapsto 0, \mathcal{I}_{(j,l),t} \mapsto \mathcal{I}_{(j,l),t}.$$

For  $\lambda \in P$  and a multiset  $\varphi = (\varphi_{(j,l),t})$ , we define a (1-dimensional) simple  $U(\mathfrak{n}^0)$ -module  $\Theta_{(\lambda,\varphi)} = \mathbb{Q}(\mathbf{Q})v_0$  by

$$\mathcal{I}_{(j,l),0} \cdot v_0 = \langle \lambda, h_{(j,l)} \rangle v_0, \quad \mathcal{I}_{(j,l),t} \cdot v_0 = \varphi_{(j,l),t} v_0$$

for  $(j,l) \in \Gamma(\mathbf{m})$  and  $t \geq 1$ . Then we define the Verma module  $M(\lambda, \varphi)$  as the induced module

$$M(\lambda, \varphi) = U(\mathfrak{g}) \otimes_{U(\mathfrak{n}^{\geq 0})} \Theta_{(\lambda,\varphi)},$$

where we regard  $\Theta_{(\lambda,\varphi)}$  as a left  $U(\mathfrak{n}^{\geq 0})$ -module through the surjection (3.3.1).

By definitions, the Verma module  $M(\lambda, \varphi)$  is a highest weight module of highest weight  $(\lambda, \varphi)$  with a highest weight vector  $1 \otimes v_0$ . Then we see that any highest weight module of highest weight  $(\lambda, \varphi)$  is a quotient of  $M(\lambda, \varphi)$  by the universality of tensor products. We also see that  $M(\lambda, \varphi)$  has the unique simple top  $L(\lambda, \varphi) = M(\lambda, \varphi) / \text{rad } M(\lambda, \varphi)$  from the weight space decomposition (3.2.1).

By using the homomorphism  $\iota : U(\mathfrak{gl}_{m_1} \oplus \cdots \oplus \mathfrak{gl}_{m_r}) \rightarrow U(\mathfrak{g})$  induced from (2.16.2), we have a necessary condition for  $L(\lambda, \varphi)$  to be finite dimensional as follows.

**Proposition 3.4.** *For  $\lambda \in P$  and a multiset  $\varphi = (\varphi_{(j,l),t})$ , if  $L(\lambda, \varphi)$  is finite dimensional, then we have  $\lambda \in P_{\mathbf{m}}^+$ .*

*Proof.* Assume that  $L(\lambda, \varphi)$  is finite dimensional. Let  $v_0 \in L(\lambda, \varphi)$  be a highest weight vector. When we regard  $L(\lambda, \varphi)$  as a  $U(\mathfrak{gl}_{m_1} \oplus \cdots \oplus \mathfrak{gl}_{m_r})$ -module through the injection  $\iota : U(\mathfrak{gl}_{m_1} \oplus \cdots \oplus \mathfrak{gl}_{m_r}) \rightarrow U(\mathfrak{g})$ , we see that  $U(\mathfrak{gl}_{m_1} \oplus \cdots \oplus \mathfrak{gl}_{m_r})$ -submodule of  $L(\lambda, \varphi)$  generated by  $v_0$  is a (finite dimensional) highest weight  $U(\mathfrak{gl}_{m_1} \oplus \cdots \oplus \mathfrak{gl}_{m_r})$ -module of highest weight  $\lambda$ . Thus, the Lemma follows from the well-known facts for  $U(\mathfrak{gl}_{m_1} \oplus \cdots \oplus \mathfrak{gl}_{m_r})$ -modules.  $\square$

**3.5. Category  $\mathcal{C}_{\mathbf{Q}}(\mathbf{m})$ .** Let  $\mathcal{C}_{\mathbf{Q}}(\mathbf{m})$  (resp.  $\mathcal{C}_{\mathbf{Q}}^{\geq 0}(\mathbf{m})$ ) be the full subcategory of  $U(\mathfrak{g})$ -mod consisting of  $U(\mathfrak{g})$ -modules satisfying the following conditions:

- (i) If  $M \in \mathcal{C}_{\mathbf{Q}}(\mathbf{m})$  (resp.  $M \in \mathcal{C}_{\mathbf{Q}}^{\geq 0}(\mathbf{m})$ ), then  $M$  is finite dimensional,
- (ii) If  $M \in \mathcal{C}_{\mathbf{Q}}(\mathbf{m})$  (resp.  $M \in \mathcal{C}_{\mathbf{Q}}^{\geq 0}(\mathbf{m})$ ), then  $M$  has the weight space decomposition

$$M = \bigoplus_{\lambda \in P} M_{\lambda} \quad (\text{resp. } M = \bigoplus_{\lambda \in P_{\geq 0}} M_{\lambda}),$$

where  $M_{\lambda} = \{v \in M \mid \mathcal{I}_{(j,l),0} \cdot v = \langle \lambda, h_{(j,l)} \rangle v \text{ for } (j,l) \in \Gamma(\mathbf{m})\}$ ,

- (iii) If  $M \in \mathcal{C}_{\mathbf{Q}}(\mathbf{m})$  (resp.  $M \in \mathcal{C}_{\mathbf{Q}}^{\geq 0}(\mathbf{m})$ ), then all eigenvalues of the action of  $\mathcal{I}_{(j,l),t}$  ( $(j,l) \in \Gamma(\mathbf{m}), t \geq 0$ ) on  $M$  belong to  $\mathbb{Q}(\mathbf{Q})$ .

By the usual argument, we have the following lemma.

**Lemma 3.6.** *Any simple object in  $\mathcal{C}_{\mathbf{Q}}(\mathbf{m})$  is a highest weight module.*

By using the surjection  $g : U(\mathfrak{g}) \rightarrow U(\mathfrak{gl}_m)$  induced from (2.16.1), we have the following proposition.

**Proposition 3.7.** *Let  $\mathcal{C}_{\mathfrak{gl}_m}$  be the category of finite dimensional  $U(\mathfrak{gl}_m)$ -modules which have the weight space decomposition. Then, we have the followings.*

- (i)  $\mathcal{C}_{\mathfrak{gl}_m}$  is a full subcategory of  $\mathcal{C}_{\mathbf{Q}}(\mathbf{m})$  through the surjection  $g : U(\mathfrak{g}) \rightarrow U(\mathfrak{gl}_m)$ .
- (ii) For  $\lambda \in P^+$ , the simple highest weight  $U(\mathfrak{gl}_m)$ -module  $\Delta_{\mathfrak{gl}_m}(\lambda)$  of highest weight  $\lambda$  is the simple highest weight  $U(\mathfrak{g})$ -module of highest weight  $(\lambda, \mathbf{0})$  through the surjection  $g : U(\mathfrak{g}) \rightarrow U(\mathfrak{gl}_m)$ , where  $\mathbf{0}$  means  $\varphi_{(j,l),t} = 0$  for all  $(j,l) \in \Gamma(\mathbf{m})$  and  $t \geq 1$ .

#### § 4. ALGEBRA $\mathcal{U}_{q,\mathbf{Q}}(\mathbf{m})$

In this section, we introduce an algebra  $\mathcal{U}_{q,\mathbf{Q}}(\mathbf{m})$  with parameters  $q$  and  $\mathbf{Q} = (Q_1, \dots, Q_{r-1})$  associated with the Cartan data in the paragraph 1.3. Then we study some basic structures of  $\mathcal{U}_{q,\mathbf{Q}}(\mathbf{m})$ . In particular, we can regard  $\mathcal{U}_{q,\mathbf{Q}}(\mathbf{m})$  as a “ $q$ -analogue” of the universal enveloping algebra  $U(\mathfrak{g}_{\mathbf{Q}}(\mathbf{m}))$  of the Lie algebra  $\mathfrak{g}_{\mathbf{Q}}(\mathbf{m})$  introduced in the section §2.

**4.1.** Put  $\mathbb{A} = \mathbb{Z}[\mathbf{Q}][q, q^{-1}] = \mathbb{Z}[q, q^{-1}, Q_1, \dots, Q_{r-1}]$ , where  $q, Q_1, \dots, Q_{r-1}$  are indeterminate elements over  $\mathbb{Z}$ , and let  $\mathbb{K} = \mathbb{Q}(q, Q_1, \dots, Q_{r-1})$  be the quotient field of  $\mathbb{A}$ .

**Definition 4.2.** *We define the associative algebra  $\mathcal{U} = \mathcal{U}_{q,\mathbf{Q}}(\mathbf{m})$  over  $\mathbb{K}$  by the following generators and defining relations:*

**Generators:**  $\mathcal{X}_{(i,k),t}^{\pm}, \mathcal{I}_{(j,l),t}^{\pm}, \mathcal{K}_{(j,l)}^{\pm}$  ( $(i,k) \in \Gamma'(\mathbf{m}), (j,l) \in \Gamma(\mathbf{m}), t \geq 0$ ).

**Relations:**

(R1)

$$\mathcal{K}_{(j,l)}^+ \mathcal{K}_{(j,l)}^- = \mathcal{K}_{(j,l)}^- \mathcal{K}_{(j,l)}^+ = 1, \quad (\mathcal{K}_{(j,l)}^{\pm})^2 = 1 \pm (q - q^{-1}) \mathcal{I}_{(j,l),0}^{\mp},$$

(R2)

$$[\mathcal{K}_{(i,k)}^+, \mathcal{K}_{(j,l)}^+] = [\mathcal{K}_{(i,k)}^+, \mathcal{I}_{(j,l),t}^{\sigma}] = [\mathcal{I}_{(i,k),s}^{\sigma}, \mathcal{I}_{(j,l),t}^{\sigma'}] = 0 \quad (\sigma, \sigma' \in \{+, -\}),$$

(R3)

$$\mathcal{K}_{(j,l)}^+ \mathcal{X}_{(i,k),t}^\pm \mathcal{K}_{(j,l)}^- = q^{\pm a(i,k)(j,l)} \mathcal{X}_{(i,k),t}^\pm,$$

(R4)

$$\begin{aligned} q^{\pm a(i,k)(j,l)} \mathcal{I}_{(j,l),0}^\pm \mathcal{X}_{(i,k),t}^\pm - q^{\mp a(i,k)(j,l)} \mathcal{X}_{(i,k),t}^\pm \mathcal{I}_{(j,l),0}^\pm &= a(i,k)(j,l) \mathcal{X}_{(i,k),t}^\pm, \\ q^{\mp a(i,k)(j,l)} \mathcal{I}_{(j,l),0}^\pm \mathcal{X}_{(i,k),t}^\mp - q^{\pm a(i,k)(j,l)} \mathcal{X}_{(i,k),t}^\mp \mathcal{I}_{(j,l),0}^\pm &= -a(i,k)(j,l) \mathcal{X}_{(i,k),t}^\mp, \end{aligned}$$

(R5)

$$\begin{aligned} [\mathcal{I}_{(j,l),s+1}^\pm, \mathcal{X}_{(i,k),t}^\pm] &= q^{\pm a(i,k)(j,l)} \mathcal{I}_{(j,l),s}^\pm \mathcal{X}_{(i,k),t+1}^\pm - q^{\mp a(i,k)(j,l)} \mathcal{X}_{(i,k),t+1}^\pm \mathcal{I}_{(j,l),s}^\pm, \\ [\mathcal{I}_{(j,l),s+1}^\pm, \mathcal{X}_{(i,k),t}^\mp] &= q^{\mp a(i,k)(j,l)} \mathcal{I}_{(j,l),s}^\pm \mathcal{X}_{(i,k),t+1}^\mp - q^{\pm a(i,k)(j,l)} \mathcal{X}_{(i,k),t+1}^\mp \mathcal{I}_{(j,l),s}^\pm, \end{aligned}$$

(R6)

$$\begin{aligned} &[\mathcal{X}_{(i,k),t}^+, \mathcal{X}_{(j,l),s}^-] \\ &= \delta_{(i,k),(j,l)} \begin{cases} \tilde{\mathcal{K}}_{(i,k)}^+ \mathcal{J}_{(i,k),s+t} & \text{if } i \neq m_k, \\ -Q_k \tilde{\mathcal{K}}_{(m_k,k)}^+ \mathcal{J}_{(m_k,k),s+t} + \tilde{\mathcal{K}}_{(m_k,k)}^+ \mathcal{J}_{(m_k,k),s+t+1} & \text{if } i = m_k, \end{cases} \end{aligned}$$

(R7)

$$\begin{aligned} &[\mathcal{X}_{(i,k),t}^\pm, \mathcal{X}_{(j,l),s}^\pm] = 0 \quad \text{if } (j,l) \neq (i,k), (i \pm 1, k), \\ &\mathcal{X}_{(i,k),t+1}^\pm \mathcal{X}_{(i,k),s}^\pm - q^{\pm 2} \mathcal{X}_{(i,k),s}^\pm \mathcal{X}_{(i,k),t+1}^\pm = q^{\pm 2} \mathcal{X}_{(i,k),t}^\pm \mathcal{X}_{(i,k),s+1}^\pm - \mathcal{X}_{(i,k),s+1}^\pm \mathcal{X}_{(i,k),t}^\pm, \\ &\mathcal{X}_{(i,k),t+1}^+ \mathcal{X}_{(i+1,k),s}^+ - q^{-1} \mathcal{X}_{(i+1,k),s}^+ \mathcal{X}_{(i,k),t+1}^+ = \mathcal{X}_{(i,k),t}^+ \mathcal{X}_{(i+1,k),s+1}^+ - q \mathcal{X}_{(i+1,k),s+1}^+ \mathcal{X}_{(i,k),t}^+, \\ &\mathcal{X}_{(i+1,k),s}^- \mathcal{X}_{(i,k),t+1}^- - q^{-1} \mathcal{X}_{(i,k),t+1}^- \mathcal{X}_{(i+1,k),s}^- = \mathcal{X}_{(i+1,k),s+1}^- \mathcal{X}_{(i,k),t}^- - q \mathcal{X}_{(i,k),t}^- \mathcal{X}_{(i+1,k),s+1}^-, \end{aligned}$$

(R8)

$$\begin{aligned} &\mathcal{X}_{(i \pm 1, k), u}^+ (\mathcal{X}_{(i,k),s}^+ \mathcal{X}_{(i,k),t}^+ + \mathcal{X}_{(i,k),t}^+ \mathcal{X}_{(i,k),s}^+) + (\mathcal{X}_{(i,k),s}^+ \mathcal{X}_{(i,k),t}^+ + \mathcal{X}_{(i,k),t}^+ \mathcal{X}_{(i,k),s}^+) \mathcal{X}_{(i \pm 1, k), u}^+ \\ &= (q + q^{-1}) (\mathcal{X}_{(i,k),s}^+ \mathcal{X}_{(i \pm 1, k), u}^+ \mathcal{X}_{(i,k),t}^+ + \mathcal{X}_{(i,k),t}^+ \mathcal{X}_{(i \pm 1, k), u}^+ \mathcal{X}_{(i,k),s}^+), \\ &\mathcal{X}_{(i \pm 1, k), u}^- (\mathcal{X}_{(i,k),s}^- \mathcal{X}_{(i,k),t}^- + \mathcal{X}_{(i,k),t}^- \mathcal{X}_{(i,k),s}^-) + (\mathcal{X}_{(i,k),s}^- \mathcal{X}_{(i,k),t}^- + \mathcal{X}_{(i,k),t}^- \mathcal{X}_{(i,k),s}^-) \mathcal{X}_{(i \pm 1, k), u}^- \\ &= (q + q^{-1}) (\mathcal{X}_{(i,k),s}^- \mathcal{X}_{(i \pm 1, k), u}^- \mathcal{X}_{(i,k),t}^- + \mathcal{X}_{(i,k),t}^- \mathcal{X}_{(i \pm 1, k), u}^- \mathcal{X}_{(i,k),s}^-), \end{aligned}$$

where we put  $\tilde{\mathcal{K}}_{(i,k)}^+ = \mathcal{K}_{(i,k)}^+ \mathcal{K}_{(i+1,k)}^-$ ,  $\tilde{\mathcal{K}}_{(i,k)}^- = \mathcal{K}_{(i,k)}^- \mathcal{K}_{(i+1,k)}^+$  and

$$\mathcal{J}_{(i,k),t} = \begin{cases} \mathcal{I}_{(i,k),0}^+ - \mathcal{I}_{(i+1,k),0}^- + (q - q^{-1}) \mathcal{I}_{(i,k),0}^+ \mathcal{I}_{(i+1,k),0}^- & \text{if } t = 0, \\ q^{-t} \mathcal{I}_{(i,k),t}^+ - q^t \mathcal{I}_{(i+1,k),t}^- - (q - q^{-1}) \sum_{b=1}^{t-1} q^{-t+2b} \mathcal{I}_{(i,k),t-b}^+ \mathcal{I}_{(i+1,k),b}^- & \text{if } t > 0. \end{cases}$$

**Remark 4.3.** The relations (R4) follows from the relations (R1) and (R3) in  $\mathcal{U}_{q,\mathbf{Q}}(\mathbf{m})$ . Thus, we do not need the relations (R4) as a defining relations of  $\mathcal{U}_{q,\mathbf{Q}}(\mathbf{m})$ . However, (R4) does not follows from (R1) and (R3) in the integral forms  $\mathcal{U}_{\mathbb{A},q,\mathbf{Q}}^*(\mathbf{m})$  and  $\mathcal{U}_{\mathbb{A},q,\mathbf{Q}}(\mathbf{m})$  defined below. Then, we require the relations (R4) in a defining relations of  $\mathcal{U}_{q,\mathbf{Q}}(\mathbf{m})$ .

**4.4.** By the relation (R1), for  $(i, k) \in \Gamma'(\mathbf{m})$ , we have

$$(4.4.1) \quad \tilde{\mathcal{K}}_{(i,k)}^+ \mathcal{J}_{(i,k),0} = \frac{\tilde{\mathcal{K}}_{(i,k)}^+ - \tilde{\mathcal{K}}_{(i,k)}^-}{q - q^{-1}}.$$

Thus, in the case where  $s = t = 0$ , we can replace the relation (R6) by

$$(4.4.2) \quad [\mathcal{X}_{(i,k),0}^+, \mathcal{X}_{(j,l),0}^-] = \delta_{(i,k),(j,l)} \begin{cases} \frac{\tilde{\mathcal{K}}_{(i,k)}^+ - \tilde{\mathcal{K}}_{(i,k)}^-}{q - q^{-1}} & \text{if } i \neq m_k, \\ -Q_k \frac{\tilde{\mathcal{K}}_{(m_k,k)}^+ - \tilde{\mathcal{K}}_{(m_k,k)}^-}{q - q^{-1}} + \tilde{\mathcal{K}}_{(m_k,k)}^+ \mathcal{J}_{(m_k,k),1} & \text{if } i = m_k. \end{cases}$$

By (R8), if  $s = t$ , we have

$$(4.4.3) \quad \begin{aligned} \mathcal{X}_{(i\pm 1,k),u}^+ (\mathcal{X}_{(i,k),t}^+)^2 - (q + q^{-1}) \mathcal{X}_{(i,k),t}^+ \mathcal{X}_{(i\pm 1,k),u}^+ \mathcal{X}_{(i,k),t}^+ + (\mathcal{X}_{(i,k),t}^+)^2 \mathcal{X}_{(i\pm 1,k),u}^+ &= 0, \\ \mathcal{X}_{(i\pm 1,k),u}^- (\mathcal{X}_{(i,k),t}^-)^2 - (q + q^{-1}) \mathcal{X}_{(i,k),t}^- \mathcal{X}_{(i\pm 1,k),u}^- \mathcal{X}_{(i,k),t}^- + (\mathcal{X}_{(i,k),t}^-)^2 \mathcal{X}_{(i\pm 1,k),u}^- &= 0. \end{aligned}$$

By (R4) and (R5), we have

$$(4.4.4) \quad [\mathcal{I}_{(j,l),1}^+, \mathcal{X}_{(i,k),t}^\pm] = [\mathcal{I}_{(j,l),1}^-, \mathcal{X}_{(i,k),t}^\pm] = \pm a_{(i,k)(j,l)} \mathcal{X}_{(i,k),t+1}^\pm.$$

By the induction on  $s$  using the relation (R6), for  $s \geq 1$ , we can show that

$$(4.4.5) \quad \begin{aligned} &[\mathcal{I}_{(j,l),s}^\pm, \mathcal{X}_{(i,k),t}^+] \\ &= a_{(i,k)(j,l)} q^{\pm a_{(i,k)(j,l)}(s-1)} \mathcal{X}_{(i,k),t+s}^+ \pm a_{(i,k)(j,l)} (q - q^{-1}) \sum_{p=1}^{s-1} q^{\pm a_{(i,k)(j,l)}(p-1)} \mathcal{X}_{(i,k),t+p}^+ \mathcal{I}_{(j,l),s-p}^\pm \\ &= a_{(i,k)(j,l)} q^{\mp a_{(i,k)(j,l)}(s-1)} \mathcal{X}_{(i,k),t+s}^+ \pm a_{(i,k)(j,l)} (q - q^{-1}) \sum_{p=1}^{s-1} q^{\mp a_{(i,k)(j,l)}(p-1)} \mathcal{I}_{(j,l),s-p}^\pm \mathcal{X}_{(i,k),t+p}^+, \end{aligned}$$

and

$$(4.4.6) \quad \begin{aligned} &[\mathcal{I}_{(j,l),s}^\pm, \mathcal{X}_{(i,k),t}^-] \\ &= -a_{(i,k)(j,l)} q^{\mp a_{(i,k)(j,l)}(s-1)} \mathcal{X}_{(i,k),t+s}^- \mp a_{(i,k)(j,l)} (q - q^{-1}) \sum_{p=1}^{s-1} q^{\mp a_{(i,k)(j,l)}(p-1)} \mathcal{X}_{(i,k),t+p}^- \mathcal{I}_{(j,l),s-p}^\pm \\ &= -a_{(i,k)(j,l)} q^{\pm a_{(i,k)(j,l)}(s-1)} \mathcal{X}_{(i,k),t+s}^- \mp a_{(i,k)(j,l)} (q - q^{-1}) \sum_{p=1}^{s-1} q^{\pm a_{(i,k)(j,l)}(p-1)} \mathcal{I}_{(j,l),s-p}^\pm \mathcal{X}_{(i,k),t+p}^-. \end{aligned}$$

**4.5.** Let  $\mathcal{U}^+ = \mathcal{U}_{q,\mathbf{Q}}^+(\mathbf{m})$ ,  $\mathcal{U}^- = \mathcal{U}_{q,\mathbf{Q}}^-(\mathbf{m})$  and  $\mathcal{U}^0 = \mathcal{U}_{q,\mathbf{Q}}^0(\mathbf{m})$  be the subalgebra of  $\mathcal{U}$  generated by

$$\begin{aligned} & \{\mathcal{X}_{(i,k),t}^+ \mid (i,k) \in \Gamma'(\mathbf{m}), t \geq 0\}, \\ & \{\mathcal{X}_{(i,k),t}^- \mid (i,k) \in \Gamma'(\mathbf{m}), t \geq 0\} \text{ and} \\ & \{\mathcal{I}_{(j,l),t}^\pm, \mathcal{K}_{(j,l)}^\pm \mid (j,l) \in \Gamma(\mathbf{m}), t \geq 0\} \end{aligned}$$

respectively. Then, we have the following triangular decomposition of  $\mathcal{U}$  from the relations (R1)-(R8), (4.4.5) and (4.4.6).

**Proposition 4.6.** *We have*

$$(4.6.1) \quad \mathcal{U} = \mathcal{U}^- \mathcal{U}^0 \mathcal{U}^+.$$

**Remark 4.7.** We conjecture that the multiplication map  $\mathcal{U}^- \otimes_{\mathbb{K}} \mathcal{U}^0 \otimes_{\mathbb{K}} \mathcal{U}^+ \rightarrow \mathcal{U}$  ( $x \otimes y \otimes z \mapsto xyz$ ) gives an isomorphism as vector spaces. More precisely, we expect the existence of a PBW type basis of  $\mathcal{U}$  (cf. Proposition 2.6 and (4.11.2) with Remark 4.12).

**4.8.** We have some relations between the algebra  $\mathcal{U}$  and a quantum group associated with the general linear Lie algebra as follows.

Let  $U_q(\mathfrak{gl}_m)$  be the quantum group associated with the general linear Lie algebra  $\mathfrak{gl}_m$  over  $\mathbb{K}$ . Namely,  $U_q(\mathfrak{gl}_m)$  is an associative algebra over  $\mathbb{K}$  generated by  $e_i, f_i$  ( $1 \leq i \leq m-1$ ) and  $K_j^\pm$  ( $1 \leq j \leq m$ ) with the following defining relations:

$$\begin{aligned} (Q1) \quad & K_i^+ K_j^+ = K_j^+ K_i^+, \quad K_i^+ K_i^- = K_i^- K_i^+ = 1, \\ (Q2) \quad & K_j^+ e_i K_j^- = q^{a_{ij}} e_i, \quad K_j^+ f_i K_j^- = q^{-a_{ij}} f_i, \quad \text{where } a_{ij} = \langle \alpha_i, h_j \rangle, \\ (Q3) \quad & e_i f_j - f_j e_i = \delta_{i,j} \frac{K_i^+ K_{i+1}^- - K_i^- K_{i+1}^+}{q - q^{-1}}, \\ (Q4) \quad & e_{i\pm 1} e_i^2 - (q + q^{-1}) e_i e_{i\pm 1} e_i + e_i^2 e_{i\pm 1} = 0, \quad e_i e_j = e_j e_i \quad (|i - j| \geq 2), \\ (Q5) \quad & f_{i\pm 1} f_i^2 - (q + q^{-1}) f_i f_{i\pm 1} f_i + f_i^2 f_{i\pm 1} = 0, \quad f_i f_j = f_j f_i \quad (|i - j| \geq 2). \end{aligned}$$

Let  $U_q(\mathfrak{gl}_{m_1} \oplus \cdots \oplus \mathfrak{gl}_{m_r}) \cong U_q(\mathfrak{gl}_{m_1}) \otimes \cdots \otimes U_q(\mathfrak{gl}_{m_r})$  be the Levi subalgebra of  $U_q(\mathfrak{gl}_m)$  associated with the Levi subalgebra  $\mathfrak{gl}_{m_1} \oplus \cdots \oplus \mathfrak{gl}_{m_r}$  of  $\mathfrak{gl}_m$ . Then generators of  $U_q(\mathfrak{gl}_{m_1} \oplus \cdots \oplus \mathfrak{gl}_{m_r})$  are given by  $e_{(i,k)}, f_{(i,k)}$  ( $1 \leq i \leq m_k - 1, 1 \leq k \leq r$ ) and  $K_{(j,l)}^\pm$  ( $(j,l) \in \Gamma(\mathbf{m})$ ), where we use the identification (1.3.1) for indices.

**Proposition 4.9.**

(i) *There exists a surjective homomorphism of algebras*

$$(4.9.1) \quad g : \mathcal{U}_{q,\mathbf{Q}}(\mathbf{m}) \rightarrow U_q(\mathfrak{gl}_m)$$

such that

$$g(\mathcal{X}_{(i,k),0}^+) = \begin{cases} e_{(i,k)} & \text{if } i \neq m_k, \\ -Q_k e_{(m_k,k)} & \text{if } i = m_k, \end{cases} \quad g(\mathcal{X}_{(i,k),0}^-) = f_{(i,k)},$$

$$g(\mathcal{K}_{(j,l)}^\pm) = K_{(j,l)}^\pm \text{ and } g(\mathcal{X}_{(i,k),t}^\pm) = g(\mathcal{I}_{(j,l),t}^\pm) = 0 \text{ for } t \geq 1.$$

(ii) There exists an injective homomorphism of algebras

$$(4.9.2) \quad \iota : U_q(\mathfrak{gl}_{m_1} \oplus \cdots \oplus \mathfrak{gl}_{m_r}) \rightarrow \mathcal{U}_{q,\mathbf{Q}}(\mathbf{m})$$

$$\text{such that } \iota(e_{(i,k)}) = \mathcal{X}_{(i,k),0}^+, \iota(f_{(i,k)}) = \mathcal{X}_{(i,k),0}^- \text{ and } \iota(K_{(j,l)}^\pm) = \mathcal{K}_{(j,l)}^\pm.$$

*Proof.* We can check the well-definedness of  $g$  and  $\iota$  by direct calculations. Clearly  $g$  is surjective. Let  $\iota' : U_q(\mathfrak{gl}_{m_1} \oplus \cdots \oplus \mathfrak{gl}_{m_r}) \rightarrow U_q(\mathfrak{gl}_m)$  be the natural embedding. Then, by investigating the image of generators, we see that  $\iota' = g \circ \iota$ . This implies that  $\iota$  is injective.  $\square$

**Remark 4.10.** The surjective homomorphism  $g$  in (4.9.1) can be regarded as a special case of evaluation homomorphisms. However, we can not define evaluation homomorphisms for  $\mathcal{U}_{q,\mathbf{Q}}(\mathbf{m})$  in general although we can consider  $\mathcal{U}_{q,\mathbf{Q}}(\mathbf{m})$ -modules corresponding to some evaluation modules. They will be studied in a subsequent paper.

**4.11.** Let  $\mathcal{U}_{\mathbb{A}}^* = \mathcal{U}_{\mathbb{A},q,\mathbf{Q}}^*(\mathbf{m})$  be the  $\mathbb{A}$ -subalgebra of  $\mathcal{U}_{q,\mathbf{Q}}(\mathbf{m})$  generated by

$$\{\mathcal{X}_{(i,k),t}^\pm, \mathcal{I}_{(j,l),t}^\pm, \mathcal{K}_{(j,l)}^\pm \mid (i,k) \in \Gamma'(\mathbf{m}), (j,l) \in \Gamma(\mathbf{m}), t \geq 0\}.$$

Then,  $\mathcal{U}_{\mathbb{A}}^*$  is an associative algebra over  $\mathbb{A}$  generated by the same generators with the defining relations (R1)-(R8). We regard  $\mathbb{Q}(\mathbf{Q})$  as an  $\mathbb{A}$ -module through the ring homomorphism  $\mathbb{A} \rightarrow \mathbb{Q}(\mathbf{Q})$  ( $q \mapsto 1$ ), and we consider the specialization  $\mathbb{Q}(\mathbf{Q}) \otimes_{\mathbb{A}} \mathcal{U}_{\mathbb{A}}^*$  using this ring homomorphism. Let  $\mathfrak{J}$  be the ideal of  $\mathbb{Q}(\mathbf{Q}) \otimes_{\mathbb{A}} \mathcal{U}_{\mathbb{A}}^*$  generated by

$$(4.11.1) \quad \{\mathcal{K}_{(j,l)}^+ - 1, \mathcal{I}_{(j,l),t}^+ - \mathcal{I}_{(j,l),t}^- \mid (j,l) \in \Gamma(\mathbf{m}), t \geq 0\}.$$

Let  $U(\mathfrak{g}_{\mathbf{Q}}(\mathbf{m}))$  be the universal enveloping algebra of the Lie algebra  $\mathfrak{g}_{\mathbf{Q}}(\mathbf{m})$  defined in Definition 2.2. Then we can check that there exists a surjective homomorphism of algebras

$$(4.11.2) \quad U(\mathfrak{g}_{\mathbf{Q}}(\mathbf{m})) \rightarrow \mathbb{Q}(\mathbf{Q}) \otimes_{\mathbb{A}} \mathcal{U}_{\mathbb{A},q,\mathbf{Q}}^*(\mathbf{m}) / \mathfrak{J}$$

such that  $\mathcal{X}_{(i,k),t}^\pm \mapsto \mathcal{X}_{(i,k),t}^\pm$  and  $\mathcal{I}_{(j,l),t} \mapsto \mathcal{I}_{(j,l),t}^+ (= \mathcal{I}_{(j,l),t}^-)$ .

**Remark 4.12.** We conjecture that the homomorphism (4.11.2) is isomorphic. Then we may regard  $\mathcal{U}_{q,\mathbf{Q}}(\mathbf{m})$  as a  $q$ -analogue of  $U(\mathfrak{g}_{\mathbf{Q}}(\mathbf{m}))$ .

We also remark that we have  $(\mathcal{K}_{(j,l)}^+)^2 = 1$  in  $\mathcal{U}_{\mathbb{A}}^*$  by the relation (R1). On the other hand, there exists an algebra automorphism of  $\mathcal{U}$  such that  $\mathcal{K}_{(j,l)}^\pm \mapsto -\mathcal{K}_{(j,l)}^\pm$

and the other generators send to the same generators. Thus, the choice of signs for  $\mathcal{K}_{(j,l)}^+$  in (4.11.1) will not cause any troubles.

**4.13.** The final of this section, we define the  $\mathbb{A}$ -form of  $\mathcal{U}$  taking the divided powers.

For  $(i, k) \in \Gamma'(\mathbf{m})$  and  $t, d \in \mathbb{Z}_{\geq 0}$ , put

$$\mathcal{X}_{(i,k),t}^{\pm(d)} = \frac{(\mathcal{X}_{(i,k),t}^{\pm})^d}{[d]!} \in \mathcal{U}.$$

For  $(j, l) \in \Gamma(\mathbf{m})$  and  $d \in \mathbb{Z}_{\geq 0}$ , put

$$\left[ \mathcal{K}_{(j,l)}; 0 \right]_d = \prod_{b=1}^d \frac{\mathcal{K}_{(j,l)}^+ q^{-b+1} - \mathcal{K}_{(j,l)}^- q^{b-1}}{q^b - q^{-b}} \in \mathcal{U}.$$

Let  $\mathcal{U}_{\mathbb{A}} = \mathcal{U}_{\mathbb{A},q,\mathbf{Q}}(\mathbf{m})$  be the  $\mathbb{A}$ -subalgebra of  $\mathcal{U}$  generated by all  $\mathcal{X}_{(i,k),t}^{\pm(d)}$ ,  $\mathcal{I}_{(j,l),t}^{\pm}$ ,  $\mathcal{K}_{(j,l)}^{\pm}$  and  $\left[ \mathcal{K}_{(j,l)}; 0 \right]_d$ .

## § 5. REPRESENTATIONS OF $\mathcal{U}_{q,\mathbf{Q}}(\mathbf{m})$

Thanks to the triangular decomposition (4.6.1) of  $\mathcal{U} = \mathcal{U}_{q,\mathbf{Q}}(\mathbf{m})$ , we can develop the weight theory to study  $\mathcal{U}$ -modules in the usual manner as follows.

**5.1. Highest weight modules.** For  $\lambda \in P$  and a multiset  $\boldsymbol{\varphi} = (\varphi_{(j,l),t}^{\pm} \mid (j,l) \in \Gamma(\mathbf{m}), t \geq 1) (\varphi_{(j,l),t}^{\pm} \in \mathbb{K})$ , we say that a  $\mathcal{U}$ -module  $M$  is a highest weight module of highest weight  $(\lambda, \boldsymbol{\varphi})$  if there exists an element  $v_0 \in M$  satisfying the following three conditions:

- (i)  $M$  is generated by  $v_0$  as a  $\mathcal{U}$ -module,
- (ii)  $\mathcal{X}_{(i,k),t}^+ \cdot v_0 = 0$  for all  $(i, k) \in \Gamma'(\mathbf{m})$  and  $t \geq 0$ ,
- (iii)  $\mathcal{K}_{(j,l)}^+ \cdot v_0 = q^{\langle \lambda, h_{(j,l)} \rangle} v_0$  and  $\mathcal{I}_{(j,l),t}^{\pm} \cdot v_0 = \varphi_{(j,l),t}^{\pm} v_0$  for  $(j, l) \in \Gamma(\mathbf{m})$  and  $t \geq 1$ .

If an element  $v_0 \in M$  satisfies the above conditions (ii) and (iii), we say that  $v_0$  is a maximal vector of weight  $(\lambda, \boldsymbol{\varphi})$ . In this case, the submodule  $\mathcal{U} \cdot v_0$  of  $M$  is a highest weight module of highest weight  $(\lambda, \boldsymbol{\varphi})$ . If a maximal vector  $v_0 \in M$  satisfies also the above condition (i), we say that  $v_0$  is a highest weight vector.

If  $v_0 \in M$  is a maximal vector of weight  $(\lambda, \boldsymbol{\varphi})$ , for  $(j, l) \in \Gamma(\mathbf{m})$ , we have

$$\mathcal{I}_{(j,l),0}^{\pm} \cdot v = q^{\mp \lambda_{(j,l)}} [\lambda_{(j,l)}] v, \text{ where } \lambda_{(j,l)} = \langle \lambda, h_{(j,l)} \rangle$$

by the relation (R1).

For a highest weight  $\mathcal{U}$ -module  $M$  of highest weight  $(\lambda, \boldsymbol{\varphi})$  with a highest weight vector  $v_0 \in M$ , we have  $M = \mathcal{U}^- \cdot v_0$  by the triangular decomposition (4.6.1). Thus, the relation (R3) implies the weight space decomposition

$$(5.1.1) \quad M = \bigoplus_{\substack{\mu \in P \\ \mu \leq \lambda}} M_{\mu} \text{ such that } \dim_{\mathbb{K}} M_{\lambda} = 1,$$



where  $M_\mu = \{v \in M \mid \mathcal{K}_{(j,l)}^+ \cdot v = q^{\langle \mu, h_{(j,l)} \rangle} v \text{ for } (j,l) \in \Gamma(\mathbf{m})\}$ .

**5.2. Verma modules.** Let  $\tilde{\mathcal{U}}^0$  be the associative algebra over  $\mathbb{K}$  generated by  $\mathcal{I}_{(j,l),t}^\pm$  and  $\mathcal{K}_{(j,l)}^\pm$  for all  $(j,l) \in \Gamma(\mathbf{m})$  and  $t \geq 0$  with the defining relations (R1) and (R2). We also define the associative algebra  $\tilde{\mathcal{U}}^{\geq 0}$  generated by  $\mathcal{X}_{(i,k),t}^+$ ,  $\mathcal{I}_{(j,l),t}^\pm$  and  $\mathcal{K}_{(j,l)}^\pm$  for all  $(i,k) \in \Gamma'(\mathbf{m})$ ,  $(j,l) \in \Gamma(\mathbf{m})$  and  $t \geq 0$  with the defining relations (R1)-(R8) except (R6). Then we have the homomorphism of algebras

$$(5.2.1) \quad \tilde{\mathcal{U}}^{\geq 0} \rightarrow \mathcal{U} \text{ such that } \mathcal{X}_{(i,k),t}^+ \mapsto \mathcal{X}_{(i,k),t}^+, \mathcal{I}_{(j,l),t}^\pm \mapsto \mathcal{I}_{(j,l),t}^\pm,$$

and the surjective homomorphism of algebras

$$(5.2.2) \quad \tilde{\mathcal{U}}^{\geq 0} \rightarrow \tilde{\mathcal{U}}^0 \text{ such that } \mathcal{X}_{(i,k),t}^+ \mapsto 0, \mathcal{I}_{(j,l),t}^\pm \mapsto \mathcal{I}_{(j,l),t}^\pm, \mathcal{K}_{(j,l)}^\pm \mapsto \mathcal{K}_{(j,l)}^\pm.$$

For  $\lambda \in P$  and a multiset  $\varphi = (\varphi_{(j,l),t}^\pm)$ , we define a (1-dimensional) simple  $\tilde{\mathcal{U}}^0$ -module  $\Theta_{(\lambda,\varphi)} = \mathbb{K}v_0$  by

$$\mathcal{K}_{(j,l)}^+ \cdot v_0 = q^{\langle \lambda, h_{(j,l)} \rangle} v_0, \quad \mathcal{I}_{(j,l),t}^\pm \cdot v_0 = \varphi_{(j,l),t}^\pm v_0$$

for  $(j,l) \in \Gamma(\mathbf{m})$  and  $t \geq 1$ . Then we define the Verma module  $M(\lambda, \varphi)$  as the induced module

$$M(\lambda, \varphi) = \mathcal{U} \otimes_{\tilde{\mathcal{U}}^{\geq 0}} \Theta_{(\lambda,\varphi)},$$

where we regard  $\Theta_{(\lambda,\varphi)}$  (resp.  $\mathcal{U}$ ) as a left (resp. right)  $\tilde{\mathcal{U}}^{\geq 0}$ -module through the homomorphism (5.2.2) (resp. (5.2.1)).

By definitions, the Verma module  $M(\lambda, \varphi)$  is a highest weight module of highest weight  $(\lambda, \varphi)$  with a highest weight vector  $1 \otimes v_0$ . Then we see that any highest weight module of highest weight  $(\lambda, \varphi)$  is a quotient of  $M(\lambda, \varphi)$  by the universality of tensor products. We also see that  $M(\lambda, \varphi)$  has the unique simple top  $L(\lambda, \varphi) = M(\lambda, \varphi) / \text{rad } M(\lambda, \varphi)$  from the weight space decomposition (5.1.1).

By using the homomorphism  $\iota : U_q(\mathfrak{gl}_{m_1} \oplus \cdots \oplus \mathfrak{gl}_{m_r}) \rightarrow \mathcal{U}$  in (4.9.2), we have the following necessary condition for  $L(\lambda, \varphi)$  to be finite dimensional in a similar way as in the proof of Proposition 3.4.

**Proposition 5.3.** *For  $\lambda \in P$  and a multiset  $\varphi = (\varphi_{(j,l),t}^\pm)$ , if  $L(\lambda, \varphi)$  is finite dimensional, then we have  $\lambda \in P_{\mathbf{m}}^+$ .*

**5.4. Category  $\mathcal{C}_{q,\mathbf{Q}}(\mathbf{m})$ .** Let  $\mathcal{C}_{q,\mathbf{Q}}(\mathbf{m})$  (resp.  $\mathcal{C}_{q,\mathbf{Q}}^{\geq 0}(\mathbf{m})$ ) be the full subcategory of  $\mathcal{U}$ -mod consisting of  $\mathcal{U}$ -modules satisfying the following conditions:

- (i) If  $M \in \mathcal{C}_{q,\mathbf{Q}}(\mathbf{m})$  (resp.  $M \in \mathcal{C}_{q,\mathbf{Q}}^{\geq 0}(\mathbf{m})$ ), then  $M$  is finite dimensional,

- (ii) If  $M \in \mathcal{C}_{q,\mathbf{Q}}(\mathbf{m})$  (resp.  $M \in \mathcal{C}_{q,\mathbf{Q}}^{\geq 0}(\mathbf{m})$ ), then  $M$  has the weight space decomposition

$$M = \bigoplus_{\lambda \in P} M_{\lambda} \quad (\text{resp. } M = \bigoplus_{\lambda \in P_{\geq 0}} M_{\lambda}),$$

where  $M_{\lambda} = \{v \in M \mid \mathcal{K}_{(j,l)}^+ \cdot m = q^{\langle \lambda, h_{(j,l)} \rangle} v \text{ for } (j,l) \in \Gamma(\mathbf{m})\}$ ,

- (iii) If  $M \in \mathcal{C}_{q,\mathbf{Q}}(\mathbf{m})$  (resp.  $M \in \mathcal{C}_{q,\mathbf{Q}}^{\geq 0}(\mathbf{m})$ ), then all eigenvalues of the action of  $\mathcal{I}_{(j,l),t}^{\pm}$  ( $(j,l) \in \Gamma(\mathbf{m}), t \geq 0$ ) on  $M$  belong to  $\mathbb{K}$ .

By the usual argument, we have the following lemma.

**Lemma 5.5.** *Any simple object in  $\mathcal{C}_{q,\mathbf{Q}}(\mathbf{m})$  is a highest weight module.*

By using the surjection  $g : \mathcal{U}_{q,\mathbf{Q}}(\mathbf{m}) \rightarrow U_q(\mathfrak{gl}_m)$  in (4.9.1), we have the following proposition.

**Proposition 5.6.** *Let  $\mathcal{C}_{U_q(\mathfrak{gl}_m)}$  be the category of finite dimensional  $U_q(\mathfrak{gl}_m)$ -modules which have the weight space decomposition. Then we have the followings.*

- (i)  $\mathcal{C}_{U_q(\mathfrak{gl}_m)}$  is a full subcategory of  $\mathcal{C}_{q,\mathbf{Q}}(\mathbf{m})$  through the surjection (4.9.1).
- (ii) For  $\lambda \in P^+$ , the simple highest weight  $U_q(\mathfrak{gl}_m)$ -module  $\Delta_{U_q(\mathfrak{gl}_m)}(\lambda)$  of highest weight  $\lambda$  is the simple highest weight  $\mathcal{U}$ -module of highest weight  $(\lambda, \mathbf{0})$  through the surjection (4.9.1), where  $\mathbf{0}$  means  $\varphi_{(j,l),t}^{\pm} = 0$  for all  $(j,l) \in \Gamma(\mathbf{m})$  and  $t \geq 1$ .

## § 6. REVIEW OF CYCLOTOMIC $q$ -SCHUR ALGEBRAS

In this section, we recall the definition and some fundamental properties of the cyclotomic  $q$ -Schur algebra  $\mathcal{S}_{n,r}(\mathbf{m})$  introduced in [DJM]. See [DJM] and [M1] for details.

**6.1.** Let  $R$  be a commutative ring, and we take parameters  $q, Q_0, Q_1, \dots, Q_{r-1} \in R$  such that  $q$  is invertible in  $R$ . The Ariki-Koike algebra  $\mathcal{H}_{n,r}$  associated with the complex reflection group  $\mathfrak{S}_n \ltimes (\mathbb{Z}/r\mathbb{Z})^n$  is the associative algebra with 1 over  $R$  generated by  $T_0, T_1, \dots, T_{n-1}$  with the following defining relations:

$$\begin{aligned} (T_0 - Q_0)(T_0 - Q_1) \dots (T_0 - Q_{r-1}) &= 0, & (T_i - q)(T_i + q^{-1}) &= 0 \quad (1 \leq i \leq n-1), \\ T_0 T_1 T_0 T_1 &= T_1 T_0 T_1 T_0, & T_i T_{i+1} T_i &= T_{i+1} T_i T_{i+1} \quad (1 \leq i \leq n-2), \\ T_i T_j &= T_j T_i \quad (|i - j| \geq 2). \end{aligned}$$

The subalgebra of  $\mathcal{H}_{n,r}$  generated by  $T_1, \dots, T_{n-1}$  is isomorphic to the Iwahori-Hecke algebra  $\mathcal{H}_n$  associated with the symmetric group  $\mathfrak{S}_n$  of degree  $n$ . For  $w \in \mathfrak{S}_n$ , we denote by  $\ell(w)$  the length of  $w$ , and denote by  $T_w$  the standard basis of  $\mathcal{H}_n$  corresponding to  $w$ .

**6.2.** Put  $L_1 = T_0$  and  $L_i = T_{i-1} L_{i-1} T_{i-1}$  for  $i = 2, \dots, n$ . These elements  $L_1, \dots, L_n$  are called Jucys-Murphy elements of  $\mathcal{H}_{n,r}$  (see [M2] for properties of Jucys-Murphy elements). The following lemma is well-known, and one can easily check them from defining relations of  $\mathcal{H}_{n,r}$ .

**Lemma 6.3.** *We have the following.*

- (i)  $L_i$  and  $L_j$  commute with each other for any  $1 \leq i, j \leq n$ .
- (ii)  $T_i$  and  $L_j$  commute with each other if  $j \neq i, i+1$ .
- (iii)  $T_i$  commutes with both  $L_i L_{i+1}$  and  $L_i + L_{i+1}$  for any  $1 \leq i \leq n-1$ .
- (iv)  $L_{i+1}^t T_i = (q - q^{-1}) \sum_{s=0}^{t-1} L_{i+1}^{t-s} L_i^s + T_i L_i^t$  for any  $1 \leq i \leq n-1$  and  $t \geq 1$ .
- (v)  $L_i^t T_i = -(q - q^{-1}) \sum_{s=1}^t L_i^{t-s} L_{i+1}^s + T_i L_{i+1}^t$  for any  $1 \leq i \leq n-1$  and  $t \geq 1$ .

**6.4.** Let  $\mathbf{m} = (m_1, \dots, m_r) \in \mathbb{Z}_{>0}^r$  be an  $r$ -tuple of positive integers. Put

$$\Lambda_{n,r}(\mathbf{m}) = \left\{ \mu = (\mu^{(1)}, \mu^{(2)}, \dots, \mu^{(r)}) \left| \begin{array}{l} \mu^{(k)} = (\mu_1^{(k)}, \dots, \mu_{m_k}^{(k)}) \in \mathbb{Z}_{\geq 0}^{m_k} \\ \sum_{k=1}^r \sum_{i=1}^{m_k} \mu_i^{(k)} = n \end{array} \right. \right\}.$$

We also put

$$\Lambda_{n,r}^+(\mathbf{m}) = \{ \mu \in \Lambda_{n,r}(\mathbf{m}) \mid \mu_1^{(k)} \geq \mu_2^{(k)} \geq \dots \geq \mu_{m_k}^{(k)} \geq 0 \text{ for each } k = 1, \dots, r \}.$$

We regard  $\Lambda_{n,r}(\mathbf{m})$  as a subset of weight lattice  $P = \bigoplus_{(i,k) \in \Gamma(\mathbf{m})} \mathbb{Z} \varepsilon_{(i,k)}$  by the injection  $\Lambda_{n,r}(\mathbf{m}) \rightarrow P$  such that  $\mu \mapsto \sum_{(i,k) \in \Gamma(\mathbf{m})} \mu_i^{(k)} \varepsilon_{(i,k)}$ . Then we see that  $\Lambda_{n,r}^+(\mathbf{m}) = \Lambda_{n,r}(\mathbf{m}) \cap P_{\mathbf{m}}^+$ .

For  $\mu \in \Lambda_{n,r}(\mathbf{m})$ , put

$$(6.4.1) \quad m_\mu = \left( \sum_{w \in \mathfrak{S}_\mu} q^{\ell(w)} T_w \right) \left( \prod_{k=1}^{r-1} \prod_{i=1}^{a_k} (L_i - Q_k) \right),$$

where  $\mathfrak{S}_\mu$  is the Young subgroup of  $\mathfrak{S}_n$  with respect to  $\mu$ , and  $a_k = \sum_{j=1}^k |\mu^{(j)}|$ . The following fact is well known:

$$(6.4.2) \quad m_\mu T_w = q^{\ell(w)} m_\mu \text{ if } w \in \mathfrak{S}_\mu.$$

The cyclotomic  $q$ -Schur algebra  $\mathcal{S}_{n,r}(\mathbf{m})$  associated with  $\mathcal{H}_{n,r}$  is defined by

$$(6.4.3) \quad \mathcal{S}_{n,r}(\mathbf{m}) = \text{End}_{\mathcal{H}_{n,r}} \left( \bigoplus_{\mu \in \Lambda_{n,r}(\mathbf{m})} m_\mu \mathcal{H}_{n,r} \right).$$

For convenience in the later arguments, put  $m_\mu = 0$  for  $\mu \in P \setminus \Lambda_{n,r}(\mathbf{m})$ .

**6.5.** Put  $\tilde{\Lambda}_{n,r}^+(\mathbf{m}) = \Lambda_{n,r}^+((n, \dots, n, m_r))$ . It is clear that  $\tilde{\Lambda}_{n,r}^+(\mathbf{m}) = \Lambda_{n,r}^+(\mathbf{m})$  if  $m_k \geq n$  for all  $k = 1, \dots, r-1$ . In the case where  $m_k < n$  for some  $k < r$ ,  $\Lambda_{n,r}^+(\mathbf{m})$  is a proper subset of  $\tilde{\Lambda}_{n,r}^+(\mathbf{m})$ .

In [DJM] (see also [M1] for the case where  $m_k < n$  for some  $k$ ), it is proven that  $\mathcal{S}_{n,r}(\mathbf{m})$  is a cellular algebra with respect to the poset  $(\tilde{\Lambda}_{n,r}^+, \geq)$ . For  $\lambda \in \tilde{\Lambda}_{n,r}^+(\mathbf{m})$ , let  $\Delta(\lambda)$  be the Weyl (cell) module corresponding to  $\lambda$  constructed in [DJM] (see also [M1] and [W3, Lemma 1.18]). By the general theory of cellular algebras

given in [GL],  $\{\Delta(\lambda) \mid \lambda \in \tilde{\Lambda}_{n,r}^+(\mathbf{m})\}$  gives a complete set of isomorphism classes of simple  $\mathcal{S}_{n,r}(\mathbf{m})$ -modules if  $\mathcal{S}_{n,r}(\mathbf{m})$  is semi-simple. It is also proven, in [DJM], that  $\mathcal{S}_{n,r}(\mathbf{m})$  is a quasi-hereditary algebra such that  $\{\Delta(\lambda) \mid \lambda \in \Lambda_{n,r}^+(\mathbf{m})\}$  gives a complete set of standard modules if  $R$  is a field and  $m_k \geq n$  for all  $k = 1, \dots, r-1$ .

From the construction of  $\Delta(\lambda)$  in [DJM],  $\Delta(\lambda)$  has a basis indexed by the set of semi-standard tableaux. Since we use them in the later argument, we recall the definition of semi-standard tableaux from [DJM].

For  $\lambda \in \tilde{\Lambda}_{n,r}^+(\mathbf{m})$ , the diagram  $[\lambda]$  of  $\lambda$  is the set

$$[\lambda] = \{(i, j, k) \in \mathbb{Z}^3 \mid 1 \leq i \leq m_k, 1 \leq j \leq \lambda_i^{(k)}, 1 \leq k \leq r\}.$$

For  $x = (i, j, k) \in [\lambda]$ , put

$$\text{res}(x) = q^{2(j-i)} Q_{k-1}.$$

For  $\lambda \in \tilde{\Lambda}_{n,r}^+(\mathbf{m})$  and  $\mu \in \Lambda_{n,r}(\mathbf{m})$ , a tableau of shape  $\lambda$  with weight  $\mu$  is a map

$$T : [\lambda] \rightarrow \{(a, c) \in \mathbb{Z} \times \mathbb{Z} \mid a \geq 1, 1 \leq c \leq r\}$$

such that  $\mu_i^{(k)} = \#\{x \in [\lambda] \mid T(x) = (i, k)\}$ . We define the order on  $\mathbb{Z} \times \mathbb{Z}$  by  $(a, c) \geq (a', c')$  if either  $c > c'$ , or  $c = c'$  and  $a \geq a'$ . For a tableau  $T$  of shape  $\lambda$  with weight  $\mu$ , we say that  $T$  is semi-standard if  $T$  satisfies the following conditions:

- (i) If  $T((i, j, k)) = (a, c)$ , then  $k \leq c$ ,
- (ii)  $T((i, j, k)) \leq T((i, j+1, k))$  if  $(i, j+1, k) \in [\lambda]$ ,
- (iii)  $T((i, j, k)) < T((i+1, j, k))$  if  $(i+1, j, k) \in [\lambda]$ .

For  $\lambda \in \tilde{\Lambda}_{n,r}^+(\mathbf{m})$ ,  $\mu \in \Lambda_{n,r}(\mathbf{m})$ , we denote by  $\mathcal{T}_0(\lambda, \mu)$  the set of semi-standard tableaux of shape  $\lambda$  with weight  $\mu$ . Then, from the cellular basis of  $\mathcal{S}_{n,r}(\mathbf{m})$  in [DJM], we see that  $\Delta(\lambda)$  has the basis

$$\{\varphi_T \mid T \in \mathcal{T}_0(\lambda, \mu) \text{ for some } \mu \in \Lambda_{n,r}(\mathbf{m})\}.$$

(See [DJM] for the definition of  $\varphi_T$ .)

## § 7. GENERATORS OF CYCLOTOMIC $q$ -SCHUR ALGEBRAS

In this section, we define some generators of the cyclotomic  $q$ -Schur algebra, and we obtain some relations among them which will be used to obtain the homomorphism from  $\mathcal{U}_{q, \mathbf{Q}}(\mathbf{m})$  in the next section.

**7.1.** A partition  $\lambda$  is a non-increasing sequence  $\lambda = (\lambda_1, \lambda_2, \dots)$  of non-negative integers. For a partition  $\lambda = (\lambda_1, \lambda_2, \dots)$ , we denote by  $\ell(\lambda)$  the length of  $\lambda$  which is the maximal integer  $l$  such that  $\lambda_l \neq 0$ . If  $\sum_{i=1}^{\ell(\lambda)} \lambda_i = n$ , we denote it by  $\lambda \vdash n$ . For a integer  $k$  and a partition  $\lambda \vdash n$  such that  $\ell(\lambda) \leq k$ , put

$$\mathfrak{S}_k \cdot \lambda = \{(\mu_1, \mu_2, \dots, \mu_k) \in \mathbb{Z}_{\geq 0}^k \mid \mu_i = \lambda_{\sigma(i)}, \sigma \in \mathfrak{S}_k\}.$$

**7.2.** For integers  $t, k > 0$ , we define the symmetric polynomials  $\Phi_t^\pm(x_1, \dots, x_k) \in R[x_1, \dots, x_k]^{\mathfrak{S}_k}$  of degree  $t$  with variables  $x_1, \dots, x_k$  as

$$(7.2.1) \quad \Phi_t^\pm(x_1, \dots, x_k) = \sum_{\substack{\lambda \vdash t \\ \ell(\lambda) \leq k}} (1 - q^{\mp 2})^{\ell(\lambda)-1} \mathbf{m}_\lambda(x_1, \dots, x_k),$$

where  $\mathbf{m}_\lambda(x_1, \dots, x_k) = \sum_{\mu=(\mu_1, \mu_2, \dots, \mu_k) \in \mathfrak{S}_k \cdot \lambda} x_1^{\mu_1} x_2^{\mu_2} \dots x_k^{\mu_k}$  is the monomial symmetric polynomial associated with the partition  $\lambda$ . For convenience, we also define

$$(7.2.2) \quad \Phi_0^\pm(x_1, \dots, x_k) = q^{\mp k \pm 1} [k].$$

From the definition, we have

$$(7.2.3) \quad \Phi_1^\pm(x_1, \dots, x_k) = x_1 + x_2 + \dots + x_k \text{ and } \Phi_t^\pm(x_1) = x_1^t.$$

The polynomials  $\Phi_t^\pm(x_1, \dots, x_k)$  satisfy the following recursive relations which will be used for calculations of some relations between generators of  $\mathcal{S}_{n,r}(\mathbf{m})$  in later.

**Lemma 7.3.** *For  $t \geq 0$ , we have*

$$(7.3.1) \quad \begin{aligned} \Phi_{t+1}^\pm(x_1, \dots, x_k) &= \sum_{s=1}^k \Phi_t^\pm(x_1, \dots, x_s) x_s - q^{\mp 2} \sum_{s=1}^{k-1} \Phi_t^\pm(x_1, \dots, x_s) x_{s+1} \\ &= x_1^{t+1} + \sum_{s=2}^k (\Phi_t^\pm(x_1, \dots, x_s) x_s - q^{\mp 2} \Phi_t^\pm(x_1, \dots, x_{s-1}) x_s) \end{aligned}$$

and

$$(7.3.2) \quad \begin{aligned} &\Phi_{t+1}^\pm(x_1, x_2, \dots, x_k) - \Phi_{t+1}^\pm(x_2, \dots, x_k) \\ &= x_1 (\Phi_t^\pm(x_1, x_2, \dots, x_k) - q^{\mp 2} \Phi_t^\pm(x_2, \dots, x_k)). \end{aligned}$$

*Proof.* In the case where  $t = 0$ , we can check the statements by direct calculations.

Assume that  $t \geq 1$ . From the definition, we have

$$\begin{aligned} \Phi_{t+1}^\pm(x_1, \dots, x_k) &= \sum_{\substack{\lambda \vdash t+1 \\ \ell(\lambda) \leq k}} (1 - q^{\mp 2})^{\ell(\lambda)-1} \sum_{\mu \in \mathfrak{S}_k \cdot \lambda} x_1^{\mu_1} x_2^{\mu_2} \dots x_k^{\mu_k} \\ &= \sum_{s=1}^k \sum_{\substack{\lambda \vdash t+1 \\ \ell(\lambda) \leq s}} (1 - q^{\mp 2})^{\ell(\lambda)-1} \sum_{\substack{\mu \in \mathfrak{S}_s \cdot \lambda \\ \mu_s \neq 0}} x_1^{\mu_1} x_2^{\mu_2} \dots x_s^{\mu_s} \\ &= \sum_{s=1}^k \sum_{\substack{\lambda \vdash t+1 \\ \ell(\lambda) \leq s}} (1 - q^{\mp 2})^{\ell(\lambda)-1} \sum_{\substack{\mu \in \mathfrak{S}_s \cdot \lambda \\ \mu_s = 1}} x_1^{\mu_1} x_2^{\mu_2} \dots x_s^{\mu_s} \end{aligned}$$

$$\begin{aligned}
& + \sum_{s=1}^k \sum_{\substack{\lambda \vdash t+1 \\ \ell(\lambda) \leq s}} (1 - q^{\mp 2})^{\ell(\lambda)-1} \sum_{\substack{\mu \in \mathfrak{S}_s \lambda \\ \mu_s \geq 2}} x_1^{\mu_1} x_2^{\mu_2} \dots x_s^{\mu_s} \\
& = \sum_{s=1}^k \sum_{\substack{\lambda \vdash t \\ \ell(\lambda) \leq s}} (1 - q^{\mp 2})^{\ell(\lambda)} \sum_{\substack{\mu \in \mathfrak{S}_s \lambda \\ \mu_s = 0}} x_1^{\mu_1} x_2^{\mu_2} \dots x_{s-1}^{\mu_{s-1}} x_s \\
& \quad + \sum_{s=1}^k \sum_{\substack{\lambda \vdash t \\ \ell(\lambda) \leq s}} (1 - q^{\mp 2})^{\ell(\lambda)-1} \sum_{\substack{\mu \in \mathfrak{S}_s \lambda \\ \mu_s \neq 0}} x_1^{\mu_1} x_2^{\mu_2} \dots x_s^{\mu_s} x_s \\
& = \sum_{s=1}^k \left( \sum_{\substack{\lambda \vdash t \\ \ell(\lambda) \leq s}} (1 - q^{\mp 2})^{\ell(\lambda)-1} \sum_{\mu \in \mathfrak{S}_s \lambda} x_1^{\mu_1} x_2^{\mu_2} \dots x_s^{\mu_s} \right) x_s \\
& \quad - q^{\mp 2} \sum_{s=2}^k \left( \sum_{\substack{\lambda \vdash t \\ \ell(\lambda) \leq s}} (1 - q^{\mp 2})^{\ell(\lambda)-1} \sum_{\substack{\mu \in \mathfrak{S}_s \lambda \\ \mu_s = 0}} x_1^{\mu_1} x_2^{\mu_2} \dots x_{s-1}^{\mu_{s-1}} \right) x_s \\
& = \sum_{s=1}^k \Phi_t^{\pm}(x_1, \dots, x_s) x_s - q^{\mp 2} \sum_{s=1}^{k-1} \Phi_t^{\pm}(x_1, \dots, x_s) x_{s+1}.
\end{aligned}$$

We can easily check the second equality of (7.3.1).

We prove (7.3.2) by the induction on  $t$ . In the case where  $t = 1$ , we can check (7.3.2) directly by using the relation (7.3.1) together with (7.2.3). Assume that  $t > 1$ . By (7.3.1), we have

$$\begin{aligned}
& \Phi_{t+1}^{\pm}(x_1, x_2, \dots, x_k) - \Phi_{t+1}^{\pm}(x_2, \dots, x_k) \\
& = \left( \sum_{s=1}^k \Phi_t^{\pm}(x_1, \dots, x_s) x_s - q^{\mp 2} \sum_{s=1}^{k-1} \Phi_t^{\pm}(x_1, \dots, x_s) x_{s+1} \right) \\
& \quad - \left( \sum_{s=2}^k \Phi_t^{\pm}(x_2, \dots, x_s) x_s - q^{\mp 2} \sum_{s=2}^{k-1} \Phi_t^{\pm}(x_2, \dots, x_s) x_{s+1} \right) \\
& = \Phi_t^{\pm}(x_1) x_1 - q^{\mp 2} \Phi_t^{\pm}(x_1) x_2 + \sum_{s=2}^k \left( \Phi_t^{\pm}(x_1, \dots, x_s) - \Phi_t^{\pm}(x_2, \dots, x_s) \right) x_s \\
& \quad - q^{\mp 2} \sum_{s=2}^{k-1} \left( \Phi_t^{\pm}(x_1, \dots, x_s) - \Phi_t^{\pm}(x_2, \dots, x_s) \right) x_{s+1}.
\end{aligned}$$

Applying the assumption of the induction, we have

$$\begin{aligned}
& \Phi_{t+1}^{\pm}(x_1, x_2, \dots, x_k) - \Phi_{t+1}^{\pm}(x_2, \dots, x_k) \\
& = x_1 \Phi_{t-1}^{\pm}(x_1) x_1 - q^{\mp 2} x_1 \Phi_{t-1}^{\pm}(x_1) x_2
\end{aligned}$$

$$\begin{aligned}
& + \sum_{s=2}^k x_1 (\Phi_{t-1}^{\pm}(x_1, x_2, \dots, x_s) - q^{\mp 2} \Phi_{t-1}^{\pm}(x_2, \dots, x_s)) x_s \\
& - q^{\mp 2} \sum_{s=2}^{k-1} x_1 (\Phi_{t-1}^{\pm}(x_1, x_2, \dots, x_s) - q^{\mp 2} \Phi_{t-1}^{\pm}(x_2, \dots, x_s)) x_{s+1} \\
& = x_1 \left\{ \left( \sum_{s=1}^k \Phi_{t-1}^{\pm}(x_1, x_2, \dots, x_s) x_s - q^{\mp 2} \sum_{s=1}^{k-1} \Phi_{t-1}^{\pm}(x_1, x_2, \dots, x_s) x_{s+1} \right) \right. \\
& \quad \left. - q^{\mp 2} \left( \sum_{s=2}^k \Phi_{t-1}^{\pm}(x_2, \dots, x_s) x_s - q^{\mp 2} \sum_{s=2}^{k-1} \Phi_{t-1}^{\pm}(x_2, \dots, x_s) x_{s+1} \right) \right\}.
\end{aligned}$$

Applying the relation (7.3.1), we obtain (7.3.2).  $\square$

**Remark 7.4.** At first, the author defined the polynomials  $\Phi_t^{\pm}(x_1, \dots, x_k)$  by using the relations (7.3.1) inductively. The definition of  $\Phi_t^{\pm}(x_1, \dots, x_k)$  as in (7.2.1) was suggested by Tatsuyuki Hikita.

**7.5.** For  $\mu \in \Lambda_{n,r}(\mathbf{m})$  and  $(j, l) \in \Gamma(\mathbf{m})$ , put

$$N_{(j,l)}^{\mu} = \sum_{c=1}^{l-1} |\mu^{(c)}| + \sum_{p=1}^j \mu_p^{(l)}.$$

For  $(j, l) \in \Gamma(\mathbf{m})$  and an integer  $t \geq 0$ , we define the elements  $\mathcal{K}_{(j,l)}^{\pm}$  and  $\mathcal{I}_{(j,l),t}^{\pm}$  of  $\mathcal{S}_{(n,r)}(\mathbf{m})$  by

$$\begin{aligned}
\mathcal{K}_{(j,l)}^{\pm}(m_{\mu}) &= q^{\pm \mu_j^{(l)}} m_{\mu}, \\
\mathcal{I}_{(j,l),t}^{+}(m_{\mu}) &= \begin{cases} q^{t-1} m_{\mu} \Phi_t^{+}(L_{N_{(j,l)}^{\mu}}, L_{N_{(j,l)}^{\mu}-1}, \dots, L_{N_{(j,l)}^{\mu}-\mu_j^{(l)}+1}) & \text{if } \mu_j^{(l)} \neq 0, \\ 0 & \text{if } \mu_j^{(l)} = 0, \end{cases} \\
\mathcal{I}_{(j,l),t}^{-}(m_{\mu}) &= \begin{cases} q^{-t+1} m_{\mu} \Phi_t^{-}(L_{N_{(j,l)}^{\mu}}, L_{N_{(j,l)}^{\mu}-1}, \dots, L_{N_{(j,l)}^{\mu}-\mu_j^{(l)}+1}) & \text{if } \mu_j^{(l)} \neq 0, \\ 0 & \text{if } \mu_j^{(l)} = 0, \end{cases}
\end{aligned}$$

for each  $\mu \in \Lambda_{n,r}(\mathbf{m})$ .

It is clear that the definitions of  $\mathcal{K}_{(j,l)}^{\pm}$  are well-defined. For  $\mu \in \Lambda_{n,r}(\mathbf{m})$  and  $(j, l) \in \Gamma(\mathbf{m})$  such that  $\mu_j^{(l)} \neq 0$ , we see that  $\Phi_t^{\pm}(L_{N_{(j,l)}^{\mu}}, L_{N_{(j,l)}^{\mu}-1}, \dots, L_{N_{(j,l)}^{\mu}-\mu_j^{(l)}+1})$  commute with  $T_w$  for any  $w \in \mathfrak{S}_{\mu}$  by Lemma 6.3 since  $\Phi_t^{\pm}(L_{N_{(j,l)}^{\mu}}, \dots, L_{N_{(j,l)}^{\mu}-\mu_j^{(l)}+1})$  is a symmetric polynomials with variables  $L_{N_{(j,l)}^{\mu}}, L_{N_{(j,l)}^{\mu}-1}, \dots, L_{N_{(j,l)}^{\mu}-\mu_j^{(l)}+1}$ . Thus,  $\Phi_t^{\pm}(L_{N_{(j,l)}^{\mu}}, \dots, L_{N_{(j,l)}^{\mu}-\mu_j^{(l)}+1})$  commute with  $m_{\mu}$ , and the definitions of  $\mathcal{I}_{(j,l),t}^{\pm}$  are well-defined.

The following lemma is immediate from definitions.

**Lemma 7.6.** For  $(i, k), (j, l) \in \Gamma(\mathbf{m})$  and  $s, t \geq 0$ , we have the following relations.



- (i)  $\mathcal{K}_{(j,l)}^+ \mathcal{K}_{(j,l)}^- = \mathcal{K}_{(j,l)}^- \mathcal{K}_{(j,l)}^+ = 1$ .
- (ii)  $[\mathcal{K}_{(i,k)}^+, \mathcal{K}_{(j,l)}^+] = [\mathcal{K}_{(i,k)}^+, \mathcal{I}_{(j,l),t}^\sigma] = [\mathcal{I}_{(i,k),s}^\sigma, \mathcal{I}_{(j,l),t}^{\sigma'}] = 0$  ( $\sigma, \sigma' \in \{+, -\}$ ).

We also have the following lemma by direct calculations.

**Lemma 7.7.** *For  $(j, l) \in \Gamma(\mathbf{m})$ , we have*

$$(\mathcal{K}_{(j,l)}^\pm)^2 = 1 \pm (q - q^{-1}) \mathcal{I}_{(j,l),0}^\mp.$$

**7.8.** For  $(i, k) \in \Gamma'(\mathbf{m})$  and an integer  $t \geq 0$ , we define the element  $\tilde{\mathcal{K}}_{(i,k)}^\pm$  and  $\mathcal{J}_{(j,l),t}$  of  $\mathcal{S}_{n,r}(\mathbf{m})$  by

$$\tilde{\mathcal{K}}_{(i,k)}^\pm = \mathcal{K}_{(i,k)}^\pm \mathcal{K}_{(i+1,k)}^\mp$$

and

$$\mathcal{J}_{(i,k),t} = \begin{cases} \mathcal{I}_{(i,k),0}^+ - \mathcal{I}_{(i+1,k),0}^- + (q - q^{-1}) \mathcal{I}_{(i,k),0}^+ \mathcal{I}_{(i+1,k),0}^- & \text{if } t = 0, \\ q^{-t} \mathcal{I}_{(i,k),t}^+ - q^t \mathcal{I}_{(i+1,k),t}^- - (q - q^{-1}) \sum_{b=1}^{t-1} q^{-t+2b} \mathcal{I}_{(i,k),t-b}^+ \mathcal{I}_{(i+1,k),b}^- & \text{if } t > 0. \end{cases}$$

By Lemma 7.7, we have the following corollary.

**Corollary 7.9.** *For  $(i, k) \in \Gamma'(\mathbf{m})$ , we have*

$$\mathcal{J}_{(i,k),0} = \mathcal{I}_{(i,k),0}^+ - (\mathcal{K}_{(i,k)}^-)^2 \mathcal{I}_{(i+1,k),0}^-.$$

**7.10.** For  $N \in \mathbb{Z}_{\geq 0}$  and  $\mu \in \mathbb{Z}_{>0}$ , put

$$[T; N, \mu]^+ = \begin{cases} 1 + \sum_{h=1}^{\mu-1} q^h T_{N+1} T_{N+2} \dots T_{N+h} & \text{if } N + \mu \leq n, \\ 0 & \text{otherwise,} \end{cases}$$

$$[T; N, \mu]^- = \begin{cases} 1 + \sum_{h=1}^{\mu-1} q^h T_{N-1} T_{N-2} \dots T_{N-h} & \text{if } n \geq N \geq \mu, \\ 0 & \text{otherwise.} \end{cases}$$

For convenience, we put  $[T; N, 0]^\pm = 0$  for any  $N \in \mathbb{Z}_{\geq 0}$ .

For  $N, \mu \in \mathbb{Z}_{\geq 0}$  and  $d \in \mathbb{Z}_{>0}$ , put

$$[{}^T; d^{N,\mu}]^+ = [T; N + (d-1), \mu - (d-1)]^+ \dots [T; N+1, \mu-1]^+ [T; N, \mu]^+,$$

$$[{}^T; d^{N,\mu}]^- = [T; N - (d-1), \mu - (d-1)]^- \dots [T; N-1, \mu-1]^- [T; N, \mu]^+.$$

We also put  $[{}^T; 0^{N,\mu}]^+ = [{}^T; 0^{N,\mu}]^- = 1$  for any  $N, \mu \in \mathbb{Z}_{\geq 0}$ .

For  $N \in \mathbb{Z}_{\geq 0}$  and  $d \in \mathbb{Z}_{>0}$ , put

$$(T; N, d)^+ = \begin{cases} 1 + \sum_{h=1}^{d-1} q^h T_{N+d-h} T_{N+d-(h-1)} \cdots T_{N+d-2} T_{N+d-1} & \text{if } N + d \leq n, \\ 0 & \text{otherwise,} \end{cases}$$

$$(T; N, d)^- = \begin{cases} 1 + \sum_{h=1}^{d-1} q^h T_{N-d+h} T_{N-d+(h-1)} \cdots T_{N-d+2} T_{N-d+1} & \text{if } n \geq N \geq d, \\ 0 & \text{otherwise.} \end{cases}$$

We also put

$$(T; N, d)^{\pm!} = (T; N, d)^{\pm} (T; N, d-1)^{\pm} \cdots (T; N, 1)^{\pm}.$$

The following lemma follows from Lemma 6.3 immediately.

**Lemma 7.11.** *For  $N, \mu \in \mathbb{Z}_{\geq 0}$ , we have the following.*

- (i)  $L_i$  commute with  $[T; N, \mu]^+$  unless  $N + \mu \geq i \geq N + 1$ .
- (ii)  $L_i$  commute with  $[T; N, \mu]^-$  unless  $N \geq i \geq N - \mu + 1$ .

**Lemma 7.12.** *We have the following.*

- (i) For  $N, \mu \in \mathbb{Z}_{\geq 0}$  such that  $N + \mu \leq n$  and  $\mu \geq 3$ , we have

$$\begin{aligned} & (q^{\mu-2} T_{N+2} T_{N+3} \cdots T_{N+\mu-1}) (q^{\mu-1} T_{N+1} T_{N+2} \cdots T_{N+\mu-1}) \\ &= (q^{\mu-1} T_{N+1} T_{N+2} \cdots T_{N+\mu-1}) (q^{\mu-2} T_{N+1} T_{N+2} \cdots T_{N+\mu-2}). \end{aligned}$$

- (ii) For  $N, \mu \in \mathbb{Z}_{\geq 0}$  such that  $N \geq \mu \geq 3$ , we have

$$\begin{aligned} & (q^{\mu-2} T_{N-2} T_{N-3} \cdots T_{N-\mu+1}) (q^{\mu-1} T_{N-1} T_{N-2} \cdots T_{N-\mu+1}) \\ &= (q^{\mu-1} T_{N-1} T_{N-2} \cdots T_{N-\mu+1}) (q^{\mu-2} T_{N-1} T_{N-2} \cdots T_{N-\mu+2}). \end{aligned}$$

- (iii) For  $N, \mu, c \in \mathbb{Z}_{\geq 0}$  such that  $\mu \geq c \geq 1$ , we have

$$\begin{aligned} [T; N+1, c]^+ (q^{\mu} T_{N+1} T_{N+2} \cdots T_{N+\mu}) &= (q^{\mu} T_{N+1} T_{N+2} \cdots T_{N+\mu}) [T; N, c]^+, \\ [T; N-1, c]^- (q^{\mu} T_{N-1} T_{N-2} \cdots T_{N-\mu}) &= (q^{\mu} T_{N-1} T_{N-2} \cdots T_{N-\mu}) [T; N, c]^-. \end{aligned}$$

*Proof.* (i) and (ii) follows from the defining relations of  $\mathcal{H}_{n,r}$ . We can prove (iii) by the induction on  $c$ .  $\square$

**Lemma 7.13.** *For  $N, \mu \in \mathbb{Z}_{\geq 0}$  and  $d \in \mathbb{Z}_{>0}$ , we have*

$$[T; N, \mu]^+ = \begin{cases} (T; N, d)^+ \left( [T; N, d-1]^+ \right. \\ \quad \left. + \sum_{h=1}^{\mu-d} (q^h T_{N+d} T_{N+d+1} \cdots T_{N+d+h-1}) [T; N, d+h-1]^+ \right) & \text{if } \mu \geq d, \\ 0 & \text{if } \mu < d, \end{cases}$$

$$[T; N, \mu]^- = \begin{cases} (T; N, d)^- \left( [T; N, d-1]^- \right. \\ \quad \left. + \sum_{h=1}^{\mu-d} (q^h T_{N-d} T_{N-d-1} \dots T_{N-d-h+1}) [T; N, d+h-1]^- \right) & \text{if } \mu \geq d, \\ 0 & \text{if } \mu < d. \end{cases}$$

*Proof.* In the case where  $\mu < d$ , we see that  $[T; N, \mu]^\pm = 0$  from the definitions.

First, we prove that, if  $\mu > d$ ,

(7.13.1)

$$[T; N, \mu]^+ = [T; N, \mu-1]^+ + (T; N, d)^+ (q^{\mu-d} T_{N+d} T_{N+d+1} \dots T_{N+\mu-1}) [T; N, \mu-1]^+$$

by the induction on  $d$ . In the case where  $d = 1$ , it is clear by definitions. Assume that  $d > 1$ , then we have

$$[T; N, \mu]^+ = [T; N + (d-1), \mu - (d-1)]^+ [T; N, \mu]_{d-1}^+.$$

Applying the assumption of the induction, we have

$$\begin{aligned} & [T; N, \mu]^+ \\ &= \left\{ [T; N + (d-1), \mu - d]^+ + (q^{\mu-d} T_{N+d} T_{N+d+1} \dots T_{N+\mu-1}) \right\} \\ & \quad \times \left\{ [T; N, \mu-1]_{d-1}^+ + (T; N, d-1)^+ (q^{\mu-d+1} T_{N+d-1} T_{N+d} \dots T_{N+\mu-1}) [T; N, \mu-1]_{d-2}^+ \right\}. \end{aligned}$$

Then, by using Lemma 7.11 and Lemma 7.12, we see that

$$\begin{aligned} & [T; N, \mu]^+ \\ &= [T; N + d - 1, \mu - d]^+ [T; N, \mu-1]_{d-1}^+ + (q^{\mu-d} T_{N+d} T_{N+d+1} \dots T_{N+\mu-1}) [T; N, \mu-1]_{d-1}^+ \\ & \quad + (T; N, d-1)^+ (q^{\mu-d+1} T_{N+d-1} T_{N+d} \dots T_{N+\mu-1}) [T; N + d - 2, \mu - d]^+ [T; N, \mu-1]_{d-2}^+ \\ & \quad + (T; N, d-1)^+ (q^{\mu-d+1} T_{N+d-1} T_{N+d} \dots T_{N+\mu-1}) (q^{\mu-d} T_{N+d-1} T_{N+d} \dots T_{N+\mu-2}) \\ & \quad \times [T; N, \mu-1]_{d-2}^+. \end{aligned}$$

Note that

$$[T; N + d - 2, \mu - d]^+ + q^{\mu-d} T_{N+d-1} T_{N+d} \dots T_{N+\mu-2} = [T; N + d - 2, \mu - d + 1]^+$$

and  $[T; N + d - 2, \mu - d + 1]^+ [T; N, \mu-1]_{d-2}^+ = [T; N, \mu-1]_{d-1}^+$ , we have

$$\begin{aligned} & [T; N, \mu]^+ \\ &= [T; N, \mu-1]_d^+ \\ & \quad + (1 + (T; N, d-1)^+ (q T_{N+d-1})) (q^{\mu-d} T_{N+d} T_{N+d+1} \dots T_{N+\mu-1}) [T; N, \mu-1]_{d-1}^+. \end{aligned}$$

By definition, we see that  $1 + (T; N, d-1)^+(qT_{N+d-1}) = (T; N, d)^+$ . Thus, we have (7.13.1).

Next, we prove that

$$(7.13.2) \quad \left[ \begin{smallmatrix} T; N, d \\ d \end{smallmatrix} \right]^+ = (T; N, d)^+ \left[ \begin{smallmatrix} T; N, d-1 \\ d-1 \end{smallmatrix} \right]^+$$

by the induction on  $d$ . In the case where  $d = 1$ , it is clear from definitions. Assume that  $d > 1$ . Note that  $\left[ \begin{smallmatrix} T; N, d \\ d \end{smallmatrix} \right]^+ = \left[ \begin{smallmatrix} T; N, d \\ d-1 \end{smallmatrix} \right]^+$ , by (7.13.1), we have

$$\begin{aligned} \left[ \begin{smallmatrix} T; N, d \\ d \end{smallmatrix} \right]^+ &= \left[ \begin{smallmatrix} T; N, d-1 \\ d-1 \end{smallmatrix} \right]^+ + (T; N, d-1)^+(qT_{N+d-1}) \left[ \begin{smallmatrix} T; N, d-1 \\ d-2 \end{smallmatrix} \right]^+ \\ &= (1 + (T; N, d-1)^+(qT_{N+d-1})) \left[ \begin{smallmatrix} T; N, d-1 \\ d-1 \end{smallmatrix} \right]^+ \\ &= (T; N, d)^+ \left[ \begin{smallmatrix} T; N, d-1 \\ d-1 \end{smallmatrix} \right]^+. \end{aligned}$$

Next we prove that, if  $\mu \geq d$ ,

$$(7.13.3) \quad \begin{aligned} &\left[ \begin{smallmatrix} T; N, \mu \\ d \end{smallmatrix} \right]^+ \\ &= (T; N, d)^+ \left( \left[ \begin{smallmatrix} T; N, d-1 \\ d-1 \end{smallmatrix} \right]^+ + \sum_{h=1}^{\mu-d} (q^h T_{N+d} T_{N+d+1} \cdots T_{N+d+h-1}) \left[ \begin{smallmatrix} T; N, d+h-1 \\ d-1 \end{smallmatrix} \right]^+ \right) \end{aligned}$$

by the induction on  $\mu - d$ . In the case where  $\mu = d$ , it is just (7.13.2). Assume that  $\mu > d$ . By applying the assumption of the induction to the right-hand side of (7.13.1), we have (7.13.3).

It is similar for  $\left[ \begin{smallmatrix} T; N, \mu \\ d \end{smallmatrix} \right]^-$ .  $\square$

We have the following corollary which will be used in Theorem 8.1 to consider the divided powers in cyclotomic  $q$ -Schur algebras.

**Corollary 7.14.** *For  $N, \mu \in \mathbb{Z}_{\geq 0}$  and  $d \in \mathbb{Z}_{>0}$ , there exist the elements  $\mathfrak{H}^\pm(N, \mu, d) \in \mathcal{H}_{n,r}$  such that*

$$\left[ \begin{smallmatrix} T; N, \mu \\ d \end{smallmatrix} \right]^\pm = (T; N, d)^{\pm!} \mathfrak{H}^\pm(N, \mu, d).$$

*Proof.* Note that  $T_{N+d} T_{N+d+1} \cdots T_{N+d+h-1}$  (resp.  $T_{N-d} T_{N-d-1} \cdots T_{N-d-h+1}$ ) commute with  $(T; N, d-1)^{+!}$  (resp.  $(T; N, d-1)^{-!}$ ), then we can prove the corollary by the induction on  $d$  using Lemma 7.13.  $\square$

**7.15.** For  $(i, k) \in \Gamma'(\mathbf{m})$ , we define the elements  $\mathcal{X}_{(i,k),0}^+$  and  $\mathcal{X}_{(i,k),0}^-$  of  $\mathcal{S}_{n,r}(\mathbf{m})$  by

$$\begin{aligned} \mathcal{X}_{(i,k),0}^+(m_\mu) &= q^{-\mu_{i+1}^{(k)}+1} m_{\mu+\alpha_{(i,k)}} [T; N_{(i,k)}^\mu, \mu_{i+1}^{(k)}]^+, \\ \mathcal{X}_{(i,k),0}^-(m_\mu) &= q^{-\mu_i^{(k)}+1} m_{\mu-\alpha_{(i,k)}} h_{-(i,k)}^\mu [T; N_{(i,k)}^\mu, \mu_i^{(k)}]^- \end{aligned}$$

for each  $\mu \in \Lambda_{n,r}(\mathbf{m})$ , where we put  $\mu_{m_k+1}^{(k)} = \mu_1^{(k+1)}$  if  $i = m_k$ , and

$$h_{-(i,k)}^\mu = \begin{cases} 1 & \text{if } i \neq m_k, \\ L_{N_{(m_k,k)}^\mu} - Q_k & \text{if } i = m_k. \end{cases}$$

Note that  $m_{\mu \pm \alpha_{(i,k)}} = 0$  if  $\mu \pm \alpha_{(i,k)} \notin \Lambda_{n,r}(\mathbf{m})$ .

By [W1, Lemma 6.10], the definitions of  $\mathcal{X}_{(i,k),0}^\pm$  are well-defined. (The elements  $\mathcal{X}_{(i,k),0}^\pm$  are denoted by  $\varphi_{(i,k)}^\pm$  in [W1].)

For  $(i,k) \in \Gamma'(\mathbf{m})$  and an integer  $t > 0$ , we define the elements  $\mathcal{X}_{(i,k),t}^\pm$  of  $\mathcal{S}_{n,r}(\mathbf{m})$  inductively by

$$(7.15.1) \quad \begin{aligned} \mathcal{X}_{(i,k),t}^+ &= \mathcal{I}_{(i,k),1}^+ \mathcal{X}_{(i,k),t-1}^+ - \mathcal{X}_{(i,k),t-1}^+ \mathcal{I}_{(i,k),1}^+, \\ \mathcal{X}_{(i,k),t}^- &= -(\mathcal{I}_{(i,k),1}^- \mathcal{X}_{(i,k),t-1}^- - \mathcal{X}_{(i,k),t-1}^- \mathcal{I}_{(i,k),1}^-). \end{aligned}$$

**Lemma 7.16.** *For  $(i,k) \in \Gamma'(\mathbf{m})$ ,  $(j,l) \in \Gamma(\mathbf{m})$  and  $t \geq 0$ , we have*

$$\mathcal{K}_{(j,l)}^+ \mathcal{X}_{(i,k),t}^\pm \mathcal{K}_{(j,l)}^- = q^{\pm a_{(i,k)(j,l)}} \mathcal{X}_{(i,k),t}^\pm.$$

*Proof.* We see the statement in the case where  $t = 0$  from the definitions directly. Then we can prove the statement by the induction on  $t$  using (7.15.1) together with Lemma 7.6.  $\square$

We can describe the elements  $\mathcal{X}_{(i,k),t}^\pm$  of  $\mathcal{S}_{n,r}(\mathbf{m})$  precisely as follows.

**Lemma 7.17.** *For  $(i,k) \in \Gamma'(\mathbf{m})$ ,  $t \geq 0$  and  $\mu \in \Lambda_{n,r}(\mathbf{m})$ , we have the followings.*

- (i)  $\mathcal{X}_{(i,k),t}^+(m_\mu) = q^{-\mu_{i+1}^{(k)}+1} m_{\mu+\alpha_{(i,k)}} L_{N_{(i,k)}^\mu+1}^t [T; N_{(i,k)}^\mu, \mu_{i+1}^{(k)}]^+.$
- (ii)  $\mathcal{X}_{(i,k),t}^-(m_\mu) = q^{-\mu_i^{(k)}+1} m_{\mu-\alpha_{(i,k)}} L_{N_{(i,k)}^\mu}^t h_{-(i,k)}^\mu [T; N_{(i,k)}^\mu, \mu_i^{(k)}]^-.$

*Proof.* We prove (i). We can easily show that  $\mathcal{X}_{(i,k),t}^+(m_\mu) = 0$  if  $\mu_{i+1}^{(k)} = 0$  by the induction on  $t$  using (7.15.1). Assume that  $\mu_{i+1}^{(k)} \neq 0$ . If  $t = 0$ , then it is just the definition of  $\mathcal{X}_{(i,k),0}^+$ . We prove the equation for  $t > 0$  by the induction on  $t$ . Note that  $(\mu + \alpha_{(i,k)})_i^{(k)} = \mu_i^{(k)} + 1$  and  $N_{(i,k)}^{\mu+\alpha_{(i,k)}} = N_{(i,k)}^\mu + 1$ , by the assumption of the induction, we have

$$\begin{aligned} \mathcal{I}_{(i,k),1}^+ \mathcal{X}_{(i,k),t-1}^+(m_\mu) &= q^{-\mu_{i+1}^{(k)}+1} m_{\mu+\alpha_{(i,k)}} \\ &\quad \times (L_{N_{(i,k)}^\mu+1}^\mu + L_{N_{(i,k)}^\mu}^\mu + L_{N_{(i,k)}^\mu-1}^\mu + \cdots + L_{N_{(i,k)}^\mu-\mu_i^{(k)}+1}^\mu) \\ &\quad \times L_{N_{(i,k)}^\mu+1}^{t-1} [T; N_{(i,k)}^\mu, \mu_{i+1}^{(k)}]^+. \end{aligned}$$

On the other hand, we have

$$\mathcal{X}_{(i,k),t-1}^+ \mathcal{I}_{(i,k),1}^+(m_\mu) = \delta_{(\mu_i^{(k)} \neq 0)} q^{-\mu_{i+1}^{(k)}+1} m_{\mu+\alpha_{(i,k)}} L_{N_{(i,k)}^\mu+1}^{t-1} [T; N_{(i,k)}^\mu, \mu_{i+1}^{(k)}]^+$$

$$\times (L_{N_{(i,k)}^\mu} + L_{N_{(i,k)}^\mu - 1} + \cdots + L_{N_{(i,k)}^\mu - \mu_i^{(k)} + 1}).$$

Thus, by (7.15.1) and Lemma 7.11, we have (i). (ii) is similar.  $\square$

**Proposition 7.18.** *For  $(i, k), (j, l) \in \Gamma'(\mathbf{m})$  and  $s, t \geq 0$ , we have the following relations.*

- (i)  $[\mathcal{X}_{(i,k),t}^\pm, \mathcal{X}_{(j,l),s}^\pm] = 0$  if  $(j, l) \neq (i, k), (i \pm 1, k)$ .
- (ii)  $\mathcal{X}_{(i,k),t+1}^\pm \mathcal{X}_{(i,k),s}^\pm - q^{\pm 2} \mathcal{X}_{(i,k),s}^\pm \mathcal{X}_{(i,k),t+1}^\pm = q^{\pm 2} \mathcal{X}_{(i,k),t}^\pm \mathcal{X}_{(i,k),s+1}^\pm - \mathcal{X}_{(i,k),s+1}^\pm \mathcal{X}_{(i,k),t}^\pm$ .
- (iii)

$$\begin{aligned} \mathcal{X}_{(i,k),t+1}^+ \mathcal{X}_{(i+1,k),s}^+ - q^{-1} \mathcal{X}_{(i+1,k),s}^+ \mathcal{X}_{(i,k),t+1}^+ &= \mathcal{X}_{(i,k),t}^+ \mathcal{X}_{(i+1,k),s+1}^+ - q \mathcal{X}_{(i+1,k),s+1}^+ \mathcal{X}_{(i,k),t}^+, \\ \mathcal{X}_{(i+1,k),s}^- \mathcal{X}_{(i,k),t+1}^- - q^{-1} \mathcal{X}_{(i,k),t+1}^- \mathcal{X}_{(i+1,k),s}^- &= \mathcal{X}_{(i+1,k),s+1}^- \mathcal{X}_{(i,k),t}^- - q \mathcal{X}_{(i,k),t}^- \mathcal{X}_{(i+1,k),s+1}^-. \end{aligned}$$

*Proof.* (i) follows from Lemma 7.17 using Lemma 6.3.

We prove (ii). We may assume that  $t \geq s$  by multiplying  $-1$  to both sides if necessary. We prove

$$(7.18.1) \quad \mathcal{X}_{(i,k),t+1}^+ \mathcal{X}_{(i,k),s}^+ - q^2 \mathcal{X}_{(i,k),s}^+ \mathcal{X}_{(i,k),t+1}^+ = q^2 \mathcal{X}_{(i,k),t}^+ \mathcal{X}_{(i,k),s+1}^+ - \mathcal{X}_{(i,k),s+1}^+ \mathcal{X}_{(i,k),t}^+.$$

Put  $N = N_{(i,k)}^\mu$ . By Lemma 7.17 together with Lemma 7.11, for  $\mu \in \Lambda_{n,r}(\mathbf{m})$ , we have

$$(7.18.2) \quad \begin{aligned} &\mathcal{X}_{(i,k),t+1}^+ \mathcal{X}_{(i,k),s}^+(m_\mu) \\ &= q^{-2\mu_{i+1}^{(k)}+3} m_{\mu+2\alpha_{(i,k)}} L_{N+1}^s L_{N+2}^{t+1} [T; N+1, \mu_{i+1}^{(k)} - 1]^+ [T; N, \mu_{i+1}^{(k)}]^+. \end{aligned}$$

Thus, we may assume that  $\mu_{i+1}^{(k)} \geq 2$  since  $m_{\mu+2\alpha_{(i,k)}} = 0$  if  $\mu_{i+1}^{(k)} < 2$ . By the induction on  $\mu_{i+1}^{(k)}$ , we can show that

$$(7.18.3) \quad T_{N+1} [T; N+1, \mu_{i+1}^{(k)} - 1]^+ [T; N, \mu_{i+1}^{(k)}]^+ = q [T; N+1, \mu_{i+1}^{(k)} - 1]^+ [T; N, \mu_{i+1}^{(k)}]^+.$$

We also have, by Lemma 6.3,

$$(7.18.4) \quad \begin{aligned} L_{N+1}^s L_{N+2}^{t+1} &= (L_{N+1} L_{N+2})^s (T_{N+1} L_{N+1} T_{N+1}) L_{N+2}^{t-s} \\ &= T_{N+1} (L_{N+1} L_{N+2})^s L_{N+1} \left\{ L_{N+1}^{t-s} T_{N+1} + (q - q^{-1}) \sum_{p=1}^{t-s} L_{N+1}^{t-s-p} L_{N+2}^p \right\} \\ &= T_{N+1} L_{N+1}^{t+1} L_{N+2}^s T_{N+1} + (q - q^{-1}) T_{N+1} \sum_{p=1}^{t-s} L_{N+1}^{t-p+1} L_{N+2}^{s+p}. \end{aligned}$$

Then, (7.18.2) by using (6.4.2), (7.18.3) and (7.18.4), we have

$$\begin{aligned}
& \mathcal{X}_{(i,k),t+1}^+ \mathcal{X}_{(i,k),s}^+(m_\mu) \\
&= q^2 q^{-2\mu_{i+1}^{(k)}+3} m_{\mu+2\alpha_{(i,k)}} L_{N+1}^{t+1} L_{N+2}^s [T; N+1, \mu_{i+1}^{(k)} - 1]^+ [T; N, \mu_{i+1}^{(k)}]^+ \\
&\quad + q(q - q^{-1}) q^{-2\mu_{i+1}^{(k)}+3} m_{\mu+2\alpha_{(i,k)}} \sum_{p=1}^{t-s} L_{N+1}^{t-p+1} L_{N+2}^{s+p} [T; N+1, \mu_{i+1}^{(k)} - 1]^+ [T; N, \mu_{i+1}^{(k)}]^+ \\
&= q^2 \mathcal{X}_{(i,k),s}^+ \mathcal{X}_{(i,k),t+1}^+(m_\mu) \\
&\quad + q(q - q^{-1}) q^{-2\mu_{i+1}^{(k)}+3} m_{\mu+2\alpha_{(i,k)}} \sum_{p=1}^{t-s} L_{N+1}^{t-p+1} L_{N+2}^{s+p} [T; N+1, \mu_{i+1}^{(k)} - 1]^+ [T; N, \mu_{i+1}^{(k)}]^+.
\end{aligned}$$

Similarly, we have

$$\begin{aligned}
& q^2 \mathcal{X}_{(i,k),t}^+ \mathcal{X}_{(i,k),s+1}^+(m_\mu) \\
&= q^{-2\mu_{i+1}^{(k)}+3} m_{\mu+2\alpha_{(i,k)}} T_{N+1} L_{N+1}^{s+1} L_{N+2}^t T_{N+1} [T; N+1, \mu_{i+1}^{(k)} - 1]^+ [T; N, \mu_{i+1}^{(k)}]^+ \\
&= q^{-2\mu_{i+1}^{(k)}+3} m_{\mu+2\alpha_{(i,k)}} L_{N+1}^t L_{N+2}^{s+1} [T; N+1, \mu_{i+1}^{(k)} - 1]^+ [T; N, \mu_{i+1}^{(k)}]^+ \\
&\quad + q(q - q^{-1}) q^{-2\mu_{i+1}^{(k)}+3} m_{\mu+2\alpha_{(i,k)}} \sum_{p=1}^{t-s} L_{N+1}^{t-p+1} L_{N+2}^{s+p} [T; N+1, \mu_{i+1}^{(k)} - 1]^+ [T; N, \mu_{i+1}^{(k)}]^+ \\
&= \mathcal{X}_{(i,k),s+1}^+ \mathcal{X}_{(i,k),t}^+(m_\mu) \\
&\quad + q(q - q^{-1}) q^{-2\mu_{i+1}^{(k)}+3} m_{\mu+2\alpha_{(i,k)}} \sum_{p=1}^{t-s} L_{N+1}^{t-p+1} L_{N+2}^{s+p} [T; N+1, \mu_{i+1}^{(k)} - 1]^+ [T; N, \mu_{i+1}^{(k)}]^+.
\end{aligned}$$

Thus, we have (7.18.1). Another case of (ii) is proven in a similar way.

We prove (iii). Put  $N = N_{(i,k)}^\mu$ . In the case where  $\mu_{i+1}^{(k)} = 0$ , by Lemma 7.17 together with Lemma 7.11, we see that

$$\begin{aligned}
(7.18.5) \quad & (\mathcal{X}_{(i,k),t+1}^+ \mathcal{X}_{(i+1,k),s}^+ - q^{-1} \mathcal{X}_{(i+1,k),s}^+ \mathcal{X}_{(i,k),t+1}^+)(m_\mu) \\
&= (\mathcal{X}_{(i,k),t}^+ \mathcal{X}_{(i+1,k),s+1}^+ - q \mathcal{X}_{(i+1,k),s+1}^+ \mathcal{X}_{(i,k),t}^+)(m_\mu) \\
&= q^{-\mu_{i+2}^{(k)}+1} m_{\mu+\alpha_{(i,k)}+\alpha_{(i+1,k)}} L_{N+1}^{s+t+1} [T; N, \mu_{i+2}^{(k)}]^+.
\end{aligned}$$

Assume that  $\mu_{i+1}^{(k)} \neq 0$ . By Lemma 7.17 together with Lemma 7.11, we have

$$\begin{aligned}
(7.18.6) \quad & (\mathcal{X}_{(i,k),t+1}^+ \mathcal{X}_{(i+1,k),s}^+ - q^{-1} \mathcal{X}_{(i+1,k),s}^+ \mathcal{X}_{(i,k),t+1}^+)(m_\mu) \\
&= q^{-\mu_{i+1}^{(k)}-\mu_{i+2}^{(k)}+1} m_{\mu+\alpha_{(i,k)}+\alpha_{(i+1,k)}} L_{N+1}^{t+1} (q^{\mu_{i+1}^{(k)}} T_{N+1} T_{N+2} \cdots T_{N+\mu_{i+1}^{(k)}}) L_{N+\mu_{i+1}^{(k)}}^s \\
&\quad \times [T; N + \mu_{i+1}^{(k)}, \mu_{i+2}^{(k)}]^+
\end{aligned}$$



and

$$\begin{aligned}
(7.18.7) \quad & (\mathcal{X}_{(i,k),t}^+ \mathcal{X}_{(i+1,k),s+1}^+ - q \mathcal{X}_{(i+1,k),s+1}^+ \mathcal{X}_{(i,k),t}^+) (m_\mu) \\
&= -(q - q^{-1}) q^{-\mu_{i+1}^{(k)} - \mu_{i+2}^{(k)} + 2} m_{\mu + \alpha_{(i,k)} + \alpha_{(i+1,k)}} L_{N+1}^t L_{N+\mu_{i+1}^{(k)}+1}^{s+1} \\
&\quad \times [T; N, \mu_{i+1}^{(k)}]^+ [T; N + \mu_{i+1}^{(k)}, \mu_{i+2}^{(k)}]^+ \\
&\quad + q^{-\mu_{i+1}^{(k)} - \mu_{i+2}^{(k)} + 1} m_{\mu + \alpha_{(i,k)} + \alpha_{(i+1,k)}} L_{N+1}^t (q^{\mu_{i+1}^{(k)}} T_{N+1} T_{N+2} \dots T_{N+\mu_{i+1}^{(k)}}) L_{N+\mu_{i+1}^{(k)}+1}^{s+1} \\
&\quad \times [T; N + \mu_{i+1}^{(k)}, \mu_{i+2}^{(k)}]^+.
\end{aligned}$$

By the induction on  $\mu_{i+1}^{(k)}$  using Lemma 6.3, we can prove that

$$\begin{aligned}
& (T_{N+1} T_{N+2} \dots T_{N+\mu_{i+1}^{(k)}}) L_{N+\mu_{i+1}^{(k)}+1} \\
&= L_{N+1} (T_{N+1} T_{N+2} \dots T_{N+\mu_{i+1}^{(k)}}) + \delta_{(\mu_{i+1}^{(k)} \geq 2)} (q - q^{-1}) L_{N+2} (T_{N+2} T_{N+3} \dots T_{N+\mu_{i+1}^{(k)}}) \\
&\quad + (q - q^{-1}) \sum_{p=1}^{\mu_{i+1}^{(k)} - 2} (T_{N+1} T_{N+2} \dots T_{N+p}) L_{N+p+2} (T_{N+p+2} T_{N+p+3} \dots T_{N+\mu_{i+1}^{(k)}}) \\
&\quad + (q - q^{-1}) (T_{N+1} T_{N+2} \dots T_{N+\mu_{i+1}^{(k)}-1}) L_{N+\mu_{i+1}^{(k)}+1}.
\end{aligned}$$

By using Lemma 6.3 and (6.4.2), this equation implies

$$\begin{aligned}
(7.18.8) \quad & m_{\mu + \alpha_{(i,k)} + \alpha_{(i+1,k)}} L_{N+1}^t (q^{\mu_{i+1}^{(k)}} T_{N+1} T_{N+2} \dots T_{N+\mu_{i+1}^{(k)}}) L_{N+\mu_{i+1}^{(k)}+1} \\
&= m_{\mu + \alpha_{(i,k)} + \alpha_{(i+1,k)}} L_{N+1}^{t+1} (q^{\mu_{i+1}^{(k)}} T_{N+1} T_{N+2} \dots T_{N+\mu_{i+1}^{(k)}}) \\
&\quad + q(q - q^{-1}) m_{\mu + \alpha_{(i,k)} + \alpha_{(i+1,k)}} L_{N+1}^t [T; N, \mu_{i+1}^{(k)}]^+ L_{N+\mu_{i+1}^{(k)}+1}.
\end{aligned}$$

Thus, (7.18.7) and (7.18.8) imply

$$\begin{aligned}
(7.18.9) \quad & (\mathcal{X}_{(i,k),t}^+ \mathcal{X}_{(i+1,k),s+1}^+ - q \mathcal{X}_{(i+1,k),s+1}^+ \mathcal{X}_{(i,k),t}^+) (m_\mu) \\
&= q^{-\mu_{i+1}^{(k)} - \mu_{i+2}^{(k)} + 1} m_{\mu + \alpha_{(i,k)} + \alpha_{(i+1,k)}} L_{N+1}^{t+1} (q^{\mu_{i+1}^{(k)}} T_{N+1} T_{N+2} \dots T_{N+\mu_{i+1}^{(k)}}) L_{N+\mu_{i+1}^{(k)}+1}^s \\
&\quad \times [T; N + \mu_{i+1}^{(k)}, \mu_{i+2}^{(k)}]^+.
\end{aligned}$$

By (7.18.5), (7.18.6) and (7.18.9), we have

$$\mathcal{X}_{(i,k),t+1}^+ \mathcal{X}_{(i+1,k),s}^+ - q^{-1} \mathcal{X}_{(i+1,k),s}^+ \mathcal{X}_{(i,k),t+1}^+ = \mathcal{X}_{(i,k),t}^+ \mathcal{X}_{(i+1,k),s+1}^+ - q \mathcal{X}_{(i+1,k),s+1}^+ \mathcal{X}_{(i,k),t}^+.$$

Another case of (iii) is proven in a similar way.  $\square$

**Proposition 7.19.** *For  $(i, k) \in \Gamma'(\mathbf{m})$  and  $s, t, u \geq 0$ , we have the followings.*

(i)

$$\begin{aligned} & \mathcal{X}_{(i\pm 1,k),u}^+ (\mathcal{X}_{(i,k),s}^+ \mathcal{X}_{(i,k),t}^+ + \mathcal{X}_{(i,k),t}^+ \mathcal{X}_{(i,k),s}^+) + (\mathcal{X}_{(i,k),s}^+ \mathcal{X}_{(i,k),t}^+ + \mathcal{X}_{(i,k),t}^+ \mathcal{X}_{(i,k),s}^+) \mathcal{X}_{(i\pm 1,k),u}^+ \\ &= (q + q^{-1}) (\mathcal{X}_{(i,k),s}^+ \mathcal{X}_{(i\pm 1,k),u}^+ \mathcal{X}_{(i,k),t}^+ + \mathcal{X}_{(i,k),t}^+ \mathcal{X}_{(i\pm 1,k),u}^+ \mathcal{X}_{(i,k),s}^+). \end{aligned}$$

(ii)

$$\begin{aligned} & \mathcal{X}_{(i\pm 1,k),u}^- (\mathcal{X}_{(i,k),s}^- \mathcal{X}_{(i,k),t}^- + \mathcal{X}_{(i,k),t}^- \mathcal{X}_{(i,k),s}^-) + (\mathcal{X}_{(i,k),s}^- \mathcal{X}_{(i,k),t}^- + \mathcal{X}_{(i,k),t}^- \mathcal{X}_{(i,k),s}^-) \mathcal{X}_{(i\pm 1,k),u}^- \\ &= (q + q^{-1}) (\mathcal{X}_{(i,k),s}^- \mathcal{X}_{(i\pm 1,k),u}^- \mathcal{X}_{(i,k),t}^- + \mathcal{X}_{(i,k),t}^- \mathcal{X}_{(i\pm 1,k),u}^- \mathcal{X}_{(i,k),s}^-). \end{aligned}$$

*Proof.* By Lemma 7.17 together with Lemma 7.11, we have

$$\begin{aligned} (7.19.1) \quad & (\mathcal{X}_{(i+1,k),u}^+ \mathcal{X}_{(i,k),s}^+ \mathcal{X}_{(i,k),t}^+ - q \mathcal{X}_{(i,k),s}^+ \mathcal{X}_{(i+1,k),u}^+ \mathcal{X}_{(i,k),t}^+) (m_\mu) \\ &= -\delta_{(\mu_{i+1}^{(k)}=1)} q^{-\mu_{i+2}^{(k)}+2} m_{\mu+2\alpha_{(i,k)}+\alpha_{(i+1,k)}} L_{N+1}^t L_{N+2}^{s+u} [T; N+1, \mu_{i+2}^{(k)}]^+ \\ &\quad - \delta_{(\mu_{i+1}^{(k)}\geq 2)} q^{-2\mu_{i+1}^{(k)}-\mu_{i+2}^{(k)}+4} m_{\mu+2\alpha_{(i,k)}+\alpha_{(i+1,k)}} L_{N+1}^t L_{N+2}^s \\ &\quad \times (q^{\mu_{i+1}^{(k)}-1} T_{N+2} T_{N+3} \dots T_{N+\mu_{i+1}^{(k)}}) [T; N, \mu_{i+1}^{(k)}]^+ L_{N+\mu_{i+1}^{(k)}+1}^u [T; N + \mu_{i+1}^{(k)}, \mu_{i+2}^{(k)}]^+ \end{aligned}$$

and

$$\begin{aligned} & (\mathcal{X}_{(i,k),s}^+ \mathcal{X}_{(i,k),t}^+ \mathcal{X}_{(i+1,k),u}^+ - q^{-1} \mathcal{X}_{(i,k),s}^+ \mathcal{X}_{(i+1,k),u}^+ \mathcal{X}_{(i,k),t}^+) (m_\mu) \\ &= q^{-2\mu_{i+1}^{(k)}-\mu_{i+2}^{(k)}+2} m_{\mu+2\alpha_{(i,k)}+\alpha_{(i+1,k)}} L_{N+1}^t L_{N+2}^s \\ &\quad \times [T; N+1, \mu_{i+1}^{(k)}]^+ (q^{\mu_{i+1}^{(k)}} T_{N+1} T_{N+2} \dots T_{N+\mu_{i+1}^{(k)}}) L_{N+\mu_{i+1}^{(k)}+1}^u [T; N + \mu_{i+1}^{(k)}, \mu_{i+2}^{(k)}]^+. \end{aligned}$$

Applying Lemma 7.12 (iii), we have

$$\begin{aligned} (7.19.2) \quad & (\mathcal{X}_{(i,k),s}^+ \mathcal{X}_{(i,k),t}^+ \mathcal{X}_{(i+1,k),u}^+ - q^{-1} \mathcal{X}_{(i,k),s}^+ \mathcal{X}_{(i+1,k),u}^+ \mathcal{X}_{(i,k),t}^+) (m_\mu) \\ &= \delta_{(\mu_{i+1}^{(k)}=1)} q^{-\mu_{i+2}^{(k)}+1} m_{\mu+2\alpha_{(i,k)}+\alpha_{(i+1,k)}} L_{N+1}^t L_{N+2}^s T_{N+1} L_{N+2}^u [T; N + \mu_{i+1}^{(k)}, \mu_{i+2}^{(k)}]^+ \\ &\quad + \delta_{\mu_{i+1}^{(k)}\geq 2} q^{-2\mu_{i+1}^{(k)}-\mu_{i+2}^{(k)}+3} m_{\mu+2\alpha_{(i,k)}+\alpha_{(i+1,k)}} L_{N+1}^t L_{N+2}^s T_{N+1} \\ &\quad \times (q^{\mu_{i+1}^{(k)}-1} T_{N+2} T_{N+3} \dots T_{N+\mu_{i+1}^{(k)}}) [T; N; \mu_{i+1}^{(k)}]^+ L_{N+\mu_{i+1}^{(k)}+1}^u [T; N + \mu_{i+1}^{(k)}, \mu_{i+2}^{(k)}]^+. \end{aligned}$$

We see that

$$\begin{aligned} & m_{\mu+2\alpha_{(i,k)}+\alpha_{(i+1,k)}} (L_{N+1}^t L_{N+2}^s + L_{N+1}^s L_{N+2}^t) T_{N+1} \\ &= m_{\mu+2\alpha_{(i,k)}+\alpha_{(i+1,k)}} T_{N+1} (L_{N+1}^t L_{N+2}^s + L_{N+1}^s L_{N+2}^t) \\ &= q m_{\mu+2\alpha_{(i,k)}+\alpha_{(i+1,k)}} (L_{N+1}^t L_{N+2}^s + L_{N+1}^s L_{N+2}^t) \end{aligned}$$

by Lemma 6.3 and (6.4.2). Then (7.19.1) and (7.19.2) imply

$$\begin{aligned} & \mathcal{X}_{(i+1,k),u}^+(\mathcal{X}_{(i,k),s}^+\mathcal{X}_{(i,k),t}^+ + \mathcal{X}_{(i,k),t}^+\mathcal{X}_{(i,k),s}^+) + (\mathcal{X}_{(i,k),s}^+\mathcal{X}_{(i,k),t}^+ + \mathcal{X}_{(i,k),t}^+\mathcal{X}_{(i,k),s}^+)\mathcal{X}_{(i+1,k),u}^+ \\ &= (q + q^{-1})(\mathcal{X}_{(i,k),s}^+\mathcal{X}_{(i+1,k),u}^+\mathcal{X}_{(i,k),t}^+ + \mathcal{X}_{(i,k),t}^+\mathcal{X}_{(i+1,k),u}^+\mathcal{X}_{(i,k),s}^+). \end{aligned}$$

The other cases of the proposition are proven in a similar way.  $\square$

By direct calculations, we have the following lemma.

**Lemma 7.20.** *For  $(i, k) \in \Gamma'(\mathbf{m})$ ,  $(j, l) \in \Gamma(\mathbf{m})$ ,  $t \geq 0$ , we have the followings.*

- (i)  $q^{\pm a(i,k)(j,l)} \mathcal{I}_{(j,l),0}^{\pm} \mathcal{X}_{(i,k),t}^+ - q^{\mp a(i,k)(j,l)} \mathcal{X}_{(i,k),t}^+ \mathcal{I}_{(j,l),0}^{\pm} = a(i,k)(j,l) \mathcal{X}_{(i,k),t}^+.$
- (ii)  $q^{\mp a(i,k)(j,l)} \mathcal{I}_{(j,l),0}^{\pm} \mathcal{X}_{(i,k),t}^- - q^{\pm a(i,k)(j,l)} \mathcal{X}_{(i,k),t}^- \mathcal{I}_{(j,l),0}^{\pm} = -a(i,k)(j,l) \mathcal{X}_{(i,k),t}^-.$

We also have the following proposition.

**Proposition 7.21.** *For  $(i, k) \in \Gamma'(\mathbf{m})$ ,  $(j, l) \in \Gamma(\mathbf{m})$ ,  $s, t \geq 0$ , we have the followings.*

- (i)  $[\mathcal{I}_{(j,l),s+1}^{\pm}, \mathcal{X}_{(i,k),t}^+] = q^{\pm a(i,k)(j,l)} \mathcal{I}_{(j,l),s}^{\pm} \mathcal{X}_{(i,k),t+1}^+ - q^{\mp a(i,k)(j,l)} \mathcal{X}_{(i,k),t+1}^+ \mathcal{I}_{(j,l),s}^{\pm}.$
- (ii)  $[\mathcal{I}_{(j,l),s+1}^{\pm}, \mathcal{X}_{(i,k),t}^-] = q^{\mp a(i,k)(j,l)} \mathcal{I}_{(j,l),s}^{\pm} \mathcal{X}_{(i,k),t+1}^- - q^{\pm a(i,k)(j,l)} \mathcal{X}_{(i,k),t+1}^- \mathcal{I}_{(j,l),s}^{\pm}.$

*Proof.* By Lemma 7.17 together with Lemma 6.3, we see that

$$[\mathcal{I}_{(j,l),s}^{\sigma}, \mathcal{X}_{(i,k),t}^{\sigma'}] = 0 \text{ if } (j, l) \neq (i, k), (i+1, k),$$

where  $\sigma, \sigma' \in \{+, -\}$ . Thus, it is enough to prove the cases where  $(j, l) = (i, k)$  or  $(j, l) = (i+1, k)$ . We prove

$$(7.21.1) \quad [\mathcal{I}_{(i,k),s+1}^+, \mathcal{X}_{(i,k),t}^+] = q \mathcal{I}_{(i,k),s}^+ \mathcal{X}_{(i,k),t+1}^+ - q^{-1} \mathcal{X}_{(i,k),t+1}^+ \mathcal{I}_{(i,k),s}^+.$$

For  $\mu \in \Lambda_{n,r}(\mathbf{m})$ , put  $N = N_{(i,k)}^{\mu}$ . Then, by Lemma 7.17 together with Lemma 7.11, we have

$$\begin{aligned} & (\mathcal{I}_{(i,k),s+1}^+ \mathcal{X}_{(i,k),t}^+ - \mathcal{X}_{(i,k),t}^+ \mathcal{I}_{(i,k),s+1}^+)(m_{\mu}) \\ &= q^{s-\mu_{i+1}^{(k)}+1} m_{\mu+\alpha(i,k)} \\ & \quad \times (\Phi_{s+1}^+(L_{N+1}, L_N, L_{N-1}, \dots, L_{N-\mu_i^{(k)}+1}) - \Phi_{s+1}^+(L_N, L_{N-1}, \dots, L_{N-\mu_i^{(k)}+1})) \\ & \quad \times L_{N+1}^t[T; N, \mu_{i+1}^{(k)}]^+. \end{aligned}$$

By (7.3.2), we have

$$\begin{aligned} & (\mathcal{I}_{(i,k),s+1}^+ \mathcal{X}_{(i,k),t}^+ - \mathcal{X}_{(i,k),t}^+ \mathcal{I}_{(i,k),s+1}^+)(m_{\mu}) \\ &= q^{s-\mu_{i+1}^{(k)}+1} m_{\mu+\alpha(i,k)} \\ & \quad \times L_{N+1}^t(\Phi_s^+(L_{N+1}, L_N, \dots, L_{N-\mu_i^{(k)}+1}) - q^{-2} \Phi_s^+(L_N, L_{N-1}, \dots, L_{N-\mu_i^{(k)}+1})) \\ & \quad \times L_{N+1}^t[T; N, \mu_{i+1}^{(k)}]^+ \end{aligned}$$

$$\begin{aligned}
&= q^{(s-1)-\mu_{i+1}^{(k)}+1} m_{\mu+\alpha_{(i,k)}} \\
&\quad \times \left\{ q\Phi_s^+(L_{N+1}, L_N, \dots, L_{N-\mu_i^{(k)}+1}) L_{N+1}^{t+1}[T; N, \mu_{i+1}^{(k)}]^+ \right. \\
&\quad \left. - q^{-1} L_{N+1}^{t+1}[T; N, \mu_{i+1}^{(k)}]^+ \Phi_s^+(L_N, L_{N-1}, \dots, L_{N-\mu_i^{(k)}+1}) \right\} \\
&= (q\mathcal{I}_{(i,k),s}^+ \mathcal{X}_{(i,k),t+1}^+ - q^{-1} \mathcal{X}_{(i,k),t+1}^+ \mathcal{I}_{(i,k),s}^+)(m_\mu).
\end{aligned}$$

Now we proved (7.21.1). Other cases are proven in a similar way.  $\square$

**Proposition 7.22.** *For  $(i, k), (j, l) \in \Gamma'(\mathbf{m})$  such that  $(i, k) \neq (j, l)$  and  $s, t \geq 0$ , we have*

$$[\mathcal{X}_{(i,k),t}^+, \mathcal{X}_{(j,l),s}^-] = 0.$$

*Proof.* By Lemma 7.17, for  $\mu \in \Lambda_{n,r}(\mathbf{m})$ , we have

$$\begin{aligned}
&\mathcal{X}_{(i,k),t}^+ \mathcal{X}_{(j,l),s}^-(m_\mu) \\
&= q^{-\mu_j^{(l)} - (\mu - \alpha_{(j,l)})_{i+1}^{(k)} + 2} m_{\mu + \alpha_{(i,k)} - \alpha_{(j,l)}} \\
&\quad \times L_{N_{(i,k)}^{\mu - \alpha_{(j,l)} + 1}}^t [T; N_{(i,k)}^{\mu - \alpha_{(j,l)}}, (\mu - \alpha_{(j,l)})_{i+1}^{(k)}]^+ L_{N_{(j,l)}^\mu}^s h_{-(j,l)}^\mu [T; N_{(j,l)}^\mu, \mu_j^{(l)}]^-
\end{aligned}$$

and

$$\begin{aligned}
&\mathcal{X}_{(j,l),s}^- \mathcal{X}_{(i,k),t}^+(m_\mu) \\
&= q^{-\mu_{i+1}^{(k)} - (\mu + \alpha_{(i,k)})_j^{(l)} + 2} m_{\mu + \alpha_{(i,k)} - \alpha_{(j,l)}} \\
&\quad \times L_{N_{(j,l)}^{\mu + \alpha_{(i,k)}}}^s h_{-(j,l)}^{\mu + \alpha_{(i,k)}} [T; N_{(j,l)}^{\mu + \alpha_{(i,k)}}, (\mu + \alpha_{(i,k)})_j^{(l)}]^- L_{N_{(i,k)}^\mu + 1}^t [T; N_{(i,k)}^\mu, \mu_{i+1}^{(k)}]^+.
\end{aligned}$$

Since  $(i, k) \neq (j, l)$ , we have

$$\begin{aligned}
N_{(i,k)}^\mu &= N_{(i,k)}^{\mu - \alpha_{(j,l)}}, \quad N_{(j,l)}^\mu = N_{(j,l)}^{\mu + \alpha_{(i,k)}}, \\
(\mu - \alpha_{(j,l)})_{i+1}^{(k)} &= \begin{cases} \mu_{i+1}^{(k)} & \text{if } (j, l) \neq (i+1, k), \\ \mu_{i+1}^{(k)} - 1 & \text{if } (j, l) = (i+1, k), \end{cases} \\
(\mu + \alpha_{(i,k)})_j^{(l)} &= \begin{cases} \mu_j^{(l)} & \text{if } (j, l) \neq (i+1, k), \\ \mu_j^{(l)} - 1 & \text{if } (j, l) = (i+1, k). \end{cases} \\
h_{-(j,l)}^\mu &= h_{-(j,l)}^{\mu + \alpha_{(i,k)}} = \begin{cases} 1 & \text{if } j \neq m_j, \\ L_{N_{(m_l, l)}^\mu} - Q_l & \text{if } j = m_l. \end{cases}
\end{aligned}$$

Then, by Lemma 7.11, we have

$$[T; N_{(i,k)}^{\mu - \alpha_{(j,l)}}, (\mu - \alpha_{(j,l)})_{i+1}^{(k)}]^+ L_{N_{(j,l)}^\mu}^s h_{-(j,l)}^\mu = L_{N_{(j,l)}^\mu}^s h_{-(j,l)}^\mu [T; N_{(i,k)}^{\mu - \alpha_{(j,l)}}, (\mu - \alpha_{(j,l)})_{i+1}^{(k)}]^+$$

and

$$[T; N_{(j,l)}^{\mu+\alpha_{(i,k)}}, (\mu + \alpha_{(i,k)})_j^{(l)}]^- L_{N_{(i,k)}^\mu+1}^t = L_{N_{(i,k)}^\mu+1}^t [T; N_{(j,l)}^{\mu+\alpha_{(i,k)}}, (\mu + \alpha_{(i,k)})_j^{(l)}]^-.$$

Thus, in order to prove the proposition, it is enough to show that

$$(7.22.1) \quad \begin{aligned} & [T; N_{(i,k)}^{\mu-\alpha_{(j,l)}}, (\mu - \alpha_{(j,l)})_{i+1}^{(k)}]^+ [T; N_{(j,l)}^\mu, \mu_j^{(l)}]^- \\ &= [T; N_{(j,l)}^{\mu+\alpha_{(i,k)}}, (\mu + \alpha_{(i,k)})_j^{(l)}]^- [T; N_{(i,k)}^\mu, \mu_{i+1}^{(k)}]^+. \end{aligned}$$

If  $(j, l) \neq (i+1, k)$ , we see easily that (7.22.1) holds since the product is commutative in each side. In the case where  $(j, l) = (i+1, k)$ , we can prove that (7.22.1) by the induction on  $\mu_{i+1}^{(k)}$ . Now we proved the proposition.  $\square$

**Remark 7.23.** There is an error in the proof of [W1, Proposition 6.11 (i)] (see the case where  $(j, l) = (i+1, k)$ ). The above proof also gives a fixed proof of [W1, Proposition 6.11 (i)] as a special case.

We prepare some technical lemmas.

**Lemma 7.24.** For  $\mu \in \Lambda_{n,r}(\mathbf{m})$  and  $(i, k) \in \Gamma(\mathbf{m})$ , we have the followings.

(i) For  $t \geq 0$  and  $1 \leq p \leq \mu_i^{(k)}$ , we have

$$m_\mu L_{N_{(i,k)}^\mu}^t [T; N_{(i,k)}^\mu, p]^- = q^{2p-2} m_\mu \Phi_t^+(L_{N_{(i,k)}^\mu}, L_{N_{(i,k)}^\mu-1}, \dots, L_{N_{(i,k)}^\mu-p+1}).$$

(ii) For  $t \geq 0$  and  $1 \leq p \leq \mu_{i+1}^{(k)}$ , we have

$$m_\mu L_{N_{(i,k)}^\mu+1}^t [T; N_{(i,k)}^\mu, p]^+ = m_\mu \Phi_t^-(L_{N_{(i,k)}^\mu+1}, L_{N_{(i,k)}^\mu+2}, \dots, L_{N_{(i,k)}^\mu+p}).$$

*Proof.* In the case where  $t = 0$ , we have (i) and (ii) from (6.4.2).

We prove (i) for  $t > 0$ . Put  $N = N_{(i,k)}^\mu$ . For  $1 \leq h \leq \mu_i^{(k)} - 1$ , by the induction on  $h$  together with Lemma 6.3 and (6.4.2), we can show that

$$(7.24.1) \quad \begin{aligned} & m_\mu L_N^t (T_{N-1} T_{N-2} \dots T_{N-h}) \\ &= m_\mu \left\{ (q - q^{-1}) q^{h-1} L_N^t + \sum_{s=2}^h (q - q^{-1}) q^{h-s} L_N^{t-1} (T_{N-1} T_{N-2} \dots T_{N-s+1}) L_{N-s+1} \right. \\ & \quad \left. + L_N^{t-1} (T_{N-1} T_{N-2} \dots T_{N-h}) L_{N-h} \right\}. \end{aligned}$$

We prove that

$$(7.24.2) \quad \begin{aligned} & m_\mu L_N^t (T_{N-1} T_{N-2} \dots T_{N-h}) \\ &= m_\mu (q^h \Phi_t^+(L_N, L_{N-1}, \dots, L_{N-h}) - q^{h-2} \Phi_t^+(L_N, L_{N-1}, \dots, L_{N-h+1})) \end{aligned}$$

by the induction on  $t$ . In the case where  $t = 1$ , by (7.24.1) together with (6.4.2), we have

$$\begin{aligned} & m_\mu L_N(T_{N-1}T_{N-2}\dots T_{N-h}) \\ &= m_\mu \left\{ (q - q^{-1})q^{h-1}L_N + \sum_{s=2}^h (q - q^{-1})q^{h-s}q^{s-1}L_{N-s+1} + q^h L_{N-h} \right\} \\ &= m_\mu (q^h \Phi_1^+(L_N, L_{N-1}, \dots, L_{N-h}) - q^{h-2} \Phi_1^+(L_N, L_{N-1}, \dots, L_{N-h+1})). \end{aligned}$$

Assume that  $t > 1$ . Applying the assumption of the induction to (7.24.1), we have

$$\begin{aligned} & m_\mu L_N^t(T_{N-1}T_{N-2}\dots T_{N-h}) \\ &= m_\mu \left\{ (q - q^{-1})q^{h-1}L_N^t \right. \\ &\quad + \sum_{s=2}^h (q - q^{-1})q^{h-s} (q^{s-1} \Phi_{t-1}^+(L_N, L_{N-1}, \dots, L_{N-s+1}) \\ &\quad \quad \quad \left. - q^{s-3} \Phi_{t-1}^+(L_N, L_{N-1}, \dots, L_{N-s+2})) L_{N-s+1} \right. \\ &\quad \left. + (q^h \Phi_{t-1}^+(L_N, L_{N-1}, \dots, L_{N-h}) - q^{h-2} \Phi_{t-1}^+(L_N, L_{N-1}, \dots, L_{N-h+1})) L_{N-h} \right\} \end{aligned}$$

Put  $s' = s - 1$ , we have

$$\begin{aligned} & m_\mu L_N^t(T_{N-1}T_{N-2}\dots T_{N-h}) \\ &= m_\mu \left\{ q^h \left( L_N^t + \sum_{s=1}^h (\Phi_{t-1}^+(L_N, L_{N-1}, \dots, L_{N-s'}) L_{N-s'} \right. \right. \\ &\quad \quad \quad \left. \left. - q^{-2} \Phi_{t-1}^+(L_N, L_{N-1}, \dots, L_{N-s'+1}) L_{N-s'} \right) \right. \\ &\quad \left. - q^{h-2} \left( L_N^t + \sum_{s=1}^{h-1} (\Phi_{t-1}^+(L_N, L_{N-1}, \dots, L_{N-s'}) L_{N-s'} \right. \right. \\ &\quad \quad \quad \left. \left. - q^{-2} \Phi_{t-1}^+(L_N, L_{N-1}, \dots, L_{N-s'+1}) L_{N-s'} \right) \right\}. \end{aligned}$$

Applying (7.3.1) to the right-hand side, we have (7.24.2). Thanks to (7.24.2), we have

$$\begin{aligned} & m_\mu L_N^t[T; N, p]^- \\ &= m_\mu L_N^t \left( 1 + \sum_{h=1}^{p-1} q^h T_{N-1} T_{N-2} \dots T_{N-h} \right) \\ &= m_\mu \left\{ \Phi_t^+(L_N) + \sum_{h=1}^{p-1} (q^{2h} \Phi_t^+(L_N, L_{N-1}, \dots, L_{N-h}) \right. \\ &\quad \quad \quad \left. - q^{2h-2} \Phi_t^+(L_N, L_{N-1}, \dots, L_{N-h+1})) \right\} \end{aligned}$$

$$= q^{2p-2} m_\mu \Phi_t^+(L_N, L_{N-1}, \dots, L_{N-p}).$$

Now we obtained (i).

For  $t > 0$  and  $1 \leq h \leq \mu_{i+1}^{(k)} - 1$ , by the induction on  $h$  using Lemma 6.3 and (6.4.2), we can show that

$$(7.24.3) \quad \begin{aligned} & m_\mu L_{N+1}^t (T_{N+1} T_{N+2} \dots T_{N+h}) \\ &= q^{-h} m_\mu L_{N+1}^{t-1} \left\{ (1 - q^2) \left( 1 + \sum_{s=1}^{h-1} q^s T_{N+1} T_{N+2} \dots T_{N+s} \right) \right. \\ & \quad \left. + q^h T_{N+1} T_{N+2} \dots T_{N+h} \right\} L_{N+h+1}. \end{aligned}$$

We prove (ii) by the induction on  $t$ . We have already proved (ii) in the case where  $t = 0$ .

Assume that  $t > 0$ . By (7.24.3), we have

$$\begin{aligned} & m_\mu L_{N+1}^t [T; N, p]^+ \\ &= m_\mu L_{N+1}^t \left( 1 + \sum_{h=1}^{p-1} q^h T_{N+1} T_{N+2} \dots T_{N+h} \right) \\ &= m_\mu L_{N+1}^{t-1} \left\{ L_{N+1} + \sum_{h=1}^{p-1} \left\{ (1 - q^2) \left( 1 + \sum_{s=1}^{h-1} q^s T_{N+1} T_{N+2} \dots T_{N+s} \right) \right. \right. \\ & \quad \left. \left. + q^h T_{N+1} T_{N+2} \dots T_{N+h} \right\} L_{N+h+1} \right\} \\ &= m_\mu L_{N+1}^{t-1} \left\{ \sum_{h=1}^p [T; N, h]^+ L_{N+h} - q^2 \sum_{h=1}^{p-1} [T; N, h]^+ L_{N+h+1} \right\}. \end{aligned}$$

Applying the assumption of the induction, we have

$$\begin{aligned} & m_\mu L_{N+1}^t [T; N, p]^+ \\ &= m_\mu \left\{ \sum_{h=1}^p \Phi_{t-1}^-(L_{N+1}, L_{N+2}, \dots, L_{N+h}) L_{N+h} \right. \\ & \quad \left. - q^2 \sum_{h=1}^{p-1} \Phi_{t-1}^-(L_{N+1}, L_{N+2}, \dots, L_{N+h}) L_{N+h+1} \right\}. \end{aligned}$$

Applying (7.3.1), we have

$$m_\mu L_{N+1}^t [T; N, p]^+ = m_\mu \Phi_t^-(L_{N+1}, L_{N+2}, \dots, L_{N+p}).$$

□

**Lemma 7.25.** For  $\mu \in \Lambda_{n,r}(\mathbf{m})$  and  $(i, k) \in \Gamma'(\mathbf{m})$ , put  $N = N_{(i,k)}^\mu$ . Then we have the followings.

(i) If  $\mu_i^{(k)} \neq 0$ , we have

$$\begin{aligned} & m_\mu L_N^t [T; N-1, \mu_{i+1}^{(k)} + 1]^+ [T; N, \mu_i^{(k)}]^- \\ &= q^{2\mu_i^{(k)}-2} m_\mu \Phi_t^+ (L_N, L_{N-1}, \dots, L_{N-\mu_i^{(k)}+1}) \\ &+ \delta_{(\mu_{i+1}^{(k)} \neq 0)} m_\mu L_N^t ([T; N+1, \mu_i^{(k)} + 1]^- - 1) [T; N, \mu_{i+1}^{(k)}]^+ \end{aligned}$$

(ii) If  $\mu_i^{(k)} \neq 0$ , we have

$$\begin{aligned} & m_\mu L_N^t [T; N-1, \mu_{i+1}^{(k)} + 1]^+ L_N [T; N, \mu_i^{(k)}]^- \\ &= q^{2\mu_i^{(k)}-2} m_\mu \Phi_{t+1}^+ (L_N, L_{N-1}, \dots, L_{N-\mu_i^{(k)}+1}) \\ &- \delta_{(\mu_{i+1}^{(k)} \neq 0)} (q - q^{-1}) q^{2\mu_i^{(k)}-1} m_\mu \Phi_t^+ (L_N, L_{N-1}, \dots, L_{N-\mu_i^{(k)}+1}) \\ &\quad \times \Phi_1^- (L_{N+1}, L_{N+2}, \dots, L_{N+\mu_{i+1}^{(k)}}) \\ &+ m_\mu L_N^t L_{N+1} ([T; N-1, \mu_{i+1}^{(k)} + 1]^+ - 1) [T; N, \mu_i^{(k)}]^- \end{aligned}$$

(iii) If  $\mu_{i+1}^{(k)} \neq 0$ , we have

$$\begin{aligned} & m_\mu [T; N+1, \mu_i^{(k)} + 1]^- L_{N+1}^t [T; N, \mu_{i+1}^{(k)}]^+ \\ &= (1 + \delta_{(t \neq 0)} (q^{2\mu_i^{(k)}} - 1)) m_\mu \Phi_t^- (L_{N+1}, L_{N+2}, \dots, L_{N+\mu_{i+1}^{(k)}}) \\ &+ \delta_{(\mu_i^{(k)} \neq 0)} (q - q^{-1}) \sum_{b=1}^{t-1} m_\mu q^{2\mu_i^{(k)}-1} \Phi_{t-b}^+ (L_N, L_{N-1}, \dots, L_{N-\mu_i^{(k)}+1}) \\ &\quad \times \Phi_b^- (L_{N+1}, L_{N+2}, \dots, L_{N+\mu_{i+1}^{(k)}}) \\ &+ m_\mu L_N^t ([T; N+1, \mu_i^{(k)} + 1]^- - 1) [T; N, \mu_{i+1}^{(k)}]^+ \end{aligned}$$

(iv) If  $\mu_{i+1}^{(k)} \neq 0$ , we have

$$\begin{aligned} & m_\mu L_{N+1} [T; N+1, \mu_i^{(k)} + 1]^- L_{N+1}^t [T; N, \mu_{i+1}^{(k)}]^+ \\ &= (1 + \delta_{(t \neq 0)} (q^{2\mu_i^{(k)}} - 1)) m_\mu \Phi_{t+1}^- (L_{N+1}, L_{N+2}, \dots, L_{N+\mu_{i+1}^{(k)}}) \\ &+ \delta_{(\mu_i^{(k)} \neq 0)} (q - q^{-1}) \sum_{b=1}^{t-1} m_\mu q^{2\mu_i^{(k)}-1} \Phi_{t-b}^+ (L_N, L_{N-1}, \dots, L_{N-\mu_i^{(k)}+1}) \\ &\quad \times \Phi_{b+1}^- (L_{N+1}, L_{N+2}, \dots, L_{N+\mu_{i+1}^{(k)}}) \\ &+ m_\mu L_N^t L_{N+1} ([T; N+1, \mu_i^{(k)} + 1]^- - 1) [T; N, \mu_{i+1}^{(k)}]^+ \end{aligned}$$



*Proof.* By the induction on  $\mu_{i+1}^{(k)}$ , we can prove that

$$(7.25.1) \quad \begin{aligned} & [T; N-1, \mu_{i+1}^{(k)}+1]^+ [T; N, \mu_i^{(k)}]^- \\ &= [T; N, \mu_i^{(k)}]^- + \delta_{(\mu_{i+1}^{(k)} \neq 0)} ([T; N+1, \mu_i^{(k)}+1]^- - 1) [T; N, \mu_{i+1}^{(k)}]^+ \end{aligned}$$

Thus we have

$$\begin{aligned} & m_\mu L_N^t [T; N-1, \mu_{i+1}^{(k)}+1]^+ [T; N, \mu_i^{(k)}]^- \\ &= m_\mu L_N^t \left\{ [T; N, \mu_i^{(k)}]^- + \delta_{(\mu_{i+1}^{(k)} \neq 0)} ([T; N+1, \mu_i^{(k)}+1]^- - 1) [T; N, \mu_{i+1}^{(k)}]^+ \right\}. \end{aligned}$$

Applying Lemma 7.24 (i), we have (i).

We prove (ii). By Lemma 6.3, we have

$$\begin{aligned} & [T; N-1, \mu_{i+1}^{(k)}+1]^+ L_N \\ &= L_N + L_{N+1} ([T; N-1, \mu_{i+1}^{(k)}+1]^+ - 1) - \delta_{(\mu_{i+1}^{(k)} \neq 0)} q(q-q^{-1}) L_{N+1} [T; N, \mu_{i+1}^{(k)}]^+. \end{aligned}$$

Thus, we have

$$\begin{aligned} & m_\mu L_N^t [T; N-1, \mu_{i+1}^{(k)}+1]^+ L_N [T; N, \mu_i^{(k)}]^- \\ &= m_\mu L_N^{t+1} [T; N, \mu_i^{(k)}]^- + m_\mu L_N^t L_{N+1} ([T; N-1, \mu_{i+1}^{(k)}+1]^+ - 1) [T; N, \mu_i^{(k)}]^- \\ &\quad - \delta_{(\mu_{i+1}^{(k)} \neq 0)} q(q-q^{-1}) m_\mu L_N^t L_{N+1} [T; N, \mu_{i+1}^{(k)}]^+ [T; N, \mu_i^{(k)}]^- . \end{aligned}$$

Applying (6.4.2), Lemma 7.11, Lemma 7.24 and (7.25.1), we have (ii).

We prove (iii). By Lemma 6.3, we have

$$\begin{aligned} & [T; N+1, \mu_i^{(k)}+1]^- L_{N+1}^t = L_{N+1}^t + L_N^t ([T; N+1, \mu_i^{(k)}+1]^- - 1) \\ &\quad + \delta_{(\mu_i^{(k)} \neq 0)} q(q-q^{-1}) \sum_{b=1}^t L_N^{t-b} L_{N+1}^b [T; N, \mu_i^{(k)}]^- . \end{aligned}$$

Thus, we have

$$\begin{aligned} & m_\mu [T; N+1, \mu_i^{(k)}+1]^- L_{N+1}^t [T; N, \mu_{i+1}^{(k)}]^+ \\ &= m_\mu L_{N+1}^t [T; N, \mu_{i+1}^{(k)}]^+ + m_\mu L_N^t ([T; N+1, \mu_i^{(k)}+1]^- - 1) [T; N, \mu_{i+1}^{(k)}]^+ \\ &\quad + \delta_{(\mu_i^{(k)} \neq 0)} q(q-q^{-1}) \sum_{b=1}^t m_\mu L_N^{t-b} L_{N+1}^b [T; N, \mu_i^{(k)}]^- [T; N, \mu_{i+1}^{(k)}]^+ \\ &= m_\mu L_{N+1}^t [T; N, \mu_{i+1}^{(k)}]^+ \\ &\quad + \delta_{(\mu_i^{(k)} \neq 0)} \delta_{(t \neq 0)} q(q-q^{-1}) m_\mu L_{N+1}^t [T; N, \mu_i^{(k)}]^- [T; N, \mu_{i+1}^{(k)}]^+ \end{aligned}$$

$$\begin{aligned}
& + \delta_{(\mu_i^{(k)} \neq 0)} q(q - q^{-1}) \sum_{b=1}^{t-1} m_\mu L_N^{t-b} L_{N+1}^b [T; N, \mu_i^{(k)}]^- [T; N, \mu_{i+1}^{(k)}]^+ \\
& + m_\mu L_N^t ([T; N+1, \mu_i^{(k)} + 1]^- - 1) [T; N, \mu_{i+1}^{(k)}]^+
\end{aligned}$$

Applying (6.4.2), Lemma 7.11 and Lemma 7.24, we have (iii).

We prove (iv). By Lemma 6.3, we have

$$\begin{aligned}
& m_\mu L_{N+1} [T; N+1, \mu_i^{(k)} + 1]^- L_{N+1}^t [T; N, \mu_{i+1}^{(k)}]^+ \\
& = m_\mu L_{N+1}^{t+1} [T; N, \mu_{i+1}^{(k)}]^+ + m_\mu L_N^t L_{N+1} ([T; N+1, \mu_i^{(k)} + 1]^- - 1) [T; N, \mu_{i+1}^{(k)}]^+ \\
& + \delta_{(\mu_i^{(k)} \neq 0)} q(q - q^{-1}) \sum_{b=1}^t m_\mu L_N^{t-b} L_{N+1}^{b+1} [T; N, \mu_i^{(k)}]^- [T; N, \mu_{i+1}^{(k)}]^+
\end{aligned}$$

Applying (6.4.2), Lemma 7.11 and Lemma 7.24, we have (iv).  $\square$

**Proposition 7.26.** *For  $(i, k) \in \Gamma'(\mathbf{m})$  and  $s, t \geq 0$ , we have*

$$[\mathcal{X}_{(i,k),t}^+, \mathcal{X}_{(i,k),s}^-] = \begin{cases} \tilde{\mathcal{K}}_{(i,k)}^+ \mathcal{J}_{(i,k),s+t} & \text{if } i \neq m_k, \\ -Q_k \tilde{\mathcal{K}}_{(m_k,k)}^+ \mathcal{J}_{(m_k,k),s+t} + \tilde{\mathcal{K}}_{(m_k,k)}^+ \mathcal{J}_{(m_k,k),s+t+1} & \text{if } i = m_k. \end{cases}$$

*Proof.* Assume that  $s = 0$  and  $t \geq 0$ . For  $\mu \in \Lambda_{n,r}(\mathbf{m})$ , put  $N = N_{(i,k)}^\mu$ . By Lemma 7.17, we have

$$\begin{aligned}
(7.26.1) \quad & \mathcal{X}_{(i,k),t}^+ \mathcal{X}_{(i,k),0}^-(m_\mu) \\
& = \delta_{(\mu_i^{(k)} \neq 0)} q^{-\mu_i^{(k)} - \mu_{i+1}^{(k)} + 1} m_\mu L_N^t [T; N-1, \mu_{i+1}^{(k)} + 1]^+ h_{-(i,k)}^\mu [T; N, \mu_i^{(k)}]^-
\end{aligned}$$

and

$$\begin{aligned}
(7.26.2) \quad & \mathcal{X}_{(i,k),0}^- \mathcal{X}_{(i,k),t}^+(m_\mu) \\
& = \delta_{(\mu_{i+1}^{(k)} \neq 0)} q^{-\mu_i^{(k)} - \mu_{i+1}^{(k)} + 1} m_\mu h_{-(i,k)}^{\mu + \alpha_{(i,k)}} [T; N+1, \mu_i^{(k)} + 1]^- L_{N+1}^t [T; N, \mu_{i+1}^{(k)}]^+.
\end{aligned}$$

Assume that  $i \neq m_k$ . By (7.26.1) and (7.26.2) together with Lemma 7.25, we have

$$\begin{aligned}
& (\mathcal{X}_{(i,k),t}^+ \mathcal{X}_{(i,k),0}^- - \mathcal{X}_{(i,k),0}^- \mathcal{X}_{(i,k),t}^+)(m_\mu) \\
& = q^{-\mu_i^{(k)} - \mu_{i+1}^{(k)} + 1} m_\mu \left\{ \delta_{(\mu_i^{(k)} \neq 0)} q^{2\mu_i^{(k)} - 2} \Phi_t^+(L_N, L_{N-1}, \dots, L_{N-\mu_i^{(k)}+1}) \right. \\
& \quad - \delta_{(\mu_{i+1}^{(k)} \neq 0)} (1 + \delta_{(t \neq 0)} (q^{2\mu_i^{(k)}} - 1)) \Phi_t^-(L_{N+1}, L_{N+2}, \dots, L_{N+\mu_{i+1}^{(k)}}) \\
& \quad \left. - \delta_{(\mu_i^{(k)} \neq 0)} \delta_{(\mu_{i+1}^{(k)} \neq 0)} (q - q^{-1}) \sum_{b=1}^{t-1} q^{2\mu_i^{(k)} - 1} \Phi_{t-b}^+(L_N, L_{N-1}, \dots, L_{N-\mu_i^{(k)}+1}) \right\}
\end{aligned}$$

$$\begin{aligned}
& \times \Phi_b^-(L_{N+1}, L_{N+2}, \dots, L_{N+\mu_{i+1}^{(k)}}) \Big\} \\
& = q^{\mu_i^{(k)} - \mu_{i+1}^{(k)}} m_\mu \left\{ \delta_{(\mu_i^{(k)} \neq 0)} q^{-t} q^{t-1} \Phi_t^+(L_N, L_{N-1}, \dots, L_{N-\mu_i^{(k)}+1}) \right. \\
& \quad - \delta_{(\mu_{i+1}^{(k)} \neq 0)} (q^{-2\mu_i^{(k)}} + \delta_{(t \neq 0)} (1 - q^{-2\mu_i^{(k)}})) q^t q^{-t+1} \Phi_t^-(L_{N+1}, L_{N+2}, \dots, L_{N+\mu_{i+1}^{(k)}}) \\
& \quad - \delta_{(\mu_i^{(k)} \neq 0)} \delta_{(\mu_{i+1}^{(k)} \neq 0)} (q - q^{-1}) \sum_{b=1}^{t-1} q^{-t+2b} q^{t-b-1} \Phi_{t-b}^+(L_N, L_{N-1}, \dots, L_{N-\mu_i^{(k)}+1}) \\
& \quad \left. \times q^{-b+1} \Phi_b^-(L_{N+1}, L_{N+2}, \dots, L_{N+\mu_{i+1}^{(k)}}) \right\} \\
& = \tilde{\mathcal{K}}_{(i,k)}^+ \mathcal{J}_{(i,k),t}(m_\mu).
\end{aligned}$$

Thus, we have  $[\mathcal{X}_{(i,k),t}^+, \mathcal{X}_{(i,k),0}^-] = \tilde{\mathcal{K}}_{(i,k)}^+ \mathcal{J}_{(i,k),t}$  if  $i \neq m_k$ . (Note Corollary 7.9 in the case where  $t = 0$ .)

In a similar way, by (7.26.1) and (7.26.2) together with Lemma 7.25, we also have  $[\mathcal{X}_{(m_k,k),t}^+, \mathcal{X}_{(m_k,k),0}^-] = -Q_k \tilde{\mathcal{K}}_{(m_k,k)}^+ \mathcal{J}_{(m_k,k),s+t} + \tilde{\mathcal{K}}_{(m_k,k)}^+ \mathcal{J}_{(m_k,k),s+t+1}$  if  $i = m_k$ . Now we proved the proposition in the case where  $s = 0$  and  $t \geq 0$ .

Finally, we prove the proposition by the induction on  $s$ . In the case where  $s = 0$ , we have already proved. Assume that  $s > 0$ , by (7.15.1), we have

$$\begin{aligned}
[\mathcal{X}_{(i,k),t}^+, \mathcal{X}_{(i,k),s}^-] &= \mathcal{X}_{(i,k),t}^+ (-\mathcal{I}_{(i,k),1}^- \mathcal{X}_{(i,k),s-1}^- + \mathcal{X}_{(i,k),s-1}^- \mathcal{I}_{(i,k),1}^-) \\
&\quad - (-\mathcal{I}_{(i,k),1}^- \mathcal{X}_{(i,k),s-1}^- + \mathcal{X}_{(i,k),s-1}^- \mathcal{I}_{(i,k),1}^-) \mathcal{X}_{(i,k),t}^+.
\end{aligned}$$

Applying Proposition 7.21 together with Lemma 7.20, we have

$$\begin{aligned}
[\mathcal{X}_{(i,k),t}^+, \mathcal{X}_{(i,k),s}^-] &= -\mathcal{I}_{(i,k),1}^- \mathcal{X}_{(i,k),t}^+ \mathcal{X}_{(i,k),s-1}^- + \mathcal{X}_{(i,k),t+1}^+ \mathcal{X}_{(i,k),s-1}^- + \mathcal{X}_{(i,k),t}^+ \mathcal{X}_{(i,k),s-1}^- \mathcal{I}_{(i,k),1}^- \\
&\quad + \mathcal{I}_{(i,k),1}^- \mathcal{X}_{(i,k),s-1}^- \mathcal{X}_{(i,k),t}^+ - \mathcal{X}_{(i,k),s-1}^- \mathcal{X}_{(i,k),t}^+ \mathcal{I}_{(i,k),1}^- - \mathcal{X}_{(i,k),s-1}^- \mathcal{X}_{(i,k),t+1}^+ \\
&= [\mathcal{X}_{(i,k),t+1}^+, \mathcal{X}_{(i,k),s-1}^-] \\
&\quad - \mathcal{I}_{(i,k),1}^- [\mathcal{X}_{(i,k),t}^+, \mathcal{X}_{(i,k),s-1}^-] + [\mathcal{X}_{(i,k),t}^+, \mathcal{X}_{(i,k),s-1}^-] \mathcal{I}_{(i,k),1}^-.
\end{aligned}$$

Then, by the assumption of the induction together with Lemma 7.6, we have the proposition.  $\square$

**Lemma 7.27.** *For  $(i, k) \in \Gamma'(\mathbf{m})$ , we have the followings.*

(i) *If  $(q - q^{-1})$  is invertible in  $R$ , we have*

$$\tilde{\mathcal{K}}_{(i,k)}^+ \mathcal{J}_{(i,k),0} = \frac{\tilde{\mathcal{K}}_{(i,k)}^+ - \tilde{\mathcal{K}}_{(i,k)}^-}{q - q^{-1}}.$$

(ii) *If  $q = 1$ , we have*

$$\tilde{\mathcal{K}}_{(i,k)}^+ \mathcal{J}_{(i,k),0} = \mathcal{I}_{(i,k),0}^+ - \mathcal{I}_{(i+1,k),0}^-.$$

*Proof.* For  $\mu \in \Lambda_{n,r}(\mathbf{m})$ , by the definitions together with Corollary 7.9, we have

$$\begin{aligned}\tilde{\mathcal{K}}_{(i,k)}^+ \mathcal{J}_{(i,k),0}(m_\mu) &= \tilde{\mathcal{K}}_{(i,k)}^+ (\mathcal{I}_{(i,k),0}^+ - (\mathcal{K}_{(i,k)}^-)^2 \mathcal{I}_{(i+1,k),0}^-)(m_\mu) \\ &= q^{\mu_i^{(k)} - \mu_{i+1}^{(k)}} (q^{-\mu_i^{(k)}} [\mu_i^{(k)}] - q^{-2\mu_i^{(k)}} q^{\mu_{i+1}^{(k)}} [\mu_{i+1}^{(k)}]) m_\mu \\ &= [\mu_i^{(k)} - \mu_{i+1}^{(k)}] m_\mu.\end{aligned}$$

If  $(q - q^{-1})$  is invertible in  $R$ , we have

$$\begin{aligned}[\mu_i^{(k)} - \mu_{i+1}^{(k)}] m_\mu &= \frac{q^{\mu_i^{(k)} - \mu_{i+1}^{(k)}} - q^{-\mu_i^{(k)} + \mu_{i+1}^{(k)}}}{q - q^{-1}} m_\mu \\ &= \frac{\tilde{\mathcal{K}}_{(i,k)}^+ - \tilde{\mathcal{K}}_{(i,k)}^-}{q - q^{-1}} (m_\mu).\end{aligned}$$

Thus, we have (i).

If  $q = 1$ , we have

$$\begin{aligned}[\mu_i^{(k)} - \mu_{i+1}^{(k)}] m_\mu &= (\mu_i^{(k)} - \mu_{i+1}^{(k)}) m_\mu \\ &= (\mathcal{I}_{(i,k),0}^+ - \mathcal{I}_{(i+1,0)}^-)(m_\mu).\end{aligned}$$

Thus, we have (ii). □

In the case where  $q = 1$ , we have the following lemma.

**Lemma 7.28.** *Assume that  $q = 1$ . Then, for  $(j, l) \in \Gamma(\mathbf{m})$  and  $t \geq 0$ , we have the followings.*

- (i)  $\mathcal{K}_{(j,l)}^\pm = 1$ .
- (ii)  $\mathcal{I}_{(j,l),t}^+ = \mathcal{I}_{(j,l),t}^-$ .

*Proof.* If  $q = 1$ , we see that

$$(7.28.1) \quad \Phi_t^\pm(x_1, \dots, x_k) = x_1^t + x_2^t + \dots + x_k^t,$$

in particular we have  $\Phi_t^+(x_1, \dots, x_k) = \Phi_t^-(x_1, \dots, x_k)$ . Thus, we have the lemma from the definitions. □

## § 8. THE CYCLOTOMIC $q$ -SCHUR ALGEBRA AS A QUOTIENT OF $\mathcal{U}_{q,\mathbf{Q}}(\mathbf{m})$

Let  $\tilde{\mathbf{Q}} = (Q_0, Q_1, \dots, Q_{r-1})$  be an  $r$ -tuple of indeterminate elements over  $\mathbb{Z}$ , and  $\mathbb{Q}(\tilde{\mathbf{Q}}) = \mathbb{Q}(Q_0, Q_1, \dots, Q_{r-1})$  be the quotient field of  $\mathbb{Z}[\tilde{\mathbf{Q}}] = \mathbb{Z}[Q_0, Q_1, \dots, Q_{r-1}]$ . Put  $\tilde{\mathbb{A}} = \mathbb{Z}[q, q^{-1}, Q_0, Q_1, \dots, Q_{r-1}]$ , and let  $\tilde{\mathbb{K}} = \mathbb{Q}(q, Q_0, Q_1, \dots, Q_{r-1})$  be the quotient field of  $\tilde{\mathbb{A}}$ , where  $q$  is indeterminate over  $\mathbb{Z}$ . Put

$$\begin{aligned}\mathfrak{g}_{\tilde{\mathbf{Q}}}(\mathbf{m}) &= \mathbb{Q}(\tilde{\mathbf{Q}}) \otimes_{\mathbb{Q}(\mathbf{Q})} \mathfrak{g}_{\mathbf{Q}}(\mathbf{m}), \\ \mathcal{U}_{q,\tilde{\mathbf{Q}}}(\mathbf{m}) &= \tilde{\mathbb{K}} \otimes_{\mathbb{K}} \mathcal{U}_{q,\mathbf{Q}}(\mathbf{m}) \text{ and } \mathcal{U}_{\tilde{\mathbb{A}},q,\tilde{\mathbf{Q}}}(\mathbf{m}) = \tilde{\mathbb{A}} \otimes_{\mathbb{A}} \mathcal{U}_{\mathbb{A},q,\mathbf{Q}}(\mathbf{m}).\end{aligned}$$

We define a full subcategory  $\mathcal{C}_{\tilde{\mathbf{Q}}}(\mathbf{m})$  and  $\mathcal{C}_{\tilde{\mathbf{Q}}}^{\geq 0}(\mathbf{m})$  (resp.  $\mathcal{C}_{q,\tilde{\mathbf{Q}}}(\mathbf{m})$  and  $\mathcal{C}_{q,\tilde{\mathbf{Q}}}^{\geq 0}(\mathbf{m})$ ) of  $U(\mathfrak{g}_{\tilde{\mathbf{Q}}}(\mathbf{m}))$ -mod (resp.  $\mathcal{U}_{q,\tilde{\mathbf{Q}}}(\mathbf{m})$ -mod) in a similar manner as  $\mathcal{C}_{\mathbf{Q}}(\mathbf{m})$  and  $\mathcal{C}_{\mathbf{Q}}^{\geq 0}(\mathbf{m})$  (resp.  $\mathcal{C}_{q,\mathbf{Q}}(\mathbf{m})$  and  $\mathcal{C}_{q,\mathbf{Q}}^{\geq 0}(\mathbf{m})$ ).

Let  $\mathcal{H}_{n,r}^{\tilde{\mathbb{K}}}$  (resp.  $\mathcal{H}_{n,r}^{\tilde{\mathbb{A}}}$ ) be the Ariki-Koike algebra over  $\tilde{\mathbb{K}}$  (resp. over  $\tilde{\mathbb{A}}$ ) with parameters  $q, Q_0, Q_1, \dots, Q_{r-1}$ , and  $\mathcal{S}_{n,r}^{\tilde{\mathbb{K}}}(\mathbf{m})$  (resp.  $\mathcal{S}_{n,r}^{\tilde{\mathbb{A}}}(\mathbf{m})$ ) be the cyclotomic  $q$ -Schur algebra associated with  $\mathcal{H}_{n,r}^{\tilde{\mathbb{K}}}$  (resp.  $\mathcal{H}_{n,r}^{\tilde{\mathbb{A}}}$ ). Then, we have the following theorem.

**Theorem 8.1.** *We have a homomorphism of algebras*

$$(8.1.1) \quad \Psi : \mathcal{U}_{q,\tilde{\mathbf{Q}}}(\mathbf{m}) \rightarrow \mathcal{S}_{n,r}^{\tilde{\mathbb{K}}}(\mathbf{m})$$

by taking  $\Psi(\mathcal{X}_{(i,k),t}^{\pm}) = \mathcal{X}_{(i,k),t}^{\pm}$ ,  $\Psi(\mathcal{I}_{(j,l),t}^{\pm}) = \mathcal{I}_{(j,l),t}^{\pm}$  and  $\Psi(\mathcal{K}_{(j,l)}^{\pm}) = \mathcal{K}_{(j,l)}^{\pm}$ .

The restriction of  $\Psi$  to  $\mathcal{U}_{\tilde{\mathbb{A}},q,\tilde{\mathbf{Q}}}(\mathbf{m})$  gives a homomorphism of algebras

$$\Psi_{\tilde{\mathbb{A}}} : \mathcal{U}_{\tilde{\mathbb{A}},q,\tilde{\mathbf{Q}}}(\mathbf{m}) \rightarrow \mathcal{S}_{n,r}^{\tilde{\mathbb{A}}}(\mathbf{m}).$$

Moreover, if  $m_k \geq n$  for all  $k = 1, 2, \dots, r-1$ , the homomorphism  $\Psi$  (resp.  $\Psi_{\tilde{\mathbb{A}}}$ ) is surjective.

*Proof.* The well-definedness of  $\Psi$  follows from Lemma 7.6, Lemma 7.7, Lemma 7.16, Proposition 7.18, Proposition 7.19, Lemma 7.20, Proposition 7.21, Proposition 7.22, and Proposition 7.26.

Note that  $\mathcal{H}_{n,r}^{\tilde{\mathbb{A}}}$  (resp.  $\mathcal{S}_{n,r}^{\tilde{\mathbb{A}}}(\mathbf{m})$ ) is an  $\tilde{\mathbb{A}}$ -subalgebra of  $\mathcal{H}_{n,r}^{\tilde{\mathbb{K}}}$  (resp.  $\mathcal{S}_{n,r}^{\tilde{\mathbb{K}}}(\mathbf{m})$ ) by definitions. In particular, in order to see that  $\varphi \in \mathcal{S}_{n,r}^{\tilde{\mathbb{K}}}(\mathbf{m})$  belong to  $\mathcal{S}_{n,r}^{\tilde{\mathbb{A}}}(\mathbf{m})$ , it is enough to show that  $\varphi(m_{\mu}) \in \mathcal{H}_{n,r}^{\tilde{\mathbb{A}}}$  for any  $\mu \in \Lambda_{n,r}(\mathbf{m})$ .

For  $\mu \in \Lambda_{n,r}(\mathbf{m})$  and  $d \in \mathbb{Z}_{\geq 0}$ , we see that,

$$(8.1.2) \quad \left[ \begin{matrix} \mathcal{K}_{(j,l)} \\ d \end{matrix}; 0 \right] (m_{\mu}) = \begin{cases} \left[ \begin{matrix} \mu_j^{(l)} \\ d \end{matrix} \right] m_{\mu} & \text{if } d \leq \mu_j^{(l)}, \\ 0 & \text{if } d > \mu_j^{(l)} \end{cases}$$

in  $\mathcal{S}_{n,r}^{\tilde{\mathbb{K}}}(\mathbf{m})$ . This implies that  $\Psi\left(\left[ \begin{matrix} \mathcal{K}_{(j,l)} \\ d \end{matrix}; 0 \right]\right) \in \mathcal{S}_{n,r}^{\tilde{\mathbb{A}}}(\mathbf{m})$ .

For  $(i, k) \in \Gamma'(\mathbf{m})$  and  $t, d \in \mathbb{Z}_{\geq 0}$ , we see that

$$\begin{aligned} & (\mathcal{X}_{(i,k),t}^+)^d (m_{\mu}) \\ &= q^{-d\mu_{i+1}^{(k)} + d(d+1)/2} m_{\mu + d\alpha_{(i,k)}} (L_{N_{(i,k)}^{\mu}+1} L_{N_{(i,k)}^{\mu}+2} \cdots L_{N_{(i,k)}^{\mu}+d})^t \left[ \begin{matrix} T; N_{(i,k)}^{\mu}, \mu_{i+1}^{(k)} \\ d \end{matrix} \right] \\ &= q^{-d\mu_{i+1}^{(k)} + d(d+1)/2} m_{\mu + d\alpha_{(i,k)}} (L_{N_{(i,k)}^{\mu}+1} L_{N_{(i,k)}^{\mu}+2} \cdots L_{N_{(i,k)}^{\mu}+d})^t \\ & \quad \times (T; N_{(i,k)}^{\mu}, d)^+ !\mathfrak{H}^+(N_{(i,k)}^{\mu}, \mu_{i+1}^{(k)}, d) \end{aligned}$$

by Lemma 7.17 together with Lemma 7.11 and Corollary 7.14. We also see that  $(T; N_{(i,k)}^\mu, d)^+!$  commute with  $(L_{N_{(i,k)}^\mu+1} L_{N_{(i,k)}^\mu+2} \cdots L_{N_{(i,k)}^\mu+d})^t$  by Lemma 6.3 (iii), and see that  $m_{\mu+d\alpha_{(i,k)}}(T; N_{(i,k)}^\mu, d)^+! = q^{d(d-1)/2} [d]! m_{\mu+d\alpha_{(i,k)}}$  by (6.4.2). Thus we have

$$\begin{aligned} & (\mathcal{X}_{(i,k),t}^+)^d(m_\mu) \\ &= [d]! q^{-d\mu_{i+1}^{(k)} + d^2} m_{\mu+d\alpha_{(i,k)}}(L_{N_{(i,k)}^\mu+1} L_{N_{(i,k)}^\mu+2} \cdots L_{N_{(i,k)}^\mu+d})^t \mathfrak{H}^+(N_{(i,k)}^\mu, \mu_{i+1}^{(k)}, d) \end{aligned}$$

in  $\mathcal{S}_{n,r}^{\tilde{\mathbb{K}}}(\mathbf{m})$ . This implies that  $\Psi(\mathcal{X}_{(i,k),t}^+) \in \mathcal{S}_{n,r}^{\tilde{\mathbb{A}}}(\mathbf{m})$  since  $\mathfrak{H}^+(N_{(i,k)}^\mu, \mu_{i+1}^{(k)}, d) \in \mathcal{H}_{n,r}^{\mathbb{A}}$  by the argument in the proof of Corollary 7.14. Similarly, we see that  $\Psi(\mathcal{X}_{(i,k),t}^-) \in \mathcal{S}_{n,r}^{\tilde{\mathbb{A}}}(\mathbf{m})$ . Thus, the restriction of  $\Psi$  to  $\mathcal{U}_{\tilde{\mathbb{A}},q,\tilde{\mathbf{Q}}}(\mathbf{m})$  gives a homomorphism  $\Psi_{\tilde{\mathbb{A}}}$ .

The last assertion follows from [W1, Proposition 6.4].  $\square$

**Remark 8.2.** In order to prove the surjectivity of  $\Psi$  (resp.  $\Psi_{\tilde{\mathbb{A}}}$ ), we use the result of [W1, Proposition 6.4]. In fact, we considered only the case where  $m_k = n$  for all  $k = 1, 2, \dots, r$  in [W1]. However, we can apply the result to the case where  $m_k \geq n$  for all  $k = 1, 2, \dots, r-1$  without any change since the surjectivity in [W1, Proposition 6.4] follows from the result in [DR]. The reason why we assume the condition  $m_k \geq n$  for all  $k = 1, 2, \dots, r-1$  to state the surjectivity of  $\Psi$  is just the using results of [DR]. We expect that  $\Psi$  is also surjective without this condition.

**Theorem 8.3.** *Assume that  $m_k \geq n$  for all  $k = 1, 2, \dots, r-1$ . Then we have the followings.*

- (i)  $\mathcal{S}_{n,r}^{\tilde{\mathbb{K}}}(\mathbf{m})$ -mod is a full subcategory of  $\mathcal{C}_{q,\tilde{\mathbf{Q}}}^{\geq 0}(\mathbf{m})$  through the surjection  $\Psi$  in (8.1.1).
- (ii) The Weyl module  $\Delta(\lambda) \in \mathcal{S}_{n,r}^{\tilde{\mathbb{K}}}(\mathbf{m})$ -mod ( $\lambda \in \Lambda_{n,r}^+(\mathbf{m})$ ) is the simple highest weight  $\mathcal{U}_{q,\tilde{\mathbf{Q}}}(\mathbf{m})$ -module of highest weight  $(\lambda, \varphi)$  through the surjection  $\Psi$ , where the multiset  $\varphi = (\varphi_{(j,l),t}^\pm \in \tilde{\mathbb{K}} \mid (j,l) \in \Gamma(\mathbf{m}), t \geq 1)$  is given by

$$\varphi_{(j,l),t}^+ = Q_{l-1}^t q^{(2t-1)\lambda_j^{(l)} - t(2j-1)} [\lambda_j^{(l)}] \text{ and } \varphi_{(j,l),t}^- = Q_{l-1}^t q^{\lambda_j^{(l)} - t(2j-1)} [\lambda_j^{(l)}].$$

*Proof.* For  $\lambda \in \Lambda_{n,r}(\mathbf{m})$ , let  $1_\lambda$  be an element of  $\mathcal{S}_{n,r}^{\tilde{\mathbb{K}}}(\mathbf{m})$  such that the identity on  $M^\lambda$  and  $1_\lambda(M^\mu) = 0$  for any  $\mu \neq \lambda$ . Then we have  $1_\lambda 1_\mu = \delta_{\lambda\mu} 1_\lambda$  and  $\sum_{\lambda \in \Lambda_{n,r}(\mathbf{m})} 1_\lambda = 1$ . Thus, for  $M \in \mathcal{S}_{n,r}^{\tilde{\mathbb{K}}}(\mathbf{m})$ -mod, we have the decomposition

$$(8.3.1) \quad M = \bigoplus_{\mu \in \Lambda_{n,r}(\mathbf{m})} 1_\mu M.$$

Moreover, we see that

$$1_\mu M = \{m \in M \mid \mathcal{K}_{(j,l)}^+ \cdot m = q^{\mu_j^{(l)}} m \text{ for } (j,l) \in \Gamma(\mathbf{m})\}$$

from the definition of  $\Psi$ . Thus, any object  $M$  of  $\mathcal{S}_{n,r}^{\tilde{\mathbb{K}}}$ -mod has the weight space decomposition (8.3.1) as a  $\mathcal{U}_{q,\tilde{\mathbf{Q}}}(\mathbf{m})$ -module, where we remark that  $\Lambda_{n,r}(\mathbf{m}) \subset P_{\geq 0}$ .

For  $M \in \mathcal{S}_{n,r}^{\tilde{\mathbb{K}}}(\mathbf{m})$ -mod, in order to see that all eigenvalues of the action of  $\mathcal{I}_{(j,l),t}^{\pm}$  ( $((j,l) \in \Gamma(\mathbf{m}), t \geq 0)$ ) on  $M$  belong to  $\tilde{\mathbb{K}}$ , it is enough to show them for  $\Delta(\lambda)$  ( $\lambda \in \Lambda_{n,r}^+(\mathbf{m})$ ) since  $\mathcal{S}_{n,r}^{\tilde{\mathbb{K}}}(\mathbf{m})$  is semi-simple and  $\{\Delta(\lambda) \mid \lambda \in \Lambda_{n,r}^+(\mathbf{m})\}$  gives a complete set of isomorphism classes of simple  $\mathcal{S}_{n,r}^{\tilde{\mathbb{K}}}$ -modules. Recall that  $\{\varphi_T \mid T \in \mathcal{T}_0(\lambda, \mu) \text{ for some } \mu \in \Lambda_{n,r}(\mathbf{m})\}$  gives a basis of  $\Delta(\lambda)$ .

Note that  $\Phi_t^{\pm}(L_{N_{(j,l)}^{\mu}}, L_{N_{(j,l)}^{\mu}-1}, \dots, L_{N_{(j,l)}^{\mu}-\mu_j^{(l)}+1})$  commute with  $T_w$  for any  $w \in \mathfrak{S}_{\mu}$  by Lemma 6.3, for  $T \in \mathcal{T}_0(\lambda, \mu)$ , we have

$$(8.3.2) \quad \mathcal{I}_{(j,l),t}^{\pm} \cdot \varphi_T = \begin{cases} q^{\pm(t-1)} \Phi_t^{\pm}(\text{res}_{(j,l);T}) \varphi_T + \sum_{S \triangleright T} r_S \varphi_S & (r_S \in \tilde{\mathbb{K}}) \quad \text{if } \mu_j^{(l)} \neq 0, \\ 0 & \text{if } \mu_j^{(l)} = 0 \end{cases}$$

in a similar argument as in the proof of [JM, Theorem 3.10], where

$$\Phi_t^{\pm}(\text{res}_{(j,l);T}) = \Phi_t^{\pm}(\text{res}(x_1), \text{res}(x_2), \dots, \text{res}(x_{\mu_j^{(l)}}))$$

with  $\{x_1, x_2, \dots, x_{\mu_j^{(l)}}\} = \{x \in [\lambda] \mid T(x) = (j, l)\}$ , and  $\triangleright$  is a partial order on  $\mathcal{T}_0(\lambda, \mu)$  defined in [JM, Definition 3.6]. This implies that all eigenvalues of the action of  $\mathcal{I}_{(j,l),t}^{\pm}$  on  $\Delta(\lambda)$  belong to  $\tilde{\mathbb{K}}$ . Now we proved (i).

We prove (ii). For  $\lambda \in \Lambda_{n,r}^+(\mathbf{m})$ , let  $T^{\lambda}$  be the unique semi-standard tableau of shape  $\lambda$  with weight  $\lambda$ . Then, we see easily that  $\varphi_{T^{\lambda}}$  is a highest weight vector of  $\Delta(\lambda)$ . Note that there is no tableau such that  $S \triangleright T^{\lambda}$ , then we have

$$(8.3.3) \quad \varphi_{(j,l),t}^{\pm} = q^{\pm(t-1)} \Phi_t^{\pm}(Q_k q^{2(1-j)}, Q_k q^{2(2-j)}, \dots, Q_k q^{2(\lambda_j^{(l)}-j)})$$

by (8.3.2). Then we can prove (ii) by the induction on  $t$  using (8.3.3) and (7.3.1).  $\square$

Let  $\mathcal{S}_{n,r}^1(\mathbf{m})$  be the cyclotomic  $q$ -Schur algebra over  $\mathbb{Q}(\tilde{\mathbf{Q}})$  with parameters  $q = 1, Q_0, Q_1, \dots, Q_{r-1}$ . Then we have the following theorem.

**Theorem 8.4.**

(i) *We have a homomorphism of algebras*

$$(8.4.1) \quad \Psi_1 : U(\mathfrak{g}_{\tilde{\mathbf{Q}}}(\mathbf{m})) \rightarrow \mathcal{S}_{n,r}^1(\mathbf{m})$$

*by taking  $\Psi_1(\mathcal{X}_{(i,k),t}^{\pm}) = \mathcal{X}_{(i,k),t}^{\pm}$  and  $\Psi_1(\mathcal{I}_{(j,l),t}) = \mathcal{I}_{(j,l),t}^{+} (= \mathcal{I}_{(j,l),t}^{-})$ .*

*Moreover, if  $m_k \geq n$  for all  $k = 1, 2, \dots, r-1$ , the homomorphism  $\Psi_1$  is surjective.*

(ii) *Assume that  $m_k \geq n$  for all  $k = 1, 2, \dots, r-1$ . Then  $\mathcal{S}_{n,r}^1(\mathbf{m})$ -mod is a full subcategory of  $\mathcal{C}_{\tilde{\mathbf{Q}}}^{\geq 0}(\mathbf{m})$  through the surjection  $\Psi_1$ .*

Moreover, the Weyl module  $\Delta(\lambda) \in \mathcal{S}_{n,r}^1(\mathbf{m})\text{-mod}$  ( $\lambda \in \Lambda_{n,r}^+$ ) is the simple highest weight  $U(\mathfrak{g}_{\tilde{\mathbf{Q}}}(\mathbf{m}))$ -module of highest weight  $(\lambda, \boldsymbol{\varphi})$  through the surjection  $\Psi_1$ , where the multiset  $\boldsymbol{\varphi} = (\varphi_{(j,l),t} \in \mathbb{Q}(\tilde{\mathbf{Q}}) \mid (j,l) \in \Gamma(\mathbf{m}), t \geq 1)$  is given by

$$\varphi_{(j,l),t} = Q_{l-1}^t \lambda_j^{(l)}.$$

*Proof.* Note Lemma 7.27 and Lemma 7.28, then we can prove the theorem in a similar way as in the proof of Theorem 8.1 and Theorem 8.3.  $\square$

## § 9. CHARACTERS OF WEYL MODULES OF CYCLOTOMIC $q$ -SCHUR ALGEBRAS

In this section, we study the characters of Weyl modules of cyclotomic  $q$ -Schur algebras as symmetric polynomials. In particular, we prove the conjecture given in [W2] (the formula (9.2.1) below) which will be understood as the decomposition of the tensor product of Weyl modules in the case where  $q = 1$ .

**9.1. Characters.** For  $k = 1, \dots, r$ , let  $\mathbf{x}_{\mathbf{m}}^{(k)} = (x_{(1,k)}, x_{(2,k)}, \dots, x_{(m_k,k)})$  be the set of  $m_k$  independent variables, and put  $\mathbf{x}_{\mathbf{m}} = \cup_{k=1}^r \mathbf{x}_{\mathbf{m}}^{(k)}$ . Let  $\mathbb{Z}[\mathbf{x}_{\mathbf{m}}^{\pm}]$  (resp.  $\mathbb{Z}[\mathbf{x}_{\mathbf{m}}]$ ) be the ring of Laurent polynomials (resp. the ring of polynomials) with variables  $\mathbf{x}_{\mathbf{m}}$ . For  $\lambda \in P$ , we define the monomial  $x^{\lambda} \in \mathbb{Z}[\mathbf{x}_{\mathbf{m}}^{\pm}]$  by  $x^{\lambda} = \prod_{k=1}^r \prod_{i=1}^{m_k} x_{(i,k)}^{\langle \lambda, h_{(i,k)} \rangle}$ .

For  $M \in \mathcal{C}_{\tilde{\mathbf{Q}}}(\mathbf{m})$  (resp.  $M \in \mathcal{C}_{q,\tilde{\mathbf{Q}}}(\mathbf{m})$ ), we define the character of  $M$  by

$$(9.1.1) \quad \text{ch } M = \sum_{\lambda \in P} \dim M_{\lambda} x^{\lambda} \in \mathbb{Z}[\mathbf{x}_{\mathbf{m}}^{\pm}].$$

It is clear that  $\text{ch } M \in \mathbb{Z}[\mathbf{x}_{\mathbf{m}}]$  if  $M \in \mathcal{C}_{\tilde{\mathbf{Q}}}^{\geq 0}(\mathbf{m})$  (resp.  $M \in \mathcal{C}_{q,\tilde{\mathbf{Q}}}^{\geq 0}(\mathbf{m})$ ).

When we regard  $M \in \mathcal{C}_{\tilde{\mathbf{Q}}}(\mathbf{m})$  as a  $U(\mathfrak{gl}_{m_1} \oplus \dots \oplus \mathfrak{gl}_{m_r})$ -module through the injection (2.16.2),  $\text{ch } M$  defined by (9.1.1) coincides with the character of  $M$  as a  $U(\mathfrak{gl}_{m_1} \oplus \dots \oplus \mathfrak{gl}_{m_r})$ -module since  $M_{\lambda}$  is also the weight space of weight  $\lambda$  as a  $U(\mathfrak{gl}_{m_1} \oplus \dots \oplus \mathfrak{gl}_{m_r})$ -module. Thus, by the known results for  $U(\mathfrak{gl}_{m_1} \oplus \dots \oplus \mathfrak{gl}_{m_r})$ -modules, we see that

$$\text{ch } M \in \bigotimes_{k=1}^r \mathbb{Z}[\mathbf{x}_{\mathbf{m}}^{(k)}]^{\mathfrak{S}_{m_k}} \text{ if } M \in \mathcal{C}_{\tilde{\mathbf{Q}}}^{\geq 0}(\mathbf{m}),$$

where  $\mathbb{Z}[\mathbf{x}_{\mathbf{m}}^{(k)}]^{\mathfrak{S}_{m_k}}$  is the ring of symmetric polynomials with variables  $\mathbf{x}_{\mathbf{m}}^{(k)}$ , and we regard  $\bigotimes_{k=1}^r \mathbb{Z}[\mathbf{x}_{\mathbf{m}}^{(k)}]^{\mathfrak{S}_{m_k}}$  as a subring of  $\mathbb{Z}[\mathbf{x}_{\mathbf{m}}]$  through the multiplication map  $\bigotimes_{k=1}^r \mathbb{Z}[\mathbf{x}_{\mathbf{m}}^{(k)}]^{\mathfrak{S}_{m_k}} \rightarrow \mathbb{Z}[\mathbf{x}_{\mathbf{m}}]$  ( $\bigotimes_{k=1}^r f(\mathbf{x}_{\mathbf{m}}^{(k)}) \mapsto \prod_{k=1}^r f(\mathbf{x}_{\mathbf{m}}^{(k)})$ ). It is similar for  $M \in \mathcal{C}_{q,\tilde{\mathbf{Q}}}(\mathbf{m})$  through the injection (4.9.2).

**9.2.** The character of the Weyl module  $\Delta(\lambda) \in \mathcal{S}_{n,r}(\mathbf{m})$  ( $\lambda \in \tilde{\Lambda}_{n,r}^+(\mathbf{m})$ ) is studied in [W2]. Note that  $\text{ch } \Delta(\lambda)$  ( $\lambda \in \tilde{\Lambda}_{n,r}^+(\mathbf{m})$ ) does not depend on the choice of the base field and parameters. Put  $\tilde{\Lambda}_{\geq 0,r}^+(\mathbf{m}) = \cup_{n \geq 0} \tilde{\Lambda}_{n,r}^+(\mathbf{m})$ . For  $\lambda, \mu \in \tilde{\Lambda}_{\geq 0,r}^+(\mathbf{m})$ , the



following formula was conjectured in [W2, Conjecture 2]:

$$(9.2.1) \quad \text{ch } \Delta(\lambda) \text{ch } \Delta(\mu) = \sum_{\nu \in \tilde{\Lambda}_{\geq 0, r}^+(\mathbf{m})} \text{LR}_{\lambda\mu}^\nu \text{ch } \Delta(\nu) \quad \text{for } \lambda, \mu \in \tilde{\Lambda}_{\geq 0, r}^+(\mathbf{m}),$$

where  $\text{LR}_{\lambda\mu}^\nu = \prod_{k=1}^r \text{LR}_{\lambda^{(k)}\mu^{(k)}}^{\nu^{(k)}}$ , and  $\text{LR}_{\lambda^{(k)}\mu^{(k)}}^{\nu^{(k)}}$  is the Littlewood-Richardson coefficient for the partitions  $\lambda^{(k)}$ ,  $\mu^{(k)}$  and  $\nu^{(k)}$ . We prove this conjecture as follows.

**9.3.** For  $\lambda = (\lambda^{(1)}, \dots, \lambda^{(r)}) \in \tilde{\Lambda}_{n, r}^+(\mathbf{m})$ , we denote

$$(\underbrace{0, \dots, 0}_{k-1}, \lambda^{(k)}, 0, \dots, 0) \in \tilde{\Lambda}_{n_k, r}^+(\mathbf{m})$$

by  $(0, \dots, \lambda^{(k)}, \dots, 0)$  simply, where  $n_k = \sum_{i=1}^{m_k} \lambda_i^{(k)}$  (i.e.  $\lambda^{(k)}$  appears in the  $k$ -th component in  $(0, \dots, \lambda^{(k)}, \dots, 0)$ ). Let

$$S_{\lambda^{(k)}}(\mathbf{x}_{\mathbf{m}}^{(k)} \cup \dots \cup \mathbf{x}_{\mathbf{m}}^{(r)}) \in \mathbb{Z}[\mathbf{x}_{\mathbf{m}}^{(k)} \cup \dots \cup \mathbf{x}_{\mathbf{m}}^{(r)}]^{\mathfrak{S}(\mathbf{x}_{\mathbf{m}}^{(k)} \cup \dots \cup \mathbf{x}_{\mathbf{m}}^{(r)})}$$

be the Schur polynomial for the partition  $\lambda^{(k)}$  with variables  $\mathbf{x}_{\mathbf{m}}^{(k)} \cup \dots \cup \mathbf{x}_{\mathbf{m}}^{(r)}$ , where we regard  $\mathbb{Z}[\mathbf{x}_{\mathbf{m}}^{(k)} \cup \dots \cup \mathbf{x}_{\mathbf{m}}^{(r)}]^{\mathfrak{S}(\mathbf{x}_{\mathbf{m}}^{(k)} \cup \dots \cup \mathbf{x}_{\mathbf{m}}^{(r)})}$  as a subring of  $\bigotimes_{k=1}^r \mathbb{Z}[\mathbf{x}_{\mathbf{m}}^{(k)}]^{\mathfrak{S}_{m_k}} \subset \mathbb{Z}[\mathbf{x}_{\mathbf{m}}]$  in the natural way. Put  $\tilde{S}_\lambda(\mathbf{x}_{\mathbf{m}}) = \text{ch } \Delta(\lambda)$  ( $\lambda \in \tilde{\Lambda}_{\geq 0, r}^+(\mathbf{m})$ ). Then we have the following proposition.

**Proposition 9.4.** *For  $\lambda, \mu \in \tilde{\Lambda}_{\geq 0, r}^+(\mathbf{m})$ , we have the following formulas.*

- (i)  $\tilde{S}_{(0, \dots, \lambda^{(k)}, \dots, 0)}(\mathbf{x}_{\mathbf{m}}) = S_{\lambda^{(k)}}(\mathbf{x}_{\mathbf{m}}^{(k)} \cup \dots \cup \mathbf{x}_{\mathbf{m}}^{(r)})$ .
- (ii)  $\tilde{S}_\lambda(\mathbf{x}_{\mathbf{m}}) = \prod_{k=1}^r \tilde{S}_{(0, \dots, \lambda^{(k)}, \dots, 0)}(\mathbf{x}_{\mathbf{m}})$ .
- (iii)  $\tilde{S}_\lambda(\mathbf{x}_{\mathbf{m}}) \tilde{S}_\mu(\mathbf{x}_{\mathbf{m}}) = \sum_{\nu \in \tilde{\Lambda}_{\geq 0, r}^+(\mathbf{m})} \text{LR}_{\lambda\mu}^\nu \tilde{S}_\nu(\mathbf{x}_{\mathbf{m}})$ .

*Proof.* (i). By the definition of the cellular basis of  $\mathcal{S}_{n, r}(\mathbf{m})$  in [DJM], for  $\lambda \in \tilde{\Lambda}_{n, r}^+(\mathbf{m})$ , we have

$$(9.4.1) \quad \tilde{S}_\lambda(\mathbf{x}_{\mathbf{m}}) = \text{ch } \Delta(\lambda) = \sum_{\mu \in \Lambda_{n, r}(\mathbf{m})} \# \mathcal{T}_0(\lambda, \mu) x^\mu.$$

Thus, we have

$$(9.4.2) \quad \tilde{S}_{(0, \dots, \lambda^{(k)}, \dots, 0)}(\mathbf{x}_{\mathbf{m}}) = \sum_{\mu \in \Lambda_{n_k, r}(\mathbf{m})} \# \mathcal{T}_0((0, \dots, \lambda^{(k)}, \dots, 0), \mu) x^\mu,$$

where  $n_k = \sum_{i=1}^{m_k} \lambda_i^{(k)}$ . We see that

$$\mu^{(1)} = \dots = \mu^{(k-1)} = 0 \text{ if } \mathcal{T}_0((0, \dots, \lambda^{(k)}, \dots, 0), \mu) \neq \emptyset$$

by the definition of semi-standard tableaux. Thus, we have  $\tilde{S}_{(0,\dots,\lambda^{(k)},\dots,0)}(\mathbf{x}_{\mathbf{m}}) \in \bigotimes_{l=k}^r \mathbb{Z}[\mathbf{x}_{\mathbf{m}}^{(l)}]^{\mathfrak{S}_{m_k}}$ . Put

$$\Lambda_{n_k,r}^{\geq k}(\mathbf{m}) = \{\mu = (\mu^{(1)}, \dots, \mu^{(r)}) \in \Lambda_{n_k,r}(\mathbf{m}) \mid \mu^{(l)} = 0 \text{ for } l = 1, \dots, k-1\}.$$

Put  $m' = m_k + \dots + m_r$ . We identify the set  $\Lambda_{n_k,1}(m')$  with  $\Lambda_{n_k,r}^{\geq k}(\mathbf{m})$  by the bijection  $\theta^k : \Lambda_{n_k,1}(m') \mapsto \Lambda_{n_k,r}^{\geq k}(\mathbf{m})$  such that

$$(\theta^k(\mu))_i^{(k+l)} = \begin{cases} \mu_i & \text{if } l = 0, \\ \mu_{m_k+m_{k+1}+\dots+m_{k+l-1}+i} & \text{if } 1 \leq l \leq r-k \end{cases}$$

for  $\mu = (\mu_1, \mu_2, \dots, \mu_{m'}) \in \Lambda_{n_k,1}(m')$ . By the well-known fact, we can describe the Schur polynomial  $S_{\lambda^{(k)}}(\mathbf{x}_{\mathbf{m}}^{(k)} \cup \dots \cup \mathbf{x}_{\mathbf{m}}^{(r)})$  as

$$(9.4.3) \quad S_{\lambda^{(k)}}(\mathbf{x}_{\mathbf{m}}^{(k)} \cup \dots \cup \mathbf{x}_{\mathbf{m}}^{(r)}) = \sum_{\mu \in \Lambda_{n_k,1}(m')} \# \mathcal{T}_0(\lambda^{(k)}, \mu) x^\mu,$$

where we put  $x^\mu = \prod_{i=1}^{m_k} x_{(i,k)}^{\mu_i} \prod_{l=1}^{r-k} \prod_{i=1}^{m_l} x_{(i,k+l)}^{\mu_{m_k+m_{k+1}+\dots+m_{k+l-1}+i}}$ . From the definition of semi-standard tableaux, we see that

$$\# \mathcal{T}_0(\lambda^{(k)}, \mu) = \# \mathcal{T}_0((0, \dots, \lambda^{(k)}, \dots, 0), \theta^k(\mu))$$

for  $\mu \in \Lambda_{n_k,1}(m')$ . Thus, by comparing the right hand sides of (9.4.2) and of (9.4.3), we obtain (i).

(ii). First we prove that

$$(9.4.4) \quad \tilde{S}_{(\lambda^{(1)}, \lambda^{(2)}, \dots, \lambda^{(r)})}(\mathbf{x}_{\mathbf{m}}) = \tilde{S}_{(\lambda^{(1)}, 0, \dots, 0)}(\mathbf{x}_{\mathbf{m}}) \tilde{S}_{(0, \lambda^{(2)}, \dots, \lambda^{(r)})}(\mathbf{x}_{\mathbf{m}}).$$

By (9.4.1), we have

$$(9.4.5) \quad \tilde{S}_{(\lambda^{(1)}, \lambda^{(2)}, \dots, \lambda^{(r)})}(\mathbf{x}_{\mathbf{m}}) = \sum_{\mu \in \Lambda_{n,r}(\mathbf{m})} \# \mathcal{T}_0(\lambda, \mu) x^\mu.$$

On the other hand, we have

$$(9.4.6) \quad \begin{aligned} & \tilde{S}_{(\lambda^{(1)}, 0, \dots, 0)}(\mathbf{x}_{\mathbf{m}}) \tilde{S}_{(0, \lambda^{(2)}, \dots, \lambda^{(r)})}(\mathbf{x}_{\mathbf{m}}) \\ &= \left( \sum_{\nu \in \Lambda_{n_1,r}(\mathbf{m})} \# \mathcal{T}_0((\lambda^{(1)}, 0, \dots, 0), \nu) x^\nu \right) \left( \sum_{\tau \in \Lambda_{n',r}(\mathbf{m})} \# \mathcal{T}_0((0, \lambda^{(2)}, \dots, \lambda^{(r)}), \tau) x^\tau \right) \\ &= \sum_{\mu \in \Lambda_{n,r}(\mathbf{m})} \left( \sum_{\substack{\nu \in \Lambda_{n_1,r}(\mathbf{m}) \\ \tau \in \Lambda_{n',r}(\mathbf{m}) \\ \nu + \tau = \mu}} \# \mathcal{T}_0((\lambda^{(1)}, 0, \dots, 0), \nu) \# \mathcal{T}_0((0, \lambda^{(2)}, \dots, \lambda^{(r)}), \tau) \right) x^\mu \end{aligned}$$

where  $n_1 = \sum_{i=1}^{m_1} \lambda_i^{(1)}$  and  $n' = n - n_1$ . From the definition of semi-standard tableaux, we can check that

$$(9.4.7) \quad \# \mathcal{T}_0(\lambda, \mu) = \sum_{\substack{\nu \in \Lambda_{n_1, r}(\mathbf{m}), \tau \in \Lambda_{n', r}(\mathbf{m}) \\ \nu + \tau = \mu}} \# \mathcal{T}_0((\lambda^{(1)}, 0, \dots, 0), \nu) \# \mathcal{T}_0((0, \lambda^{(2)}, \dots, \lambda^{(r)}), \tau).$$

Thus, (9.4.5), (9.4.6) and (9.4.7) imply (9.4.4). By applying a similar argument to  $\tilde{S}_{(0, \lambda^{(2)}, \dots, \lambda^{(r)})}(\mathbf{x}_{\mathbf{m}})$  inductively, we obtain (ii).

By (i) and (ii), we have

$$\begin{aligned} \tilde{S}_{\lambda}(\mathbf{x}_{\mathbf{m}}) \tilde{S}_{\mu}(\mathbf{x}_{\mathbf{m}}) &= \left( \prod_{k=1}^r \tilde{S}_{(0, \dots, \lambda^{(k)}, \dots, 0)}(\mathbf{x}_{\mathbf{m}}) \right) \left( \prod_{k=1}^r \tilde{S}_{(0, \dots, \mu^{(k)}, \dots, 0)}(\mathbf{x}_{\mathbf{m}}) \right) \\ &= \left( \prod_{k=1}^r S_{\lambda^{(k)}}(\mathbf{x}_{\mathbf{m}}^{(k)} \cup \dots \cup \mathbf{x}_{\mathbf{m}}^{(r)}) \right) \left( \prod_{k=1}^r S_{\mu^{(k)}}(\mathbf{x}_{\mathbf{m}}^{(k)} \cup \dots \cup \mathbf{x}_{\mathbf{m}}^{(r)}) \right) \\ &= \prod_{k=1}^r S_{\lambda^{(k)}}(\mathbf{x}_{\mathbf{m}}^{(k)} \cup \dots \cup \mathbf{x}_{\mathbf{m}}^{(r)}) S_{\mu^{(k)}}(\mathbf{x}_{\mathbf{m}}^{(k)} \cup \dots \cup \mathbf{x}_{\mathbf{m}}^{(r)}) \\ &= \prod_{k=1}^r \left( \sum_{\nu^{(k)} \in \Lambda_{\geq 0, 1}^+(m_k + \dots + m_r)} \text{LR}_{\lambda^{(k)} \mu^{(k)}}^{\nu^{(k)}} S_{\nu^{(k)}}(\mathbf{x}_{\mathbf{m}}^{(k)} \cup \dots \cup \mathbf{x}_{\mathbf{m}}^{(r)}) \right) \\ &= \sum_{\nu \in \tilde{\Lambda}_{\geq 0, r}^+(\mathbf{m})} \left( \prod_{k=1}^r \text{LR}_{\lambda^{(k)} \mu^{(k)}}^{\nu^{(k)}} \right) \prod_{k=1}^r \tilde{S}_{(0, \dots, \nu^{(k)}, \dots, 0)}(\mathbf{x}_{\mathbf{m}}) \\ &= \sum_{\nu \in \tilde{\Lambda}_{\geq 0, r}^+(\mathbf{m})} \text{LR}_{\lambda \mu}^{\nu} \tilde{S}_{\nu}(\mathbf{x}_{\mathbf{m}}), \end{aligned}$$

where we note that, if  $\ell(\lambda^{(k)}) > m_k + \dots + m_r$  for some  $k$ , we have  $S_{\lambda^{(k)}}(\mathbf{x}_{\mathbf{m}}^{(k)} \cup \dots \cup \mathbf{x}_{\mathbf{m}}^{(r)}) = 0$  and  $\mathcal{T}_0(\lambda, \mu) = \emptyset$  for any  $\mu \in \Lambda_{n, r}(\mathbf{m})$ . Now we obtained (iii).  $\square$

## § 10. TENSOR PRODUCTS FOR WEYL MODULES OF CYCLOTOMIC $q$ -SCHUR ALGEBRAS AT $q = 1$

By using the comultiplication  $\Delta : U(\mathfrak{g}_{\tilde{Q}}(\mathbf{m})) \rightarrow U(\mathfrak{g}_{\tilde{Q}}(\mathbf{m})) \otimes U(\mathfrak{g}_{\tilde{Q}}(\mathbf{m}))$  ( $\Delta(x) = x \otimes 1 + 1 \otimes x$ ), we define the  $U(\mathfrak{g}_{\tilde{Q}}(\mathbf{m}))$ -module  $M \otimes N$  for  $U(\mathfrak{g}_{\tilde{Q}}(\mathbf{m}))$ -module  $M$  and  $N$ . We regard  $\mathcal{S}_{n, r}^1(\mathbf{m})$ -modules ( $n \geq 0$ ) as a  $U(\mathfrak{g}_{\tilde{Q}}(\mathbf{m}))$ -modules through the homomorphism  $\Psi_1$  in (8.4.1). Note that  $\mathcal{S}_{n, r}^1(\mathbf{m})$  is semi-simple, and  $\{\Delta(\lambda) \mid \lambda \in \Lambda_{n, r}^+(\mathbf{m})\}$  gives a complete set of isomorphism classes of simple  $\mathcal{S}_{n, r}^1(\mathbf{m})$ -modules if  $m_k \geq n$  for all  $k = 1, 2, \dots, r-1$ . Then, we have the following proposition.

**Proposition 10.1.** *Assume that  $m_k \geq n$  for all  $k = 1, 2, \dots, r-1$ . Take  $n_1, n_2 \in \mathbb{Z}_{\geq 0}$  such that  $n = n_1 + n_2$ . For  $\lambda \in \Lambda_{n_1, r}^+(\mathbf{m})$  (resp.  $\mu \in \Lambda_{n_2, r}^+(\mathbf{m})$ ), let  $\Delta(\lambda)$  (resp.  $\Delta(\mu)$ ) be the Weyl module of  $\mathcal{S}_{n_1, r}^1(\mathbf{m})$  (resp.  $\mathcal{S}_{n_2, r}^1(\mathbf{m})$ ) corresponding  $\lambda$  (resp.  $\mu$ ).*

Then we have

$$(10.1.1) \quad \Delta(\lambda) \otimes \Delta(\mu) \cong \bigoplus_{\nu \in A_{n,r}^+(\mathbf{m})} \mathrm{LR}_{\lambda\mu}^\nu \Delta(\nu) \text{ as } U(\mathfrak{g}_{\tilde{\mathbf{Q}}}(\mathbf{m}))\text{-modules,}$$

where  $\Delta(\nu)$  is the Weyl module of  $\mathcal{S}_{n,r}^1(\mathbf{m})$  corresponding  $\nu$ , and  $\mathrm{LR}_{\lambda\mu}^\nu \Delta(\nu)$  means the direct sum of  $\mathrm{LR}_{\lambda\mu}^\nu$  copies of  $\Delta(\nu)$ . In particular,  $\Delta(\lambda) \otimes \Delta(\mu) \in \mathcal{S}_{n,r}^1(\mathbf{m})\text{-mod.}$

*Proof.* For  $\tau \in P_{\geq 0}$ , put

$$\pi_{\mathbf{m}}(\tau) = (|\tau^{(1)}|, |\tau^{(2)}|, \dots, |\tau^{(r)}|) \in \mathbb{Z}_{\geq 0}^r,$$

where  $|\tau^{(l)}| = \sum_{j=1}^{m_l} \langle \tau, h_{(j,l)} \rangle$  for  $l = 1, \dots, r$ . We denote by  $\geq$  the lexicographic order on  $\mathbb{Z}_{\geq 0}^r$ . Then we have the weight space decomposition

$$(10.1.2) \quad \Delta(\lambda) \otimes \Delta(\mu) = \bigoplus_{\substack{\tau \in A_{n,r}(\mathbf{m}) \\ \pi_{\mathbf{m}}(\tau) \leq \pi_{\mathbf{m}}(\lambda + \mu)}} (\Delta(\lambda) \otimes \Delta(\mu))_\tau.$$

On the other hand, it is clear that  $\Delta(\lambda) \otimes \Delta(\mu) \in \mathcal{C}_{\tilde{\mathbf{Q}}}^{\geq 0}(\mathbf{m})$ . Thus, we have

$$(10.1.3) \quad [\Delta(\lambda) \otimes \Delta(\mu)] = \sum_{\substack{\nu \in A_{n,r}^+(\mathbf{m}) \\ \pi_{\mathbf{m}}(\nu) \leq \pi_{\mathbf{m}}(\lambda + \mu)}} \sum_{\varphi} d_{\nu, \varphi} [L(\nu, \varphi)] \text{ in } K_0(\mathcal{C}_{\tilde{\mathbf{Q}}}^{\geq 0}(\mathbf{m})),$$

where  $d_{\nu, \varphi}$  is the composition multiplicity of the simple highest weight  $U(\mathfrak{g}_{\tilde{\mathbf{Q}}}(\mathbf{m}))$ -module  $L(\nu, \varphi)$  of highest weight  $(\nu, \varphi)$  in  $\Delta(\lambda) \otimes \Delta(\mu)$ .

Note that  $L_{i+1}T_i = T_iL_i$  and  $L_iT_i = T_iL_{i+1}$  since  $q = 1$ . Then, for  $(j, l) \in \Gamma(\mathbf{m})$  and  $t \geq 1$ , we see that

$$(10.1.4) \quad \mathcal{I}_{(j,l),t} \cdot v = Q_{l-1}^t \nu_j^{(l)} v \text{ for any } v \in (\Delta(\lambda) \otimes \Delta(\mu))_\nu$$

if  $\pi_{\mathbf{m}}(\nu) = \pi_{\mathbf{m}}(\lambda + \mu)$  by the argument in the proof of [JM, Proposition 3.7 and Theorem 3.10]. This implies that

$$(10.1.5) \quad L(\nu, \varphi) \cong \Delta(\nu) \text{ if } d_{\nu, \varphi} \neq 0 \text{ and } \pi_{\mathbf{m}}(\nu) = \pi_{\mathbf{m}}(\lambda + \mu)$$

by Theorem 8.4 (ii). By Proposition 9.4 (iii) together with (10.1.3) and (10.1.5), we have

$$(10.1.6) \quad \begin{aligned} \mathrm{ch}(\Delta(\lambda) \otimes \Delta(\mu)) &= \tilde{S}_\lambda(\mathbf{x}_{\mathbf{m}}) \tilde{S}_\mu(\mathbf{x}_{\mathbf{m}}) \\ &= \sum_{\nu \in A_{n,r}^+(\mathbf{m})} \mathrm{LR}_{\lambda\mu}^\nu \tilde{S}_\nu(\mathbf{x}_{\mathbf{m}}) \end{aligned}$$

$$= \sum_{\substack{\nu \in \Lambda_{n,r}^+(\mathbf{m}) \\ \pi_{\mathbf{m}}(\nu) = \pi_{\mathbf{m}}(\lambda + \mu)}} d_{\nu} \tilde{S}_{\nu}(\mathbf{x}_{\mathbf{m}}) + \sum_{\substack{\nu \in \Lambda_{n,r}^+(\mathbf{m}) \\ \pi_{\mathbf{m}}(\nu) < \pi_{\mathbf{m}}(\lambda + \mu)}} \sum_{\varphi} d_{\nu, \varphi} \text{ch } L(\nu, \varphi),$$

where  $d_{\nu}$  is the composition multiplicity of  $\Delta(\nu)$  in  $\Delta(\lambda) \otimes \Delta(\mu)$ . Note that  $\text{LR}_{\lambda\mu}^{\nu} = 0$  unless  $\pi_{\mathbf{m}}(\nu) = \pi_{\mathbf{m}}(\lambda + \mu)$ , the equations (10.1.6) imply  $d_{\nu} = \text{LR}_{\lambda\mu}^{\nu}$  if  $\pi_{\mathbf{m}}(\nu) = \pi_{\mathbf{m}}(\lambda + \mu)$  and  $d_{\nu, \varphi} = 0$  if  $\pi_{\mathbf{m}}(\nu) < \pi_{\mathbf{m}}(\lambda + \mu)$ . Thus, we have

$$(10.1.7) \quad [\Delta(\lambda) \otimes \Delta(\mu)] = \sum_{\nu \in \Lambda_{n,r}^+(\mathbf{m})} \text{LR}_{\lambda\mu}^{\nu} [\Delta(\nu)].$$

By (10.1.2), for any  $k = 1, 2, \dots, r-1$  and any  $t \geq 0$ , we have

$$(10.1.8) \quad \mathcal{X}_{(m_k, k), t}^+ \cdot \left( \bigoplus_{\substack{\nu \in \Lambda_{n,r}^+(\mathbf{m}) \\ \pi_{\mathbf{m}}(\nu) = \pi_{\mathbf{m}}(\lambda + \mu)}} (\Delta(\lambda) \otimes \Delta(\mu))_{\nu} \right) = 0$$

since  $\pi_{\mathbf{m}}(\nu + \alpha_{(m_k, k)}) > \pi_{\mathbf{m}}(\nu)$ . Then, by (10.1.4) and (10.1.8) together with the relation (L2), we see that

$$(10.1.9) \quad \begin{aligned} & \{v \in (\Delta(\lambda) \otimes \Delta(\mu))_{\nu} \mid \mathcal{X}_{(i, k), t}^+ \cdot v \text{ for all } (i, k) \in \Gamma'(\mathbf{m}) \text{ and } t \geq 0\} \\ &= \{v \in (\Delta(\lambda) \otimes \Delta(\mu))_{\nu} \mid e_{(i, k)} \cdot v \text{ for all } (i, k) \in \Gamma(\mathbf{m}) \setminus \{(m_k, k) \mid 1 \leq k \leq r\}\} \end{aligned}$$

for  $\nu \in \Lambda_{n,r}^+(\mathbf{m})$  such that  $\pi_{\mathbf{m}}(\nu) = \pi_{\mathbf{m}}(\lambda + \mu)$ , where  $e_{(i, k)} \in U(\mathfrak{gl}_{m_1} \oplus \dots \oplus \mathfrak{gl}_{m_r})$  acts on  $\Delta(\lambda) \otimes \Delta(\mu)$  through the injection (2.16.2). On the other hand,  $\bigoplus_{\substack{\nu \in \Lambda_{n,r}^+(\mathbf{m}) \\ \pi_{\mathbf{m}}(\nu) = \pi_{\mathbf{m}}(\lambda + \mu)}} (\Delta(\lambda) \otimes \Delta(\mu))_{\nu}$  is a  $U(\mathfrak{gl}_{m_1} \oplus \dots \oplus \mathfrak{gl}_{m_r})$ -submodule of  $\Delta(\lambda) \otimes \Delta(\mu)$  and we have

$$(10.1.10) \quad \bigoplus_{\substack{\nu \in \Lambda_{n,r}^+(\mathbf{m}) \\ \pi_{\mathbf{m}}(\nu) = \pi_{\mathbf{m}}(\lambda + \mu)}} (\Delta(\lambda) \otimes \Delta(\mu))_{\nu} \cong \bigoplus_{\nu \in \Lambda_{n,r}^+(\mathbf{m})} \text{LR}_{\lambda\mu}^{\nu} \Delta_{\mathfrak{gl}_{m_1}}(\nu^{(1)}) \otimes \dots \otimes \Delta_{\mathfrak{gl}_{m_r}}(\nu^{(r)})$$

as  $U(\mathfrak{gl}_{m_1} \oplus \dots \oplus \mathfrak{gl}_{m_r})$ -modules by comparing the character (note [W2, Lemma 2.6]). By (10.1.7), (10.1.9) and (10.1.10), we see that

$$\Delta(\lambda) \otimes \Delta(\mu) \cong \bigoplus_{\nu \in \Lambda_{n,r}^+(\mathbf{m})} \text{LR}_{\lambda\mu}^{\nu} \Delta(\nu)$$

as  $U(\mathfrak{g}_{\tilde{\mathbf{Q}}}(\mathbf{m}))$ -modules. □

## Remarks 10.2.

- (i) For  $M, N \in \mathcal{C}_{\tilde{\mathbf{Q}}}(\mathbf{m})$ , we see that  $\text{ch}(M \otimes N) = \text{ch}(M) \text{ch}(N)$  by definition of characters. Then the decomposition (10.1.1) gives an interpretation of the formula (9.2.1) (Proposition 9.4 (iii)) in the category  $\mathcal{C}_{\tilde{\mathbf{Q}}}(\mathbf{m})$ .

- (ii) We conjecture that the algebra  $\mathcal{U}_{q,\tilde{\mathbf{Q}}}(\mathbf{m})$  has a structure as a Hopf algebra. Then we also conjecture the similar decomposition for the tensor product of Weyl modules of  $\mathcal{S}_{n,r}^{\tilde{\mathbf{K}}}(\mathbf{m})$  ( $n \geq 0$ ) as in (10.1.1).

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